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1 Research paper

2 **Q2** The importance of sample collection when using single cytokine  
 3 levels and systemic cytokine profiles as biomarkers – a  
 4 comparative study of serum versus plasma samples

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37

## A B S T R A C T

*Background:* Cytokines, soluble adhesion molecules and metalloproteinases can be detected in 17  
 human serum or plasma samples. Such systemic levels are widely used as biomarkers in epide- 18  
 miological and clinical studies. 19

*Methods:* We prepared serum samples and three types of plasma samples (EDTA, heparin, citric 20  
 acid) from 20 healthy individuals. The levels of 31 cytokines, four soluble adhesion molecules and 21  
 eight matrix metalloproteinases were analyzed by Luminex technology. 22

*Results:* Most mediators showed detectable levels in both plasma and serum. Several mediators 23  
 that can be released by platelets showed increased serum levels, especially CCL5 and CD40L, but 24  
 for the other mediators the serum levels did not correlate with peripheral blood platelet counts 25  
 and for these last mediators serum and plasma levels often showed strong correlations. The use of 26  
 bivalirudin for anticoagulation significantly increased and citric acid combined with platelet 27  
 inhibitors (ticagrelor, acetylsalicylic acid plus prostaglandin E<sub>2</sub>) did not alter plasma levels of 28  
 platelet-store mediators compared with citric acid alone. The impact of sample preparation 29  
 differed between mediators; for many mediators strong correlations were seen between serum 30  
 and plasma levels even when absolute levels differed. Soluble adhesion molecule levels showed 31  
 only minor differences between samples. Unsupervised hierarchical clustering suggested that the 32  
 effect of sampling/preparation was strongest for serum and heparin plasma samples. 33

*Conclusion:* Careful standardization of sample preparation is usually necessary when analyzing 34  
 systemic mediator levels, and differences caused by sample preparation should be considered as a 35  
 possible explanation if studies show conflicting results. 36

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38 **Q5** *Abbreviations:* OOR<, out of range below; OOR>, out of range above; CV%, 39  
 coefficient of variation; IL, interleukin; IL-1RA, interleukin-1 receptor antagonist; 40  
 MMP, matrix metalloproteinase; ICAM, intracellular adhesion molecule; VCAM, 41  
 vascular cell adhesion molecule; G-CSF, granulocyte colony-stimulating factor; 42  
 GM-CSF, granulocyte macrophage colony-stimulating factor; bFGF, basic fibro- 43  
 blast growth factor; TNF, tumor necrosis factor; INF, interferon; VEGF, vascular 44  
 endothelial growth factor; EGF, epidermal growth factor; HGF, hepatocyte growth 45  
 factor; TPO, thrombopoietin; CD40L, CD40 ligand; CCL, CC motif chemokine 46  
 ligand; CXCL, CXC motif chemokine ligand; ASA, acetylsalicylic acid; PGE<sub>2</sub>, 47  
 prostaglandin E<sub>2</sub>.

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## 1. Introduction 46

Cytokines are soluble mediators that are important for 47  
 communication between cells and they have function as 48  
 regulators of a wide range of cellular functions including 49  
 proliferation, differentiation and survival. The cytokine net- 50  
 work is also important for regulation and coordination of 51  
 complex biological processes like angiogenesis, immune 52  
 responses and inflammation. The cytokines thereby become 53  
 important for the maintenance of the normal physiological 54

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status and in the development of several human disorders, including autoimmunity, carcinogenesis and activation of coagulation (Melve et al., 2011; Reikvam et al., 2012; Bruserud, 2013). However, the effects of the cytokine network are further modulated by other soluble mediators; these interacting mediators can be soluble cytokine receptors, biologically active soluble adhesion molecules, matrix metalloproteinases (MMPs), Tissue inhibitors of MMPs (TIMPs) and soluble heat shock proteins (HSPs) (Bruserud, 1997; Hatfield et al., 2010; Fredly et al., 2012; Reikvam et al., 2013).

Serum or plasma prepared from peripheral blood samples is easily available from patients, and such samples are often collected as a part of clinical studies and stored in biobanks. Several studies have shown that evaluation of broad serum/plasma mediator profiles including cytokines, MMPs and soluble adhesion molecules can be a valuable scientific tool and may even give clinically useful information (Reikvam et al., 2013). Such broad profiling has been made possible with the development of Multiplex immunoassays that measure a large number of soluble mediators at an acceptable cost per sample in small sample volumes. However, several mediators are stored in peripheral blood cells and may then be released during the *ex vivo* handling of samples, whereas other mediators may be shed from the cells due to the presence of extracellular proteases (De Jongh et al., 1997). The knowledge about these processes is limited, and it is thereby difficult to judge the importance of different preparation methods. The goal of the present study was therefore to compare the soluble mediator profiles in healthy individuals for serum and plasma samples prepared with different anticoagulants (i.e. heparin, EDTA and citrate).

## 2. Material and methods

### 2.1. Blood sampling and preparation of serum and plasma samples

Blood samples were collected from 20 healthy volunteers including eleven females and nine males; the median age being 37 years (range 26–56 years). For each individual four tubes were drawn, one tube with clot activator for serum preparation (BD Vacutainer® Blood Collection Tubes; Becton, Dickinson, Franklin Lakes, New Jersey, US; product no. 367825) and three tubes for plasma preparation containing different anticoagulants, i.e. EDTA (BD Vacutainer®, product no 367835), heparin (BD Vacutainer®, product no. 366667) and citrate (BD Vacutainer®, product no. 363083), respectively. For each type of sample we used tubes with the same batch number for all individuals. Peripheral venous blood samples were collected. Blood for preparation of serum was collected onto tubes with coagulation-activating reagents. Serum samples were allowed to coagulate for 120 min at room temperature before centrifugation (1300g for 10 min) and subsequent serum collection. Plasma samples were centrifuged at 2000g for 15 min at room temperature; centrifugation then started within 30 min from sampling. Samples were finally distributed into cryotubes and then frozen immediately for storage at  $-80^{\circ}\text{C}$ .

