

Accepted Manuscript

Title: Expression of brain-derived neurotrophic factor and its receptor TrkB is associated with poor prognosis and a malignant phenotype in small cell lung cancer

Authors: Shinichi Kimura, Taishi Harada, Kayo Ijichi, Kentaro Tanaka, Liu Rempeng, Daisuke Shibahara, Yuko Kawano, Kohei Otsubo, Yasuto Yoneshima, Eiji Iwama, Yoichi Nakanishi, Isamu Okamoto



PII: S0169-5002(18)30321-0
DOI: <https://doi.org/10.1016/j.lungcan.2018.04.005>
Reference: LUNG 5618

To appear in: *Lung Cancer*

Received date: 24-1-2018
Revised date: 2-4-2018
Accepted date: 4-4-2018

Please cite this article as: Kimura Shinichi, Harada Taishi, Ijichi Kayo, Tanaka Kentaro, Rempeng Liu, Shibahara Daisuke, Kawano Yuko, Otsubo Kohei, Yoneshima Yasuto, Iwama Eiji, Nakanishi Yoichi, Okamoto Isamu. Expression of brain-derived neurotrophic factor and its receptor TrkB is associated with poor prognosis and a malignant phenotype in small cell lung cancer. *Lung Cancer* <https://doi.org/10.1016/j.lungcan.2018.04.005>

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Expression of brain-derived neurotrophic factor and its receptor TrkB is associated with poor prognosis and a malignant phenotype in small cell lung cancer

Shinichi Kimura^a, Taishi Harada^{a,b,*}, Kayo Ijichi^c, Kentaro Tanaka^a, Liu Renpeng^a, Daisuke Shibahara^a, Yuko Kawano^a, Kohei Otsubo^a, Yasuto Yoneshima^a, Eiji Iwama^a, Yoichi Nakanishi^a, Isamu Okamoto^a

^aResearch Institute for Diseases of the Chest, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan

^bDepartment of Respiratory Medicine, Japan Community Healthcare Organization Kyushu Hospital, Kitakyushu, Japan

^cDepartment of Anatomic Pathology, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan

***Corresponding author at:** Research Institute for Diseases of the Chest, Graduate School of Medical Sciences, Kyushu University, 3-1-1 Maidashi, Higashi-ku, Fukuoka 812-8582, Japan. Tel.: +81-92-642-5378. Fax: +81-92-642-5377. Email: harada-t@kokyu.med.kyushu-u.ac.jp

Highlights

- TrkB and its ligand BDNF are frequently expressed in SCLC tumors.
- High levels of TrkB and BDNF are associated with a poor prognosis.
- BDNF markedly promoted the migration of TrkB-overexpressing SCLC cells and that this effect was blocked by a Trk inhibitor.

ABSTRACT

Objectives: TrkB is a receptor for brain-derived neurotrophic factor (BDNF) and is highly expressed in various cancers, with BDNF-TrkB signaling having been implicated in tumor progression and metastasis. The role of the BDNF-TrkB system in small cell lung cancer (SCLC), a neuroendocrine cancer, has remained unclear, however. We examined BDNF and TrkB expression in SCLC patients as well as the function of BDNF-TrkB signaling in SCLC cell lines.

Materials and Methods: BDNF and TrkB expression in tumor specimens of 58 SCLC patients and 20 non-small cell lung cancer (NSCLC) patients was examined by immunohistochemistry and was scored on the basis of the distribution and intensity of staining. TrkB-overexpressing SCLC (SBC5^{TrkB}) cells were established by retrovirus transduction and were examined for the effects of BDNF on intracellular signaling, cell proliferation, and cell migration in vitro.

Results: The staining score for TrkB in NSCLC and SCLC specimens was 2.80 ± 0.19 and 3.60 ± 0.15 , respectively, whereas that for BDNF was 1.95 ± 0.32 and 2.76 ± 0.14 , respectively. High levels of both TrkB and BDNF expression in SCLC tumors were significantly associated with poor overall survival in multivariate analysis (hazard ratio = 1.821, $P = 0.036$). BDNF activated AKT and ERK signaling pathways in and promoted the migration of SBC5^{TrkB} cells, and these effects were attenuated by the pan-Trk inhibitor GNF-5837. GNF-5837 also inhibited the proliferation of SBC5^{TrkB} cells in the presence of BDNF.

Conclusion: Coexpression of BDNF and TrkB was associated with poor prognosis in SCLC patients, and BDNF promoted the migration of TrkB-overexpressing SCLC cells. TrkB is thus a potential therapeutic target for SCLC.

Key words: TrkB, brain-derived neurotrophic factor (BDNF), small cell lung cancer (SCLC), *NTRK2* gene, prognosis

1. Introduction

Lung cancer is the leading cause of cancer death. Small cell lung cancer (SCLC) accounts for 15% of lung cancer cases and is classified as a neuroendocrine cancer in the WHO classification of 2015 [1, 2]. Compared with non–small cell lung cancer (NSCLC), SCLC is considered to be more aggressive and more likely to metastasize to other organs. Although SCLC is initially sensitive to chemotherapeutic agents, the disease recurs in most patients. The prognosis of SCLC is thus poor, with an overall 5-year survival rate of only 5% [3].

The tropomyosin receptor kinase (Trk) family of receptor tyrosine kinases comprises three transmembrane proteins known as TrkA, TrkB, and TrkC. These proteins are encoded by the genes *NTRK1*, *NTRK2*, and *NTRK3*, respectively, and serve as receptors for the neurotrophin family of nerve growth factors. TrkB is composed of an extracellular ligand-binding domain, a transmembrane domain, and a cytoplasmic tyrosine kinase domain. Brain-derived neurotrophic factor (BDNF) is a ligand of TrkB and induces TrkB dimerization and consequent activation of downstream signaling [4, 5].

Research on the BDNF-TrkB signaling pathway initially focused on the nervous system, with this pathway being implicated in conditions such as depression, schizophrenia, and Alzheimer's disease [6-8]. However, TrkB is also expressed in smooth muscle and airway epithelial cells, cardiomyocytes, and cancer cells [9-11]. We previously showed that TrkB and BDNF are highly expressed in and associated with the prognosis of NSCLC, and that a Trk inhibitor attenuated TrkB-dependent NSCLC cell migration and proliferation in the presence of BDNF [12, 13]. The relation between SCLC and TrkB has remained largely uninvestigated, however. We have therefore now examined the expression of TrkB and BDNF in SCLC as well as the possible role of BDNF-TrkB signaling in SCLC cell lines.