We also compared the use of bivalirudin (provided as the drug Angiox, Hälsa Pharma, Lübeck, Germany) versus citric acid as anticoagulant for the preparation of plasma samples, and we compared the levels of platelet-derived mediators for plasma prepared from peripheral blood samples anticoagulated with

(i) citric acid alone (Greiner bio-one Vacuette® blood collection tubes; Krensmünster, Austria; product no. 454332), (ii) citric acid plus the platelet-inhibitory agents sodium salicylic acid (ASA; Merck, Whitehouse Station, New Jersey, US) and prostaglandin  $\text{E}_2$  ( $\text{PGE}_2$ ; Merck), and (iii) citric acid plus the platelet inhibitor ticagrelor (Selleckchem, Boston, Massachusetts, US). Bivalirudin was dissolved in saline (Greiner bio-one Vacuette®; product no. 454241) and ticagrelor in 5% ethanol and 95% saline, whereas ASA was dissolved in 0.1 M sodium bicarbonate and  $\text{PGE}_2$  in 70% ethanol (Foss et al., 2001; Bexborn et al., 2009; Nylander et al., 2013). All reagents were added into the tubes immediately before blood sampling. The final concentrations were bivalirudin 50  $\mu\text{g}/\text{mL}$  (Bexborn et al., 2009), ASA 1 mM (Foss et al., 2001),  $\text{PGE}_2$  1  $\mu\text{M}$  (Foss et al., 2001) and ticagrelor 15  $\mu\text{M}$  (Nylander et al., 2013). The cell donors for these experiments were eight healthy blood donors (two women and six men (aged 35–69 years)). The samples were centrifuged at 2000g for 10 min, and stored at  $4^{\circ}\text{C}$  prior to analysis within 24 h after sampling. The plasma levels of CXCL5, VEGF and MMP-9 were determined by ELISA analyses for these samples (R&D Systems; Abingdon, UK).

### 2.2. Analysis of serum/plasma levels

Cytokine levels were determined by Luminex analyses (R&D Systems) and included (i) the interleukins IL-1alpha, IL-1beta, IL-1-RA, IL-2, IL-4, IL-5, IL-6, IL-7, IL-8/CXCL8, IL-10, IL-12, IL-13 and IL-17; (ii) the chemokines CCL2, CCL3, CCL4, CCL5, CCL11, CXCL5, CXCL10 and CXCL11; (iii) the growth factors bFGF, G-CSF, GM-CSF, VEGF, TPO, EGF, HGF and Leptin; (iv) the immunomodulatory cytokines IFN-gamma, CD40L and TNF-alpha; (v) the matrix metalloproteinases (MMPs) MMP-1, MMP-2, MMP-3, MMP-7, MMP-8, MMP-9, MMP-12, and MMP-13; and (vi) the adhesion molecules ICAM-1, VCAM-1, E-selectin and P-selectin. The intra-assay variation (i.e. the variation between duplicates was generally  $<10\%$ ). In our study we investigated the influence of sample preparation on measured cytokine levels, i.e. we compared differences between mediator levels in various samples from the same individual; to avoid the influence of inter-assay variations all samples from the same individual were analyzed in the same assay.

### 2.3. Statistical and bioinformatical analyses

The data were analyzed with IBM SPSS Statistics version 21 and Graphpad Prism version 5. The Wilcoxon's signed rank test was used to compare paired samples and the Mann-Whitney-U test to compare the different groups. Spearman's correlation was used for correlation analysis; an  $r$ -value  $>0.80$  was then considered as a high degree of correlation and  $p$ -values  $<0.05$  were regarded as statistically significant. The Chi-square test was used to compare categorized data.

Coefficient of variation (CV% defined as standard deviation (SD)  $\times 100$  relative to the corresponding mean) was calculated for all samples/mediators when the corresponding median level exceeded the lowest standard. For CV% calculation OOR $<$  was set to 0.64 similar to what has been recommended by others (Wong et al., 2008).

For bioinformatical analyses cytokine values flagged as OOR $<$  were replaced with 90% of the lowest observed value, while values flagged as OOR $>$  were replaced by 110% of

the highest observed value. Values were normalized to the calculated geometrical mean and log (2) transformed and median normalized before an unsupervised hierarchical clustering analysis was performed using the Euclidian distance measurement; complete linkage analysis was performed using the J-Express 2009 analysis suite (MolMine AS, Bergen, Norway). Unsupervised hierarchical clustering was performed with Pearson's correlation as distance measure and average weighted linkage (Hosnijeh et al., 2010).

### 3. Results

#### 3.1. Characterization of the sample donors

All our donors had normal hemoglobin levels, and the peripheral blood platelet and the total leukocyte counts as well as the relative and absolute levels of peripheral blood leukocyte subsets were all normal. The donors were all healthy, did not use any medication and showed no clinical signs of intercurrent disease. Their CRP levels were normal at the time of sampling.

#### 3.2. Levels of soluble mediators in serum and various types of plasma samples – a comparison of median level, variation range and coefficient of variance

The levels of TNF-alpha, INF-gamma, bFGF, IL-1alpha, IL-1beta, IL-2, IL-4, IL-5, IL-6, IL-7, IL-10, IL-12, IL-13, IL-17, MMP-12 and MMP-13 either showed undetectable levels or equally low values for the majority of donors (>80%) for all four sampling methods. There was a considerable variation in serum/plasma levels between the healthy individuals for the other mediators. Fig. 1 shows vertical scatterplots for the mediators EGF, VEGF, CD40L, P-selectin, TPO, MMP-1, MMP-8 and MMP9 as examples for mediators where serum levels are significantly higher than the corresponding plasma levels. The Supplementary Table 1 lists the median level, range and coefficient of variation (CV%) for each mediator; the table in addition gives the fraction of samples with a measured concentration within the range of the corresponding standard curve and the number of samples reported with no technical error. Twenty-nine mediators had a median level within the range of the standard curve and serum samples showed the highest median concentration for all these except for 4 mediators. The exceptional mediators were CXCL10 and CXCL11 that showed the highest median concentration in heparin plasma, and Leptin and MMP-2 that showed the highest median concentration in EDTA plasma. No mediator had highest median concentration in citric acid plasma.

EGF and VEGF concentrations were very low and close to undetectable in all types of plasma whereas high levels were observed in serum samples. For CD40L, MMP-1, MMP-8, MMP-9, TPO and P-selectin, the median serum levels were at least two times higher than the corresponding median concentration in any type of plasma, whereas the median concentration of CCL11 in heparin plasma was six times higher than for any other sample type. Finally, all MMP-7 EDTA measurements were lower than the lowest standard concentration.

The CV% was calculated for each mediator and preparation method, and the samples with the lowest CV% differed between mediators:

- Serum samples showed the lowest CV% for CD40L, IL-1RA, CCL5, CXCL5, CXCL8, CXCL10, E-selectin, P-selectin, MMP-2, MMP-7 and MMP-8.
- Heparin samples showed the lowest CV% for CCL2, CCL11, TPO, HGF and VCAM-1.
- EDTA samples showed the lowest CV% for Leptin, MMP-1, MMP-3 and MMP-9.
- Citric acid samples showed the lowest CV% only for ICAM-1.

Thus, none of our four sample preparation methods were associated with a generally low CV% for all mediators when investigating healthy individuals.

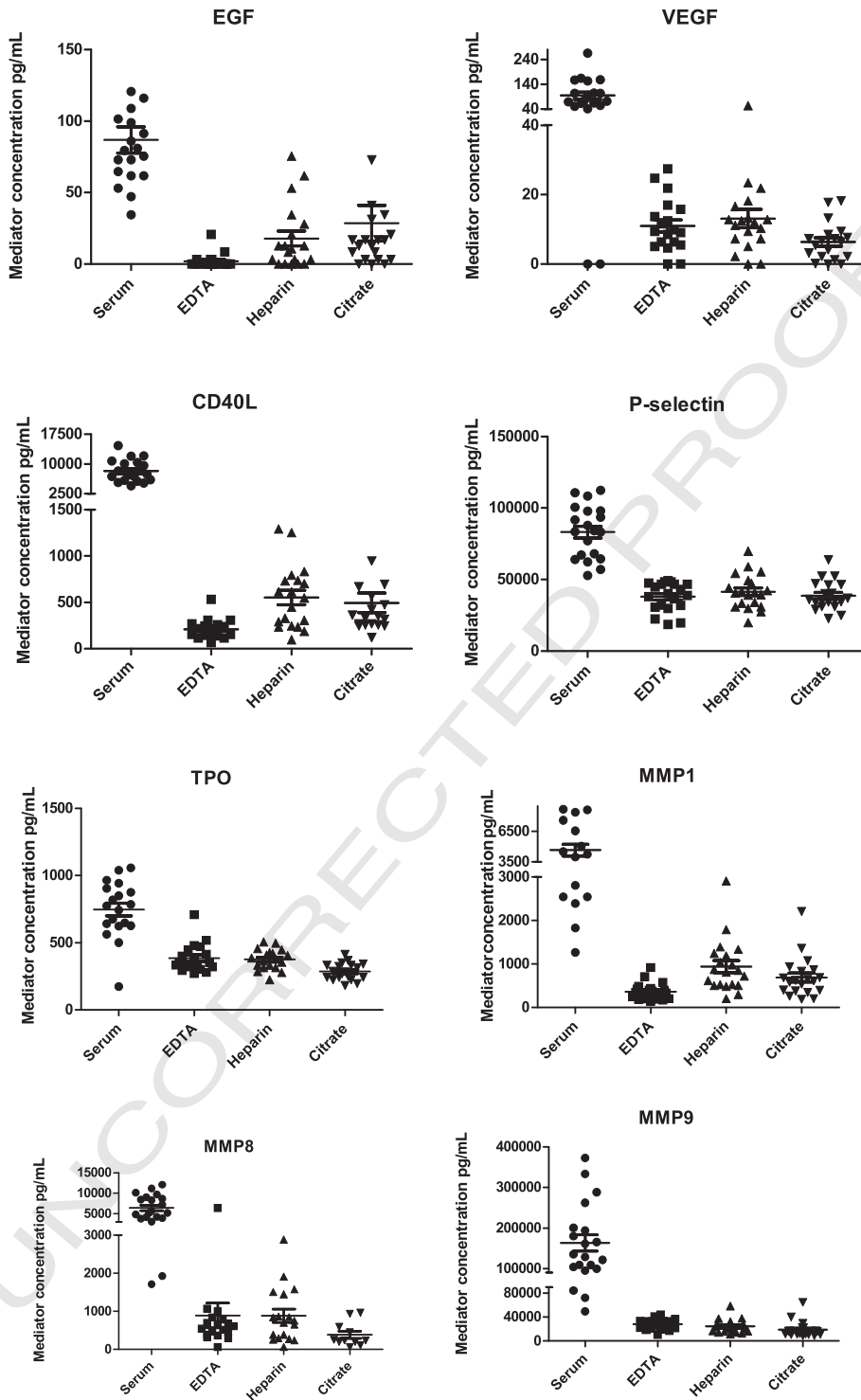
#### 3.3. The contribution of ex vivo platelet release to serum levels of soluble mediators