2. Materials and Methods

2.1. Patients and specimen collection

We retrospectively analyzed specimens from 58 SCLC patients who had undergone surgical resection or bronchoscopic biopsy between January 2000 and March 2015 as well as from 20 NSCLC patients who had undergone surgical resection between January and July 2010 at Kyushu University Hospital. Specimens were fixed in neutral-buffered formaldehyde and processed for histopathologic and immunohistochemical evaluation. The characteristics of the 58 SCLC patients are summarized in Table 1. Clinicopathologic factors, including age, sex, history, TNM staging, and SCLC staging, were evaluated. Tumor histological subtype and pathological stage were determined according to the WHO classification of 2004 and UICC guidelines for TNM classification, respectively. The histological diagnosis was confirmed by two pathologists. This study was approved by the ethics committee of Kyushu University.

2.2. Immunohistochemistry

Immunohistochemistry was performed with rabbit polyclonal antibodies to TrkB (sc-8316; Santa Cruz Biotechnology, Santa Cruz, CA, USA) and to BDNF (sc-20981, Santa Cruz Biotechnology). Paraffin-embedded sections of surgically resected or biopsy specimens were routinely depleted of paraffin and rehydrated. The sections were incubated overnight at 4°C with primary antibodies to TrkB (1:100 dilution) or to BDNF (1:50 dilution), and immune complexes were detected with biotin-conjugated secondary antibodies and streptavidin-conjugated peroxidase (Histofine SAB-PO kit; Nichirei, Tokyo, Japan). Peroxidase activity was revealed by staining with 3,3'-diaminobenzidine. Normal brain sections were examined as positive controls for TrkB and BDNF. Negative control reactions in which primary antibodies were omitted were performed in parallel and did not show substantial background staining. All immunohistochemical staining was evaluated by Pathology Institute Corp. (Toyama, Japan) without knowledge of the patients' clinical records. Staining for TrkB and BDNF was weak or nonexistent in normal lung and bronchus tissue, with bronchial smooth muscle of normal lung manifesting weak staining for both antigens (data not shown). Tumor cells with brown staining of the cell membrane or cytoplasm

were regarded as positive. We classified staining as 0 for negative, 1 for weak, 2 for moderate, or 3 for intense, and the percentage of positive tumor cells as 1 for 1% to 50% or 2 for $\geq 51\%$. The two scores for each sample were added to give a final score of 0, 2, 3, 4, or 5. This scoring system was described previously [14, 15]. Although some of the tumor specimens analyzed were relatively old, we detected no significant correlation between sample collection time and staining score (data not shown).

2.3. Cell culture

H82, H69, H446, H146, H526, HCC827, H1975, H2228, H1299, H441, A549, and Beas-2B cells were obtained from American Type Culture Collection (Manassas, VA, USA). SBC5 and H3122 cells were obtained as previously described [16, 17]. Amphopack293 cells were obtained from Clontech (Mountain View, CA, USA). The various cell lines were cultured under a humidified atmosphere of 5% CO₂ at 37°C in RPMI 1640 medium (Gibco, Carlsbad, CA, USA) or Dulbecco's modified Eagle's medium (DMEM, Gibco), each supplemented with 10% fetal bovine serum (FBS).

2.4. RT and real-time PCR analysis

Total RNA was extracted from cells with the use of an RNeasy Mini Kit (Qiagen, Valencia, CA, USA) and was subjected to reverse transcription (RT) with the use of PrimeScript RT Master Mix (Takara Bio, Shiga, Japan). The resulting cDNA was then subjected to real-time polymerase chain reaction (PCR) analysis with the use of SYBR Premix Ex Taq II (Takara Bio) and a Thermal Cycler Dice Real Time System II (Takara Bio). The PCR primers (forward and reverse, respectively) included those for TrkB (5'-TGTGTGGCGGAAAATCTTG-3' and 5'-GGTTTGGGGTTGCCTTTCAC-3') and 18S rRNA (5'-ACTCAACACGGGAAACCTCA-3' and 5'-AACCAGACAAATCGCTCCAC-3'). The amount of TrkB mRNA was normalized by that of 18S rRNA.

2.5. Plasmid construction and viral infection

SBC5 and Beas-2B cells were infected with retroviruses according to standard protocols. The plasmids pDONR223-NTRK2 (Addgene #23883) and pcDNA-DEST40 (Thermo Fisher Scientific, Waltham, MA, USA) were used as Gateway entry and destination vectors, respectively. Human TrkB cDNA was subcloned into the pQCXIP retroviral vector (Takara Bio) with the use of an In-Fusion HD Cloning Kit (Clontech), and retroviruses encoding TrkB were generated by transfection of Amphopack293 cells with the resulting construct with the use of the Xfect Transfection Reagent (Takara Bio). Cells were infected with TrkB retroviruses in the presence of polybrene (Sigma-Aldrich, St. Louis, MO, USA) at 4 mg/ml. Stably infected cells were obtained by selection with puromycin (Invitrogen, Carlsbad, CA, USA) at 50 ng/ml.

2.6. Immunoblot analysis

Cultured cells were lysed in SDS sample buffer, the lysates were fractionated by SDS-polyacrylamide gel electrophoresis, and the separated proteins were transferred to a polyvinylidene difluoride membrane. The membrane was incubated overnight at 4°C with primary antibodies to phosphorylated TrkB (#4619, diluted 1:1000; Cell Signaling Technology, Danvers, MA, USA), to TrkB (sc-8316, diluted 1:500; Santa Cruz Biotechnology), to β -actin (#4970S, diluted 1:1000; Cell Signaling Technology), to phosphorylated AKT (#4060S, diluted 1:1000; Cell Signaling Technology), to AKT (#9272S, diluted 1:1000; Cell Signaling Technology), to phosphorylated ERK (#4370S, diluted 1:1000; Cell Signaling Technology), or to ERK (#9102S, diluted 1:1000; Cell Signaling Technology). Immune complexes were detected with horseradish peroxidase-conjugated goat antibodies to rabbit immunoglobulin G (GE Healthcare UK, Amersham, UK), Pierce Western Blotting Substrate Plus (Thermo Fisher Scientific), and the ChemiDoc XRS+ system (Bio-Rad, Hercules, CA, USA).

2.7. Cell proliferation assay

Cells were seeded in 24-well plates at a density of 40,000 per well and were cultured for 72 h in the absence or presence of BDNF (Sigma-Aldrich) or GNF-5837 (Selleck Chemicals, Houston, TX, USA) in 500 μ l of RPMI 1640 medium. Cell number was then determined with the use of a Luna automatic cell counter (Logos Biosystems, Gyeonggi, South Korea).

2.8. Wound closure assay

Retrovirus-infected SBC5 or Beas-2B cells were plated in six-well plates and maintained in RPMI 1640 or DMEM, respectively, each supplemented with 1% FBS. After the cells had achieved 80% to 90% confluence, a linear scratch wound was introduced into the cell monolayer with a 100- μ l micropipette tip. The cells were washed with phosphate-buffered saline to remove debris and then incubated for 20 h with fresh medium containing (or not) BDNF or GNF-5837. Cell migration into the wound area was evaluated from photographs taken with a digital camera. The width of the wound was measured with the use of Image J software (NIH, Bethesda, MD, USA), and the extent of wound closure was calculated as: $100\% \times [(\text{wound width at 0 h}) - (\text{wound width at 20 h}) / (\text{wound width at 0 h})]$.

2.9. Transwell assay of cell migration

Cell migration was assayed with the use of 24-well Transwell chambers fitted with filters with a pore size of 8.0 μ m (Corning, Corning, NY, USA). Cells (5×10^4 in 100 μ l of RPMI 1640 supplemented with 1% FBS) were added to the upper chamber of each well, and the lower chamber was filled with 650 μ l of RPMI 1640 supplemented with 10% FBS, with or without BDNF (50 ng/ml) or 300 nM GNF-5837. The chambers were incubated for 24 to 68 h at 37°C, after which cells attached to each filter were fixed and stained with hematoxylin (Muto Pure Chemicals, Tokyo, Japan). The upper surface of the filter was scraped twice with cotton swabs to remove nonmigrating cells, and the number of migrating cells was then counted with the use of a

BZ-8100 microscope (Keyence, Osaka, Japan).

2.10. Statistical analysis

Survival curves were plotted with the Kaplan-Meier method and were analyzed with the two-sided log-rank test. Survival times were measured from the date of first visit to death or last follow-up. The Cox proportional hazard model was applied for determination of univariate and multivariate hazard ratios (HRs) for the study parameters. Significant variables in univariate analysis were subjected to multivariate analysis. Data for cell proliferation, wound closure, and cell migration are presented as means \pm SEM and were analyzed with Student's *t* test. All statistical analysis was performed with JMP software version 13 (SAS Institute, Cary, NC, USA). A *P* value of <0.05 was considered statistically significant.

3. Results

3.1. *TrkB* and *BDNF* expression in SCLC specimens

We examined the expression of *TrkB* and *BDNF* in SCLC and NSCLC specimens by immunohistochemical analysis, with representative images of positive and negative staining being shown in Figure 1A–D. The expression scores (means \pm SEM) for *TrkB* in NSCLC and SCLC were 2.80 ± 0.19 and 3.60 ± 0.15 , respectively, whereas those for *BDNF* were 1.95 ± 0.32 and 2.76 ± 0.14 , respectively (Fig. 1E). Both *TrkB* and *BDNF* scores were thus significantly ($P < 0.05$) higher in SCLC than in NSCLC. Moreover, *TrkB* and *BDNF* scores were significantly correlated in SCLC patients (Fig. 1F).

For classification of SCLC patients as positive or negative for *TrkB* or *BDNF* expression, we assigned cutoff values of 4 and 3, respectively, for expression scores on the basis of the corresponding median values for SCLC. Among the total of 58 SCLC patients, 33 (56.9%) were positive for *TrkB* and 38 (65.5%) were positive for *BDNF*. Clinical factors for SCLC patients according to *TrkB* and *BDNF* expression status are shown in Table 1. Only age (<70 or ≥ 70 years)

and BDNF expression status manifested a significant association, with clinical factors including sex, performance status (PS), T status, N status, M status, brain metastasis at the time of diagnosis, clinical stage, SCLC stage (extensive or limited disease), and treatment not differing between patients positive or negative for TrkB or BDNF expression. For the 50 SCLC patients who did not undergo surgical resection, overall survival did not differ significantly between those positive or negative for TrkB or BDNF expression (Supplementary Fig. S1). However, among these 50 patients, overall survival was significantly ($P = 0.032$) shorter for those positive for both TrkB and BDNF expression than for the remaining individuals (Fig. 1G).

Univariate analysis with the Cox proportional hazard model showed that TrkB positivity (HR = 1.455, $P = 0.213$) and BDNF positivity (HR = 1.895, $P = 0.059$) tended to be associated with shorter overall survival among all SCLC patients, although these associations were not statistically significant. Furthermore, patients positive for both TrkB and BDNF expression had a significantly poorer overall survival (HR = 2.021, $P = 0.023$). Multivariate analysis with factors found to be significant in the univariate analysis revealed that positivity for both TrkB and BDNF expression was an independent prognostic factor for overall survival [HR = 1.821, 95% confidence interval (CI) = 1.039–3.213, $P = 0.036$] (Table 2). SCLC stage was also a significant independent factor for overall survival.

3.2. Endogenous TrkB expression in SCLC and NSCLC cell lines

To investigate further the relevance of TrkB in SCLC, we first examined TrkB expression in SCLC and NSCLC cell lines. RT and real-time PCR analysis revealed that the abundance of TrkB mRNA was higher in SCLC cell lines than in NSCLC cell lines (Fig. 2A). Immunoblot analysis also showed that TrkB was expressed at a higher level in SCLC cell lines than in NSCLC lines (Fig. 2B). These data were thus consistent with our immunohistochemical analysis of clinical specimens. We also examined RNA sequencing data for *NTRK2* and *BDNF* in 48 SCLC cell lines listed in the Cancer Cell Line Encyclopedia [18] [19]. In contrast to the correlation detected

between TrkB and BDNF expression in our SCLC specimens (Fig. 1F), however, the expression levels of *NTRK2* and *BDNF* in these SCLC cell lines were not significantly correlated (Supplementary Fig. S2).