Platelets can release a wide range of soluble mediators, including both cytokines and soluble adhesion molecules (Bruserud, 2013). For these mediators ex vivo release during sample coagulation/preparation may thus contribute to the serum levels and thereby contribute to the differences between sample types (Fig. 1). We therefore investigate whether serum levels of soluble mediators showed any correlation with peripheral blood platelet counts (Table 1), but significant correlations were only detected for P-selectin (all four preparation methods), sCD40 (heparin, citric acid), CXCL5 (citric acid), EGF (EDTA) and VEGF (EDTA) but not for any other mediators. CCL5 serum and plasma samples showed similar high levels exceeding the highest standard; CCL5 was therefore not included in any further analysis because we regard these high levels to be caused by platelet release during ex vivo handling of the samples (Apelseth et al., 2010).

#### 3.4. Comparison of mediator levels in different sample categories – the influence of sample preparation on mediator levels differs between various mediators

We compared the mediator levels in serum and different types of plasma by (i) analysis of differences between measured levels (Wilcoxon's test), and (ii) by calculating the correlation coefficients (Spearman's rank correlation) for each of the following mediators: CCL2, CCL3, CCL4, CCL5, CCL11, CXCL5, CXCL11, TPO, Leptin, CD40L, IL-1RA, VCAM-1, ICAM-1, E-selectin, P-selectin, MMP-1, MMP-2, MMP-3, MMP-7, MMP-8 and MMP-9. The overall results are summarized in Fig. 2. Based on these observations the following conclusions can be drawn:

- For IL1-RA and CCL3 there were no or only minor differences between all six comparisons, i.e. there were strong correlations for all comparisons (Spearman's rank correlation) and no or only minor differences when comparing different samples (Wilcoxon's test).
- For another group of mediators there were considerable differences when comparing the levels for the four different sampling strategies, but despite this there were strong correlations between the levels for at least five out of the six combinations. This means that even though the measured levels differ, the same variation between



**Fig. 1.** Serum levels are significantly higher than the corresponding plasma levels for certain mediators. The figure presents the overall results for EGF, VEGF, CD40L, P-selectin, TPO, MMP-1, MMP-8 and MMP-9 investigated in blood samples derived from 20 healthy individuals.

279 individuals can be detected in all or most samples as  
 280 demonstrated by the significant correlations. This was  
 281 seen for all the soluble adhesion molecules (VCAM-1,  
 282 ICAM-1, E-selectin and P-selectin) as well as for CCL2,

TPO, Leptin, MMP-2 and MMP-3. For CCL2, CCL4 and  
 283 MMP-1 the levels also differed between samples but  
 284 strong correlations were seen only for 4 of the 6  
 285 combinations. 286

**Table 1**Correlation matrices for different soluble mediators released by platelets and platelet count. Significant values ( $p < 0.05$ ) are marked with bold.

	Mediator	r-Value	p-Value	Mediator	r-Value	p-Value	Mediator	r-Value	p-Value			
t1.1	<b>CCL2</b>	Serum	-0.265	0.273	<b>IL1RA</b>	Serum	-0.168	0.505	<b>MMP8</b>	Serum	0.168	0.478
t1.2		EDTA	-0.298	0.215		EDTA	0.085	0.731		EDTA	0.08	0.752
t1.3		Heparin	-0.341	0.152		Heparin	0.068	0.781		Heparin	0.21	0.402
t1.4		Citrate	-0.046	0.847		Citrate	0.092	0.707		Citrate	-0.261	0.438
t1.5	<b>CCL3</b>	Serum	0.312	0.18	<b>EGF</b>	Serum	0.421	0.073	<b>MMP9</b>	Serum	-0.061	0.798
t1.6		EDTA	0.3	0.212		EDTA	<b>0.523</b>	<b>0.022</b>		EDTA	-0.049	0.837
t1.7		Heparin	0.433	0.064		Heparin	0.425	0.07		Heparin	0.391	0.088
t1.8		Citrate	0.212	0.383		Citrate	0.377	0.102		Citrate	0.186	0.432
t1.9	<b>CCL4</b>	Serum	0.338	0.157	<b>HGF</b>	Serum	0.321	0.181	<b>CD40L</b>	Serum	0.439	0.06
t2.0		EDTA	0.35	0.142		EDTA	0.007	0.978		EDTA	0.413	0.088
t2.1		Heparin	0.297	0.217		Heparin	0.112	0.659		<b>Heparin</b>	<b>0.498</b>	<b>0.03</b>
t2.2		Citrate	0.422	0.064		Citrate	0.157	0.534		<b>Citrate</b>	<b>0.491</b>	<b>0.038</b>
t2.3	<b>CXCL5</b>	Serum	0.002	0.994	<b>P-selectin</b>	<b>Serum</b>	<b>0.583</b>	<b>0.007</b>	<b>MMP1</b>	Serum	-0.145	0.543
t2.4		EDTA	0.263	0.263		EDTA	<b>0.484</b>	<b>0.031</b>		EDTA	-0.089	0.709
t2.5		Heparin	0.253	0.281		<b>Heparin</b>	<b>0.487</b>	<b>0.029</b>		Heparin	0.102	0.669
t2.6		<b>Citrate</b>	<b>0.589</b>	<b>0.006</b>		<b>Citrate</b>	<b>0.557</b>	<b>0.011</b>		Citrate	0.181	0.444
t2.7	<b>CXCL8</b>	Serum	-0.44	0.052	Serum	-0.145	0.543	Serum	-0.145	0.543		
t2.8		EDTA	-0.26	0.282	EDTA	-0.089	0.709	EDTA	-0.089	0.709		
t2.9		Heparin	-0.09	0.715	Heparin	0.102	0.669	Heparin	0.102	0.669		
t3.0		Citrate	-0.134	0.586	Citrate	0.181	0.444	Citrate	0.181	0.444		

- 287 • For CCL5, MMP-8 and MMP-9 there were no significant  
 288 correlations when comparing the levels in various samples.  
 289 • For the last four mediators we observed several significant  
 290 differences between the levels in various samples, and  
 291 significant correlations between different samples were  
 292 seen only for a minority of the six combinations.