To investigate whether TrkB might promote SCLC tumor progression, we generated SCLC cells that stably overexpress TrkB. SBC5 cells, which are derived from an SCLC patient and express TrkB at a lower level compared with some of the SCLC cell lines examined (Fig. 2), were thus infected with a retrovirus encoding TrkB or with the corresponding empty virus to yield SBC5^{TrkB} and SBC5^{empty} cells, respectively. TrkB is thought to regulate the growth and survival of cells through activation of phosphatidylinositol 3-kinase (PI3K)–AKT and extracellular signal–regulated kinase (ERK) signaling pathways [20]. Immunoblot analysis showed that treatment of SBC5^{TrkB} cells with BDNF induced phosphorylation of TrkB as well as that of the downstream signaling molecules AKT and ERK, and these effects of BDNF were attenuated by the pan-Trk inhibitor GNF-5837 (Fig. 3A). Such BDNF-induced phosphorylation of TrkB, AKT, and ERK was not observed in SBC5^{empty} cells, consistent with the relatively low abundance of endogenous TrkB in SBC5 cells. To examine BDNF-TrkB signaling in normal bronchial epithelial cells, we studied the immortalized human bronchial epithelial cell line Beas-2B. BDNF also induced the phosphorylation of TrkB, AKT, and ERK in Beas-2B^{TrkB} cells in a manner sensitive to inhibition by GNF-5837, whereas such effects were not apparent in Beas-2B^{empty} cells (Supplementary Fig. S3).

We next examined the effect of BDNF on the proliferative capacity of TrkB-overexpressing SCLC cells. BDNF had no significant effect on the proliferation of SBC5^{empty} or SBC5^{TrkB} cells, although GNF-5837 significantly inhibited that of SBC5^{TrkB} cells in the presence of BDNF (Fig. 3B). In contrast, BDNF increased the number of Beas-2B^{TrkB} cells in a manner sensitive to inhibition by GNF-5837 (Supplementary Fig. S4). GNF-5837 alone had no effect on the proliferation of either TrkB-overexpressing or control SBC5 or Beas-2B cells. These data thus suggested that BDNF-TrkB signaling might influence the phenotype of SCLC by mechanisms in

addition to promotion of cell proliferation, such as stimulation of cell migration and invasion.

We therefore examined the effect of BDNF on cell migration in TrkB-overexpressing SCLC cells. We first performed a scratch wound healing assay and found that BDNF promoted the migration of SBC5^{TrkB} cells in this assay and that this effect was inhibited by GNF-5837. Such an effect of BDNF was not apparent in SBC5^{empty} cells, and the Trk inhibitor alone did not affect the migration of either SBC5^{TrkB} or SBC5^{empty} cells (Fig. 4A, Supplementary Fig. S5A). We obtained results with Beas-2B^{TrkB} and Beas-2B^{empty} cells similar to those obtained with the corresponding SBC5 cells (Supplementary Fig. S6).

To confirm the results obtained with the wound healing assay, we examined cell migration in a Transwell assay. Again, BDNF significantly increased the number of migrating cells for SBC5^{TrkB} and Beas-2B^{TrkB} cells in a manner sensitive to inhibition by GNF-5837, whereas it had no effect on the migration of SBC5^{empty} or Beas-2B^{empty} cells (Fig. 4B, Supplementary Figs. S5B and S7). Both assays of cell migration thus suggested that the poor prognosis of TrkB- and BDNF-expressing SCLC tumors might be related to an enhanced capacity for cell migration and invasion.

4. Discussion

TrkB has been shown to be highly expressed in many malignancies and associated with poor prognosis [5, 21]. The interaction of BDNF with TrkB triggers the activation of Ras-Raf-ERK, PI3K-AKT-mTOR (mechanistic target of rapamycin), and phospholipase C- γ (PLC- γ)-protein kinase C (PKC) signaling pathways and facilitates tumor progression through promotion of cell invasion, metastasis, angiogenesis, and chemotherapy resistance [4, 22]. The frequency of TrkB expression in NSCLC has been found to range from 24% to 86.7% by immunohistochemistry [23-25]. High expression levels of BDNF and TrkB as revealed by immunohistochemistry in NSCLC tumors were found to be associated with reduced overall survival and progression-free survival [13]. A previous study of 99 patients with squamous cell lung carcinoma revealed that the

frequency of patients with a high expression level of TrkB as determined by immunohistochemistry was 43% and that the overall survival of these patients was significantly shorter than that of those with a low expression level [26]. In the present study, we found that the frequency of SCLC patients with high expression levels of BDNF or TrkB was 65.5% and 56.9%, respectively. Although it is difficult to compare our findings with those of previous studies because of differences in methodology, we did confirm that the expression of BDNF and TrkB was significantly higher in SCLC than in NSCLC by analysis of both clinical specimens and cell lines. We also found that BDNF expression in SCLC patients was associated with age, although the reason for this association is unclear. The serum concentration of BDNF was previously shown to be negatively correlated with age in healthy elderly individuals [27], whereas it was significantly increased in patients with chronic obstructive pulmonary disease or asthma [28] [29]. We found no association between smoking history (pack years) and BDNF expression in the SCLC patients of the present study (data not shown).

We also confirmed that BDNF induced TrkB phosphorylation and the activation of downstream signaling pathways in TrkB-overexpressing SCLC cells. The inhibitory effect of GNF-5837 on the activation of downstream signaling was only partial, however. It is thus possible that bypass signaling such as that triggered by the p75 neurotrophin receptor (p75NTR) and Sortilin, which together are thought to function as a receptor for BDNF in addition to TrkB [30], may have limited the effect of GNF-5837. The reversible nature of GNF-5837 action might also have contributed to the incomplete inhibition of downstream signaling.