293 We therefore conclude that the influence of sample  
 294 preparation on systemic mediator levels varies among soluble  
 295 mediators. For a majority of mediators there are considerable  
 296 differences between the levels measured in different samples,  
 297 i.e. the levels are dependent on the sample preparation. Despite  
 298 this difference in absolute levels, there are often significant  
 299 correlations between samples so that the same variation  
 300 between individuals can be detected independent of the  
 301 preparation.

### 302 3.5. Alternative methods for preparation of plasma samples: citric 303 acid combined with platelet inhibitors or bivalirudin alone as 304 anticoagulant

305 We investigated whether the plasma levels of platelet-  
 306 derived mediators could be decreased by adding platelet-  
 307 inhibitory agents together with citric acid during peripheral  
 308 blood sampling. Control samples without platelet inhibitors  
 309 were prepared by either saline and ethanol (ticagrelor) or  
 310 bicarbonate and ethanol (ASA, PGE<sub>2</sub>) without the platelet  
 311 inhibitors to the sampling tubes at the same volumes as for test  
 312 tubes containing PGE<sub>2</sub>/ASA/platelet inhibitor. Peripheral blood  
 313 samples were collected from eight healthy blood donors. We  
 314 examined the levels of CXCL5, VEGF and MMP-9 which all can be  
 315 derived from peripheral blood platelets (Sheu et al., 2004;  
 316 Kalvegren et al., 2011; Bruserud, 2013). Control cultures showed  
 317 detectable CXCL5 levels for all eight donors, detectable MMP-9  
 318 for seven donors but detectable VEGF only for one/two donors,  
 319 respectively. The presence of both ASA/PGE<sub>2</sub> and ticagrelor  
 320 during sampling had only minor and divergent effects and

differences did not reach statistical significance neither for CXCL5 321  
 nor MMP-9, and VEGF levels were not altered either. Briefly, 322  
 ASA/PGE<sub>2</sub> had divergent effects both for CXCL5 (range 61–181% 323  
 of control) and MMP8 (range 98–134% of control); the same was 324  
 true for ticagrelor (CXCL5 53–121% and MMP9 47–202% of 325  
 corresponding controls, respectively). 326

We compared the use of citric acid and bivalirudin as 327  
 anticoagulants for plasma preparation. Samples prepared by 328  
 using bivalirudin showed increased levels compared with the 329  
 corresponding controls: (i) for CXCL5 a minor decrease was 330  
 seen for one exceptional sample (73% of control) whereas for 331  
 the other 7 a 2.0–10.3 fold increase was seen; (ii) for MMP9 one 332  
 exceptional sample showed a decrease (91% of control) 333  
 whereas the other seven samples showed a 1.6–7.5 fold 334  
 increase; and (iii) for VEGF an increase to detectable levels 335  
 was seen for 4 patients. 336

Thus, the presence of platelet inhibitors has only minor 337  
 effects on the levels of platelet-derived inhibitors in plasma 338  
 samples, whereas anticoagulation by bivalirudin is associated 339  
 with increased levels of several platelet-derived mediators in 340  
 the samples. This is true for soluble mediators stored both in 341  
 platelet alpha granules (MMP-9, VEGF) and dense bodies 342  
 (CXCL5) (Sheu et al., 2004; Bruserud, 2013). 343

### 344 3.6. Unsupervised hierarchical cluster analysis of systemic mediator 345 levels

We did a hierarchical cluster analysis that included serum 346  
 and plasma levels for soluble adhesion molecules (ICAM-1, 347  
 VCAM-1, E-selectin, P-selectin), matrix metalloproteinases 348  
 (MMP-1, MMP-2, MMP-3, MMP-7, MMP-8, MMP-9) and several 349  
 chemokines (CCL2, CCL3, CCL4, CCL5, CCL11, CXCL5, CXCL11) as 350  
 well as other cytokines (TPO, Leptin, EGF, VEGF, IL-1RA, CD40L, 351  
 HGF, G-CSF). These mediators were selected because they 352  
 showed detectable systemic levels for a majority of samples 353  
 within the range of the standard curve. This analysis resulted in 354  
 two main clusters, and the lower main cluster could be further 355