The BDNF-TrkB pathway has been shown to promote the migration and proliferation of brain tumor, NSCLC, gastric cancer, and gall bladder cancer cells [26, 31-38]. We also found that the migration activity of SBC5^{TrkB} cells was enhanced by BDNF, whereas that of the corresponding cells infected with the empty retrovirus was not. This effect of BDNF was attenuated by GNF-5837, whereas the Trk inhibitor had no effect on cell migration in the absence of BDNF. These results thus indicate that both BDNF and TrkB are required for stimulation of

SCLC cell migration in vitro, and they are consistent with the coexpression of the receptor and ligand detected in SCLC specimens by immunohistochemistry. We found that BDNF had no effect on the proliferation of SBC5^{TrkB} cells, although GNF-5837 inhibited the proliferation of these cells in the presence of BDNF. BDNF was previously shown not to affect the proliferation of gall bladder cancer cells expressing TrkB, whereas depletion of TrkB by RNA interference inhibited cell proliferation [38]. One possible explanation for these results is that ligands other than BDNF, such as neurotrophin-3 or neurotrophin-4, produced by cancer cells stimulate cell proliferation via TrkB. In contrast to SBC5^{TrkB} cells, we found that BDNF stimulated both the migration and proliferation of Beas-2B^{TrkB} cells. Together, our results thus suggest that the BDNF-TrkB pathway may preferentially promote the migration rather than proliferation of SCLC cells.

Oncogenic NTRK gene fusions have recently been detected in various tumor types. Preclinical and clinical studies have shown that Trk inhibitors have antitumor activity for such cancers, with some such agents now being under investigation in phase 2 clinical trials [5, 39-43]. Larotrectinib, a highly selective Trk inhibitor, thus induced a marked response in patients with NTRK fusion–positive cancers, with an overall response rate of 75% [44]. Although NTRK gene fusion or mutation has been detected at a low frequency in NSCLC [REF?], no such gene alterations have yet been reported for SCLC. The relevance of Trk inhibitors to the treatment of SCLC is thus currently unknown. We have now shown that the pan-Trk inhibitor GNF-5837 attenuated TrkB-dependent SCLC cell migration and proliferation in the presence of BDNF. Further study is necessary to determine whether TrkB is a potential therapeutic target in SCLC.

In conclusion, we have shown that BDNF and TrkB are expressed in SCLC tumors and that such expression is associated with a poor prognosis. Furthermore, BDNF-TrkB signaling plays an important role in the migration of SCLC cells expressing TrkB at a high level. Our results thus provide a basis for further investigations of TrkB-targeted therapy in lung cancer patients.

Conflicts of interest

The authors declare no conflicts of interest.

Acknowledgments

This study was supported by a research fund of Research Institute for Diseases of the Chest, Graduate School of Medical Sciences, Kyushu University. The authors thank A. Sato for technical assistance.

ACCEPTED MANUSCRIPT

References

- [1] A.F. Gazdar, P.A. Bunn, J.D. Minna, Small-cell lung cancer: what we know, what we need to know and the path forward, *Nat. Rev. Cancer* 17(12) (2017) 725-737.
- [2] W.D. Travis, E. Brambilla, A.G. Nicholson, Y. Yatabe, J.H.M. Austin, M.B. Beasley, L.R. Chirieac, S. Dacic, E. Duhig, D.B. Flieder, K. Geisinger, F.R. Hirsch, Y. Ishikawa, K.M. Kerr, M. Noguchi, G. Pelosi, C.A. Powell, M.S. Tsao, I. Wistuba, The 2015 World Health Organization Classification of Lung Tumors: Impact of Genetic, Clinical and Radiologic Advances Since the 2004 Classification, *J. Thorac. Oncol.* 10(9) (2015) 1243-1260.
- [3] R.L. Siegel, K.D. Miller, A. Jemal, Cancer statistics, 2015, *CA Cancer J. Clin.* 65(1) (2015) 5-29.
- [4] A. Tajbakhsh, A. Mokhtari-Zaer, M. Rezaee, F. Afzaljavan, M. Rivandi, S.M. Hassanian, G.A. Ferns, A. Pashar, A. Avan, Therapeutic Potentials of BDNF/TrkB in Breast Cancer; Current Status and Perspectives, *J. Cell. Biochem.* 118(9) (2017) 2502–2515.
- [5] Y.B. Khotenskaya, V.R. Holla, A.F. Farago, K.R. Mills Shaw, F. Meric-Bernstam, D.S. Hong, Targeting TRK family proteins in cancer, *Pharmacol. Ther.* 173 (2017) 58-66.
- [6] T. Numakawa, N. Adachi, M. Richards, S. Chiba, H. Kunugi, Brain-derived neurotrophic factor and glucocorticoids: reciprocal influence on the central nervous system, *Neuroscience* 239 (2013) 157-72.
- [7] O. Schulte-Herbruggen, M.C. Jockers-Scherubl, R. Hellweg, Neurotrophins: from pathophysiology to treatment in Alzheimer's disease, *Current Alzheimer research* 5(1) (2008) 38-44.
- [8] G. Shoval, A. Weizman, The possible role of neurotrophins in the pathogenesis and therapy of schizophrenia, *Eur. Neuropsychopharmacol.* 15(3) (2005) 319-29.
- [9] Y.S. Prakash, R.J. Martin, Brain-derived neurotrophic factor in the airways, *Pharmacol. Ther.* 143(1) (2014) 74-86.
- [10] W. Hartman, M. Helan, D. Smelter, V. Sathish, M. Thompson, C.M. Pabelick, B. Johnson, Y.S.

Prakash, Role of Hypoxia-Induced Brain Derived Neurotrophic Factor in Human Pulmonary Artery Smooth Muscle, *PLoS One* 10(7) (2015) e0129489.

[11] V. Sathish, S.K. Vanoosten, B.S. Miller, B. Aravamudan, M.A. Thompson, C.M. Pabelick, R. Vassallo, Y.S. Prakash, Brain-derived neurotrophic factor in cigarette smoke-induced airway hyperreactivity, *Am. J. Respir. Cell Mol. Biol.* 48(4) (2013) 431-8.

[12] T. Harada, Y. Yatabe, M. Takeshita, T. Koga, T. Yano, Y. Wang, G. Giaccone, Role and relevance of TrkB mutations and expression in non-small cell lung cancer, *Clin. Cancer Res.* 17(9) (2011) 2638-45.