Upper

IL1-RA				CD40 Ligand				CCL2			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDTA	0.775*			EDTA	0.579*			EDTA	0.38		
Heparin	0.818*	0.882*		Heparin	0.521*	0.25		Heparin	0.584*	0.921*	
Citrate	0.933*	0.892*	0.905*	Citrate	0.35	0.24	0.535*	Citrate	0.38	0.952*	0.918*
CCL3				CCL4				CCL5			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDTA	0.568*			EDTA	0.824*			EDTA	0.01		
Heparin	0.607*	0.825*		Heparin	0.754*	0.822*		Heparin	-0.26	0.13	
Citrate	0.582*	0.600*	0.523*	Citrate	0.27	0.39	0.464*	Citrate	0.03	0.06	0.21
CCL11				CXCL5				CXCL10			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDTA	0.815*			EDTA	0.533*			EDTA	0.686*		
Heparin	0.850*	0.690*		Heparin	0.43	0.523*		Heparin	0.844*	0.710*	
Citrate	0.849*	0.932*	0.641*	Citrate	0.12	0.520*	0.28	Citrate	0.785*	0.831*	0.829*
CXCL11				VEGF				EGF			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDTA	0.555*			EDTA	0.451			EDTA	0.368		
Heparin	0.34	0.14		Heparin	0.589*	0.775*		Heparin	0.492*	0.545*	
Citrate	0.512*	0.41	0.27	Citrate	0.487*	0.749*	0.722*	Citrate	0.279	0.086	0.06

Lower

TPO				Leptin				VCAM1			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDAT	0.473*			EDTA	0.992*			EDTA	0.931*		
Heparin	0.512*	0.828*		Heparin	0.875*	0.992*		Heparin	0.952*	0.904*	
Citrate	0.487*	0.630*	0.732*	Citrate	0.988*	0.981*	0.971*	Citrate	0.880*	0.827*	0.830*
ICAM1				E-selectin				P-selectin			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDAT	0.965*			EDTA	0.976*			EDTA	0.826*		
Heparin	0.964*	0.982*		Heparin	0.974*	0.964*		Heparin	0.737*	0.774*	
Citrate	0.917*	0.884*	0.884*	Citrate	0.798*	0.761*	0.743*	Citrate	0.786*	0.820*	0.802*
MMP1				MMP2				MMP3			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDAT	0.568*			EDTA	0.412			EDTA	0.986*		
Heparin	0.708*	0.570*		Heparin	0.603*	0.483*		Heparin	0.952*	0.953*	
Citrate	0.332	0.186	0.526*	Citrate	0.583*	0.517*	0.758*	Citrate	0.937*	0.934*	0.941*
MMP7				MMP8				MMP9			
	Serum	EDTA	Heparin		Serum	EDTA	Heparin		Serum	EDTA	Heparin
EDAT				EDTA	0.277			EDTA	0.283		
Heparin	0.663*			Heparin	0.480*	0.384		Heparin	0.559*	0.402	
Citrate	0.900*		0.654*	Citrate	0.301	-0.288	0.268	Citrate	-0.105	0.383	0.421

**Fig. 2.** Comparison between various samples with regard to differences in mediator levels and correlation of levels between samples – an overview of the results for 24 soluble mediators. Four different samples (serum and plasma prepared by addition of EDTA, heparin or citric acid) were examined for each individual and 6 different combinations were therefore compared. The color of each cell indicates whether the statistical comparison of mediator levels in the two corresponding sample sets showed statistically significant differences (Wilcoxon's signed rank test; green box,  $p < 0.05$ ) or not (red box,  $p > 0.05$ ). The value in each cell represents the r-value for the corresponding mediator and preparation method. Significant r-value ( $p < 0.05$ ) is marked with asterisk. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

356 divided into three new subclusters (Fig. 3). Each of these four  
357 clusters showed specific characteristics:

- 358 • Upper cluster. This cluster included all 20 serum samples  
359 together with one citric acid sample.
- 360 • Upper middle and lower middle clusters. These two clusters  
361 included the majority of EDTA and citric acid samples; the  
362 upper middle cluster then included eleven citric acid samples  
363 versus eight EDTA samples whereas the lower middle cluster  
364 included eleven EDTA versus six citric acid samples. However,  
365 EDTA and citric acid samples from the same individual  
366 tended to cluster close to each other; six such pairs were

367 found in the upper middle cluster, five pairs in the lower  
368 middle and one exceptional pair in the lower cluster.

- 369 • Lower cluster. This cluster included 17 out of the 20 heparin  
370 samples together with 1 EDTA/citric acid pairs and one  
371 additional citric acid sample.

The non-random localization of serum samples in the upper  
372 cluster and heparin samples in the lower cluster reached  
373 statistical significance (Chi-square test,  $p$ -value  $< 0.01$ ), and the  
374 samples in the upper cluster (i.e. mainly serum samples)  
375 showed significantly higher levels of MMP-8, MMP-9, CD40L,  
376 CCL4, CCL5, EGF, VEGF and CXCL5 compared with the other  
377

378 clusters. The lower cluster included most of the heparin  
379 samples, but only CCL11 and CXCL11 levels were significantly  
380 higher for samples in the lower cluster compared with all other  
381 samples. Thus, the close localization of serum and heparin  
382 samples in this analysis suggests that the sample preparation  
383 has a relatively strong influence on the measured levels for  
384 these two sampling strategies; for serum samples the close  
385 clustering seems to be determined by a relatively large group of  
386 mediators, whereas the clustering of heparin samples is  
387 determined mainly by the overall profile, and only CCL11 and  
388 CXCL11 differed significantly from the other samples. On the  
389 other hand, the tendency for pairwise localization of EDTA and  
390 citric acid samples in the upper and lower middle clusters  
391 suggests that individual characteristics of the sample donors  
392 are relatively more important for these samples than the  
393 sampling methods.

#### 394 4. Discussion

395 Microbead technology has made it possible to measure  
396 multiple mediators simultaneously in small sample volumes.  
397 This makes cytokine profiling attractive for the analysis of  
398 complex biological processes. Serum and different types of  
399 plasma are easily obtainable, and such sampling has been  
400 included in several clinical and epidemiological studies during  
401 the last decades. It will therefore be important to know how  
402 sample preparation affects the measured levels of soluble  
403 mediators, e.g. direct or indirect effects of additives in collection  
404 tubes, or differences between serum and various types of  
405 plasma. Such information will be essential when comparing  
406 the results from different studies and may explain apparently  
407 conflicting results.

408 Several studies have previously shown that serum samples  
409 have higher levels of several mediators compared to different  
410 types of plasma samples (Wong et al., 2008; Biancotto et al.,  
411 2012; Krishnan et al., 2014). This is confirmed in the present  
412 study, and the increased serum levels are also reflected in our  
413 cluster analysis where serum samples group together in a  
414 common main cluster. The most likely explanation for this is  
415 platelet release during ex vivo sample preparation or activation  
416 of immunocompetent cells by the coagulation (Jung et al., 2008;  
417 Kalvegren et al., 2011). A difference is especially seen for certain  
418 platelet-derived mediators that show high serum levels whereas  
419 their levels in all types of plasma are low or undetectable (i.e.  
420 CD40L, VEGF and EGF). However, our study suggests that the  
421 impact of platelet release differs among mediators; for several  
422 mediators known to be released by activated platelets there was  
423 no correlation between serum levels and platelet counts in  
424 peripheral blood, but rather significant correlations between  
425 serum and plasma levels. This observation suggests that for  
426 these mediators the platelet release has a minor effect on the  
427 serum levels, and one would then expect serum and plasma  
428 samples to reflect the same variation between individuals.  
429 Finally, for certain mediators we could only detect significant  
430 correlations between platelet counts and plasma levels but not  
431 serum levels. This last observation suggests that the importance  
432 of ex vivo release should not be considered only for serum  
433 samples but also for plasma samples at least for these mediators.  
434 One possibility to reduce the problem of platelet release is to use  
435 plasma and to add platelet-inhibitory agents at the time of  
436 sampling (Foss et al., 2001), but this approach is less suitable for

large-scale blood sampling and the agents may in addition have 437  
effects on the leukocytes (Bruserud and Lundin, 1987). 438

All our donors had normal hemoglobin levels, and the 439  
peripheral blood platelet and total peripheral blood leukocyte 440  
counts as well as the relative and absolute levels of leukocyte 441  
subsets were all normal. The impact of sampling procedures 442  
may increase if individuals with leukocytosis or thrombocytosis 443  
are studied, whereas the impact of this ex vivo platelet release 444  
would probably decrease if thrombocytopenic patients are 445  
studied. However, the possible problem of platelet release has 446  
to be addressed in all studies independent of the peripheral 447  
blood platelet counts. 448

Previous studies have shown that serum mediator levels may 449  
depend on sample preparation and whether blood is collected 450  
onto tubes with or without coagulation-activating reagents 451  
(Hosnijeh et al., 2010; Biancotto et al., 2012), but it should be 452  
emphasized that this difference has been investigated only for a 453  
limited number of mediators. We only investigated serum 454  
samples that were collected onto tubes with coagulation- 455  
activating agents and this may explain the relatively high levels 456  
of several mediators in our study. Additional studies are needed 457  
to clarify whether tubes with or without coagulation-activated 458  
agents should be preferred. 459

Due to the effect of platelet release on mediator levels the 460  
use of EDTA plasma has been recommended in certain previous 461  
studies (Krishnan et al., 2014). However, others have reported 462  
that EDTA is unsuitable due to platelet adhesion and aggrega- 463  
tion that might lead to reduced mediator levels (Biancotto 464  
et al., 2012; Patil et al., 2013), and for certain mediators (e.g. 465  
MMP-7) the presence of EDTA makes the detection impossible. 466  
Rather, citrate plasma has been recommended as the best 467  
compromise for analysis of matrix metalloproteinases and 468  
TIMPs (Mannello, 2008). Our present study additionally 469  
showed that certain mediators had increased levels in EDTA 470  
plasma; this was true both for Leptin and MMP-2 which 471  
showed significantly increased levels. Furthermore, our 472  
clustering analysis showed that EDTA and citric acid samples 473  
from the same individual usually clustered together in the 474  
same main cluster (Fig. 3, upper and lower middle clusters), 475  
and these two main clusters included more than 90% of the 476  
EDTA and citric acid samples. Thus, the distribution of these 477  
samples in our cluster analysis is not random and indicates 478  
that EDTA and citric acid influence mediator levels in a similar 479  
way. 480

We investigated whether the plasma levels of platelet- 481  
derived mediators could be altered by the presence of platelet- 482  
inhibitory agents (ASA/PGE<sub>2</sub> or ticagrelor) or by using an 483  
alternative anticoagulant (bivalirudin). We used the same 484  
concentrations of ASA/PGE<sub>2</sub> and bivalirudin as used in previous 485  
sampling studies (Foss et al., 2001; Bexborn et al., 2009), 486  
whereas ticagrelor was used at a concentration known to cause 487  
platelet inhibition (Nylander et al., 2013). However, the 488  
presence of ASA/PGE<sub>2</sub> or ticagrelor together with citric acid had 489  
only minor effects on the levels of platelet-released mediators, 490  
while increased levels were seen for bivalirudin compared with 491  
citric acid. Thus, our present results do not support the use of 492  
these strategies to minimize the release of soluble mediators 493  
from platelets during plasma preparation. 494

Previous studies have demonstrated that the release of MMPs 495  
by platelets may depend on the activation signal (Kalvegren 496  
et al., 2011). Thus, the contribution of ex vivo platelet release to 497





530 serum/plasma levels may thus differ between various mediators  
531 and also between serum and various types of plasma.