[13] K. Okamura, T. Harada, S. Wang, K. Ijichi, K. Furuyama, T. Koga, T. Okamoto, K. Takayama, T. Yano, Y. Nakanishi, Expression of TrkB and BDNF is associated with poor prognosis in non-small cell lung cancer, *Lung Cancer* 78(1) (2012) 100-6.

[14] J. Fukuoka, T. Fujii, J.H. Shih, T. Dracheva, D. Meerzaman, A. Player, K. Hong, S. Settnik, A. Gupta, K. Buetow, S. Hewitt, W.D. Travis, J. Jen, Chromatin Remodeling Factors and BRM/BRG1 Expression as Prognostic Indicators in Non-Small Cell Lung Cancer, *Clin. Cancer Res.* 10(13) (2004) 4314-4324.

[15] A. Yoshizawa, J. Fukuoka, S. Shimizu, K. Shilo, T.J. Franks, S.M. Hewitt, T. Fujii, C. Cordon-Cardo, J. Jen, W.D. Travis, Overexpression of Phospho-eIF4E Is Associated with Survival through AKT Pathway in Non-Small Cell Lung Cancer, *Clin. Cancer Res.* 16(1) (2010) 240-248.

[16] K. Ota, K. Azuma, A. Kawahara, S. Hattori, E. Iwama, J. Tanizaki, T. Harada, K. Matsumoto, K. Takayama, S. Takamori, M. Kage, T. Hoshino, Y. Nakanishi, I. Okamoto, Induction of PD-L1 Expression by the EML4-ALK Oncoprotein and Downstream Signaling Pathways in Non-Small Cell Lung Cancer, *Clin. Cancer Res.* 21(17) (2015) 4014-21.

[17] K. Ota, T. Harada, K. Otsubo, A. Fujii, Y. Tsuchiya, K. Tanaka, I. Okamoto, Y. Nakanishi, Visualization and quantitation of epidermal growth factor receptor homodimerization and activation with a proximity ligation assay, *Oncotarget* 8(42) (2017) 72127-72132.

[18] J. Barretina, G. Caponigro, N. Stransky, K. Venkatesan, A.A. Margolin, S. Kim, C.J. Wilson, J.

- Lehár, G.V. Kryukov, D. Sonkin, A. Reddy, M. Liu, L. Murray, M.F. Berger, J.E. Monahan, P. Morais, J. Meltzer, A. Korejwa, J. Jané-Valbuena, F.A. Mapa, J. Thibault, E. Bric-Furlong, P. Raman, A. Shipway, I.H. Engels, J. Cheng, G.K. Yu, J. Yu, P. Aspesi, M. de Silva, K. Jagtap, M.D. Jones, L. Wang, C. Hatton, E. Palesscandolo, S. Gupta, S. Mahan, C. Sougnez, R.C. Onofrio, T. Liefeld, L. MacConaill, W. Winckler, M. Reich, N. Li, J.P. Mesirov, S.B. Gabriel, G. Getz, K. Ardlie, V. Chan, V.E. Myer, B.L. Weber, J. Porter, M. Warmuth, P. Finan, J.L. Harris, M. Meyerson, T.R. Golub, M.P. Morrissey, W.R. Sellers, R. Schlegel, L.A. Garraway, The Cancer Cell Line Encyclopedia enables predictive modelling of anticancer drug sensitivity, *Nature* 483 (2012) 603.
- [19] B.D. Yard, D.J. Adams, E.K. Chie, P. Tamayo, J.S. Battaglia, P. Gopal, K. Rogacki, B.E. Pearson, J. Phillips, D.P. Raymond, N.A. Pennell, F. Almeida, J.H. Cheah, P.A. Clemons, A. Shamji, C.D. Peacock, S.L. Schreiber, P.S. Hammerman, M.E. Abazeed, A genetic basis for the variation in the vulnerability of cancer to DNA damage, *Nature Communications* 7 (2016) 11428.
- [20] V.K. Gupta, Y. You, V.B. Gupta, A. Klistorner, S.L. Graham, TrkB receptor signalling: implications in neurodegenerative, psychiatric and proliferative disorders, *Int. J. Mol. Sci.* 14(5) (2013) 10122-42.
- [21] D.P. Radin, P. Patel, BDNF: An Oncogene or Tumor Suppressor?, *Anticancer Res.* 37(8) (2017) 3983-3990.
- [22] B. Ricciuti, M. Brambilla, G. Metro, S. Baglivo, R. Matocci, M. Pirro, R. Chiari, Targeting NTRK fusion in non-small cell lung cancer: rationale and clinical evidence, *Med. Oncol.* 34(6) (2017) 105.
- [23] A. Ricci, S. Greco, S. Mariotta, L. Felici, E. Bronzetti, A. Cavazzana, G. Cardillo, F. Amenta, A. Bisetti, G. Barbolini, Neurotrophins and neurotrophin receptors in human lung cancer, *Am. J. Respir. Cell Mol. Biol.* 25(4) (2001) 439-46.
- [24] S. Zhang, D. Guo, W. Luo, Q. Zhang, Y. Zhang, C. Li, Y. Lu, Z. Cui, X. Qiu, TrkB is highly expressed in NSCLC and mediates BDNF-induced the activation of Pyk2 signaling and the invasion of A549 cells, *BMC Cancer* 10(1) (2010) 43.

- [25] J. Terry, A. De Luca, S. Leung, G. Peacock, Y. Wang, W.M. Elliot, D. Huntsman, Immunohistochemical expression of neurotrophic tyrosine kinase receptors 1 and 2 in lung carcinoma: potential discriminators between squamous and nonsquamous subtypes, *Arch. Pathol. Lab. Med.* 135(4) (2011) 433-9.
- [26] K. Ozono, Y. Ohishi, H. Onishi, K. Nakamura, J. Motoshita, M. Kato, R. Nakanishi, M. Nakamura, Y. Oda, Brain-derived neurotrophic factor/tropomyosin-related kinase B signaling pathway contributes to the aggressive behavior of lung squamous cell carcinoma, *Lab. Invest.* 97(11) (2017) 1332-1342.
- [27] A.A. Ziegenhorn, O. Schulte-Herbruggen, H. Danker-Hopfe, M. Malbranc, H.D. Hartung, D. Anders, U.E. Lang, E. Steinhagen-Thiessen, R.T. Schaub, R. Hellweg, Serum neurotrophins--a study on the time course and influencing factors in a large old age sample, *Neurobiol. Aging* 28(9) (2007) 1436-45.
- [28] P. Stoll, U. Wuertemberger, K. Bratke, C. Zingler, C. J Virchow, M. Lommatzsch, Stage-dependent association of BDNF and TGF- β 1 with lung function in stable COPD, 2012.
- [29] G.C. Muller, P.M. Pitrez, A.L. Teixeira, P.S. Pires, M.H. Jones, R.T. Stein, M.E. Bauer, Plasma brain-derived neurotrophic factor levels are associated with clinical severity in school age children with asthma, *Clin. Exp. Allergy* 40(12) (2010) 1755-9.
- [30] I. Khalin, R. Alyautdin, G. Kocherga, M.A. Bakar, Targeted delivery of brain-derived neurotrophic factor for the treatment of blindness and deafness, *International journal of nanomedicine* 10 (2015) 3245-67.
- [31] Y. Okugawa, K. Tanaka, Y. Inoue, M. Kawamura, A. Kawamoto, J. Hiro, S. Saigusa, Y. Toiyama, M. Ohi, K. Uchida, Y. Mohri, M. Kusunoki, Brain-derived neurotrophic factor/tropomyosin-related kinase B pathway in gastric cancer, *Br. J. Cancer* 108(1) (2013) 121-30.
- [32] S. Odate, K. Nakamura, H. Onishi, M. Kojima, A. Uchiyama, K. Nakano, M. Kato, M. Tanaka, M. Katano, TrkB/BDNF signaling pathway is a potential therapeutic target for pulmonary large cell neuroendocrine carcinoma, *Lung Cancer* 79(3) (2013) 205-14.

- [33] P.A. Forsyth, N. Krishna, S. Lawn, J.G. Valadez, X. Qu, D.A. Fenstermacher, M. Fournier, L. Potthast, P. Chinnaiyan, G.T. Gibney, M. Zeinieh, P.A. Barker, B.D. Carter, M.K. Cooper, R.S. Kenchappa, p75 neurotrophin receptor cleavage by alpha- and gamma-secretases is required for neurotrophin-mediated proliferation of brain tumor-initiating cells, *J. Biol. Chem.* 289(12) (2014) 8067-85.
- [34] R. Gotz, M. Sendtner, Cooperation of tyrosine kinase receptor TrkB and epidermal growth factor receptor signaling enhances migration and dispersal of lung tumor cells, *PLoS One* 9(6) (2014) e100944.
- [35] S. Lawn, N. Krishna, A. Pisklakova, X. Qu, D.A. Fenstermacher, M. Fournier, F.D. Vrionis, N. Tran, J.A. Chan, R.S. Kenchappa, P.A. Forsyth, Neurotrophin signaling via TrkB and TrkC receptors promotes the growth of brain tumor-initiating cells, *J. Biol. Chem.* 290(6) (2015) 3814-24.
- [36] Z. Hua, X. Gu, Y. Dong, F. Tan, Z. Liu, C.J. Thiele, Z. Li, PI3K and MAPK pathways mediate the BDNF/TrkB-increased metastasis in neuroblastoma, *Tumor Biology* 37(12) (2016) 16227-16236.
- [37] B. Chen, Y. Liang, Z. He, Y. An, W. Zhao, J. Wu, Autocrine activity of BDNF induced by the STAT3 signaling pathway causes prolonged TrkB activation and promotes human non-small-cell lung cancer proliferation, *Sci. Rep.* 6 (2016) 30404.
- [38] M. Kawamoto, H. Onishi, K. Ozono, A. Yamasaki, A. Imaizumi, S. Kamakura, K. Nakano, Y. Oda, H. Sumimoto, M. Nakamura, Tropomyosin-related kinase B mediated signaling contributes to the induction of malignant phenotype of gallbladder cancer, *Oncotarget* 8(22) (2017) 36211-36224.
- [39] R.C. Doebele, L.E. Davis, A. Vaishnavi, A.T. Le, A. Estrada-Bernal, S. Keysar, A. Jimeno, M. Varella-Garcia, D.L. Aisner, Y. Li, P.J. Stephens, D. Morosini, B.B. Tuch, M. Fernandes, N. Nanda, J.A. Low, An Oncogenic NTRK Fusion in a Patient with Soft-Tissue Sarcoma with Response to the Tropomyosin-Related Kinase Inhibitor LOXO-101, *Cancer Discov.* 5(10) (2015) 1049-57.
- [40] M. Russo, S. Misale, G. Wei, G. Siravegna, G. Crisafulli, L. Lazzari, G. Corti, G. Rospo, L. Novara, B. Mussolin, A. Bartolini, N. Cam, R. Patel, S. Yan, R. Shoemaker, R. Wild, F. Di