532 Most heparin samples (17 out of 20 samples) clustered  
533 together; this observation suggests that the levels in heparin  
534 plasma are influenced by the sampling/preparation procedure.  
535 For some of the mediators (CCL11, CXCL11) the levels were  
536 significantly higher in heparin plasma compared with the other  
537 samples. On the other hand, heparin may also reduce measured  
538 mediator levels by increased ex vivo adsorption (Fujita et al.,  
539 2002), and heparin may even have effects on immunocompetent  
540 cells (Bruserud and Lundin, 1987). Altered cytokine release or  
541 cytokine binding/adsorption during ex vivo handling may  
542 therefore explain why heparin samples differ from the other  
543 samples.

544 The impact of sampling and ex vivo handling thus differed  
545 between mediators (Fig. 2). However, the soluble adhesion  
546 molecules differed from the other mediators as their levels only  
547 showed minor differences between samples, indicating that  
548 sample preparation did not have a major impact on the  
549 measured levels (Fig. 2). Previous studies have also addressed  
550 the question whether sampling and preparation will affect the  
551 measurements of systemic mediator levels (Fatas et al., 2008;  
552 Wong et al., 2008; Hosnijeh et al., 2010; Biancotto et al., 2012;  
553 Patil et al., 2013; Krishnan et al., 2014), but none of these  
554 studies included the soluble adhesion molecules ICAM-1,  
555 VCAM-1, P-selectin and E-selectin.

556 Several direct inhibitors of coagulation do not rely on  
557 calcium depletion or antithrombin effects, including hirudin and  
558 dabigatran. Both these agents inhibit thrombin directly and do  
559 not rely on antithrombin; for this reason their off-target effects  
560 are probably minimal. Although hirudin interacts late in the  
561 coagulation cascade, it has previously been used in whole-blood  
562 models that required minimal effects on calcium depletion,  
563 heparin or coagulation activation (Bexborn et al., 2009). The  
564 possible use of these agents for plasma preparation and  
565 biobanking should be further investigated.

566 The experience from acute myeloid leukemia illustrates that  
567 the examination of how analysis of mediator profiles may  
568 become useful compared to analysis of single mediators both  
569 for evaluation during treatment, for prognostic evaluation and  
570 for the diagnosis of complications following intensive treat-  
571 ment (Reikvam et al., 2013). However, our present studies  
572 show that measured levels for several mediators will depend  
573 on sample preparation. Existing biobanks have often included  
574 only one sample type, and for seriously ill patients the available  
575 blood sample volumes for biobanking may be limited and  
576 preparation of only one type of sample is possible. The optimal  
577 type of sample may then differ between mediators. A previous  
578 study suggested that citrate plasma seems to be the best  
579 compromise for analysis of matrix metalloproteinases and  
580 TIMPs (Mannello, 2008), but this may not be true for other  
581 mediators. Careful standardization of detailed methodological  
582 descriptions will therefore be essential.

583 Our study demonstrates that mediator levels may differ  
584 between plasma and serum samples from the same individuals,  
585 and such differences in sample preparation may explain  
586 different observations in different studies. When a correlation  
587 between levels in serum and plasma samples is seen, a possible  
588 solution might be the conversion of values from one type of  
589 samples to an alternative type. However, as pointed previously  
590 (Jung and Wu, 2010) in their example with MMP-9, it is  
591 difficult to convert data in such a way, and calculations based  
592 on correlation analyses alone are not sufficient. In our opinion  
593 such transformation of data for one type of sample in order to  
594 allow for a direct comparison with another type of sample  
595 should be avoided or only be done with great care.

596 Scientific studies of soluble mediators in patients will often  
597 require a broad initial screening (often based on biobank  
598 material) before mediators are selected for large-scale clinical  
599 studies, e.g. more than 100 mediators in certain AML studies  
600 (Reikvam et al., 2013). The sample volume and the types of  
601 samples (i.e. plasma or serum) will often be limited, and in real  
602 life one often has to compromise with regard to sample type in  
603 such initial screenings. However, a careful selection of the  
604 optimal sample preparation has to be a part of the additional  
605 studies when a limited number of mediators are selected for  
606 scientific evaluation of defined soluble mediator signatures in  
607 clinical practice.

608 Our present study shows that the systemic (serum or  
609 plasma) levels of several soluble mediators depend on sample  
610 preparation, but this impact differs between mediators. These  
611 effects of sampling have to be considered when comparing  
612 observations from different studies. Our results therefore  
613 emphasize the importance of carefully standardized sampling  
614 procedures, and detailed methodological descriptions should be  
615 included in future presentations of scientific results. This will be  
616 essential to allow comparison of results from different studies  
617 and to consider whether differences in sample preparation can  
618 explain divergent results.

619 Supplementary data to this article can be found online at  
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623

## Addendum

Q11

625 Tor Henrik Tvedt performed all statistical analysis,  
626 Øystein Bruserud designed the study, Kristin Paulsen performed  
627 the Luminex assay analysis and Håkon Reikvam performed the  
628 bioinformatical analyses. Annette K. Brenner performed the  
629 experiments with platelet inhibitors and hirudin as antico-  
630 agulant. Øystein Bruserud and Tor Henrik Tvedt wrote the  
631 manuscript; all authors approved the final version.

**Fig. 3.** Unsupervised hierarchical cluster analysis of soluble mediator levels in serum and plasma prepared with three different anticoagulants. Concentrations of 25 mediators were determined using the Luminex technology for 20 healthy controls, and levels were compared in serum and in plasma prepared with three different anticoagulants, i.e. heparin, EDTA and citric acid. The concentrations were normalized and log (2) transformed before an unsupervised hierarchical clustering with Euclidian distance measurement with complete linkage was performed. The results are presented as dendrograms and a heat map for visualization and interpretation. Red indicates low and green high values. The mediators are indicated at the top of the figure and the individuals and sample techniques are shown on the right in the figure. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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