- Nicolantonio, A.S. Bianchi, G. Li, S. Siena, A. Bardelli, Acquired Resistance to the TRK Inhibitor Entrectinib in Colorectal Cancer, *Cancer Discov.* 6(1) (2016) 36-44.
- [41] R.A. Okimoto, T.G. Bivona, Tracking Down Response and Resistance to TRK Inhibitors, *Cancer Discov.* 6(1) (2016) 14-6.
- [42] M.J. Fuse, K. Okada, T. Oh-Hara, H. Ogura, N. Fujita, R. Katayama, Mechanisms of Resistance to NTRK Inhibitors and Therapeutic Strategies in NTRK1-Rearranged Cancers, *Mol. Cancer Ther.* 16(10) (2017) 2130-2143.
- [43] C. Rolfo, L. Raez, New targets bring hope in squamous cell lung cancer: neurotrophic tyrosine kinase gene fusions, *Lab. Invest.* 97(11) (2017) 1268-1270.
- [44] A. Drilon, T.W. Laetsch, S. Kummar, S.G. DuBois, U.N. Lassen, G.D. Demetri, M. Nathenson, R.C. Doebele, A.F. Farago, A.S. Pappo, B. Turpin, A. Dowlati, M.S. Brose, L. Mascarenhas, N. Federman, J. Berlin, W.S. El-Deiry, C. Baik, J. Deeken, V. Boni, R. Nagasubramanian, M. Taylor, E.R. Rudzinski, F. Meric-Bernstam, D.P.S. Sohal, P.C. Ma, L.E. Raez, J.F. Hechtman, R. Benayed, M. Ladanyi, B.B. Tuch, K. Ebata, S. Cruickshank, N.C. Ku, M.C. Cox, D.S. Hawkins, D.S. Hong, D.M. Hyman, Efficacy of Larotrectinib in TRK Fusion-Positive Cancers in Adults and Children, *N. Engl. J. Med.* 378(8) (2018) 731-739.
- [45] L. Ding, G. Getz, D.A. Wheeler, E.R. Mardis, M.D. McLellan, K. Cibulskis, C. Sougnez, H. Greulich, D.M. Muzny, M.B. Morgan, L. Fulton, R.S. Fulton, Q. Zhang, M.C. Wendl, M.S. Lawrence, D.E. Larson, K. Chen, D.J. Dooling, A. Sabo, A.C. Hawes, H. Shen, S.N. Jhangiani, L.R. Lewis, O. Hall, Y. Zhu, T. Mathew, Y. Ren, J. Yao, S.E. Scherer, K. Clerc, G.A. Metcalf, B. Ng, A. Milosavljevic, M.L. Gonzalez-Garay, J.R. Osborne, R. Meyer, X. Shi, Y. Tang, D.C. Koboldt, L. Lin, R. Abbott, T.L. Miner, C. Pohl, G. Fewell, C. Haipek, H. Schmidt, B.H. Dunford-Shore, A. Kraja, S.D. Crosby, C.S. Sawyer, T. Vickery, S. Sander, J. Robinson, W. Winckler, J. Baldwin, L.R. Chirieac, A. Dutt, T. Fennell, M. Hanna, B.E. Johnson, R.C. Onofrio, R.K. Thomas, G. Tonon, B.A. Weir, X. Zhao, L. Ziaugra, M.C. Zody, T. Giordano, M.B. Orringer, J.A. Roth, M.R. Spitz, Wistuba, II, B. Ozenberger, P.J. Good, A.C. Chang, D.G. Beer, M.A. Watson, M. Ladanyi, S. Broderick, A.

Yoshizawa, W.D. Travis, W. Pao, M.A. Province, G.M. Weinstock, H.E. Varmus, S.B. Gabriel, E.S. Lander, R.A. Gibbs, M. Meyerson, R.K. Wilson, Somatic mutations affect key pathways in lung adenocarcinoma, *Nature* 455(7216) (2008) 1069-75.

[46] A. Marchetti, L. Felicioni, G. Pelosi, M.D. Grammastro, C. Fumagalli, M. Sciarrotta, S. Malatesta, A. Chella, F. Barassi, F. Mucilli, P. Campese, T. D'Antuono, R. Sacco, F. Buttitta, Frequent mutations in the neurotrophic tyrosine receptor kinase gene family in large cell neuroendocrine carcinoma of the lung, *Hum. Mutat.* 29(5) (2008) 609-616.

Figure Legends

Figure 1. Immunohistochemical analysis of TrkB and BDNF expression in SCLC specimens. (A–D) Representative positive (A, C) and negative (B, D) staining for TrkB (A, B) or BDNF (C, D) in tumor cells. Scale bars, 200 μ m. (E) Summary of TrkB and BDNF staining scores for all 58 SCLC patients and 20 NSCLC patients. Box and whisker plots show maximum, third-quartile, median, first-quartile, and minimum values. * $P < 0.05$ (Student's t test). (F) Scatter plot showing the correlation between TrkB and BDNF staining scores for SCLC specimens. The size of each circle indicates the number of specimens corresponding to the staining scores. The 95% confidence ellipse is also shown, and Pearson's correlation coefficient is indicated by r . (G) Kaplan-Meier survival curves for SCLC patients who did not undergo surgical resection classified as positive for both TrkB and BDNF expression ($n = 24$) or not ($n = 26$). The P value was calculated with the log-rank test.

Figure 2. Expression of TrkB in SCLC cell lines. (A) RT and real-time PCR analysis of TrkB mRNA abundance in the indicated SCLC and NSCLC cell lines. Data are means \pm SEM from three independent experiments. * $P = 0.0184$ for comparison of overall mean values between SCLC and NSCLC lines (Student's t test). (B) Immunoblot analysis of TrkB and β -actin (loading control) in NSCLC and SCLC cell lines. Data are representative of three independent experiments.

Figure 3. Effects of BDNF on TrkB signaling and cell proliferation in TrkB-overexpressing SBC5 cells. (A) SBC5 cells infected with a retrovirus encoding TrkB (SBC5^{TrkB}) or with the corresponding empty virus (SBC5^{empty}) were incubated with or without the Trk inhibitor GNF-5837 (300 nM) for 1 h and then in the additional absence or presence of BDNF (25 ng/ml) for 20 min, after which cell lysates were subjected to immunoblot analysis with antibodies to total or phosphorylated (p) forms of TrkB, AKT, or ERK. Data are representative of three independent experiments. (B) Relative cell number for SBC5^{TrkB} and SBC5^{empty} cells incubated for 72 h in the

absence or presence of BDNF (25 ng/ml) or GNF-5837 (300 nM). Data are means \pm SEM from three independent experiments. $*P < 0.05$; NS, not significant (Student's *t* test).

Figure 4. Effects of BDNF on migration of TrkB-overexpressing SBC5 cells. **(A)** SBC5^{TrkB} and SBC5^{empty} cells were subjected to a scratch wound healing assay in the presence of BDNF (50 ng/ml) or GNF-5837 (300 nM) for 20 h, after which the extent of wound closure was determined. Representative phase-contrast images of SBC5^{TrkB} cell monolayers immediately and 20 h after wounding are shown. Quantitative data are means \pm SEM from three independent experiments. $*P < 0.05$ (Student's *t* test). **(B)** SBC5^{TrkB} and SBC5^{empty} cells were incubated in a Transwell apparatus with BDNF (50 ng/ml) or GNF-5837 (300 nM) in the lower chamber. After 68 h, the number of cells that had migrated to the lower side of the filter was determined. Representative images of migrated SBC5^{TrkB} cells stained with hematoxylin are shown. Quantitative data are means \pm SEM from three independent experiments. $*P < 0.05$, $**P < 0.01$ (Student's *t* test).

Figure 1

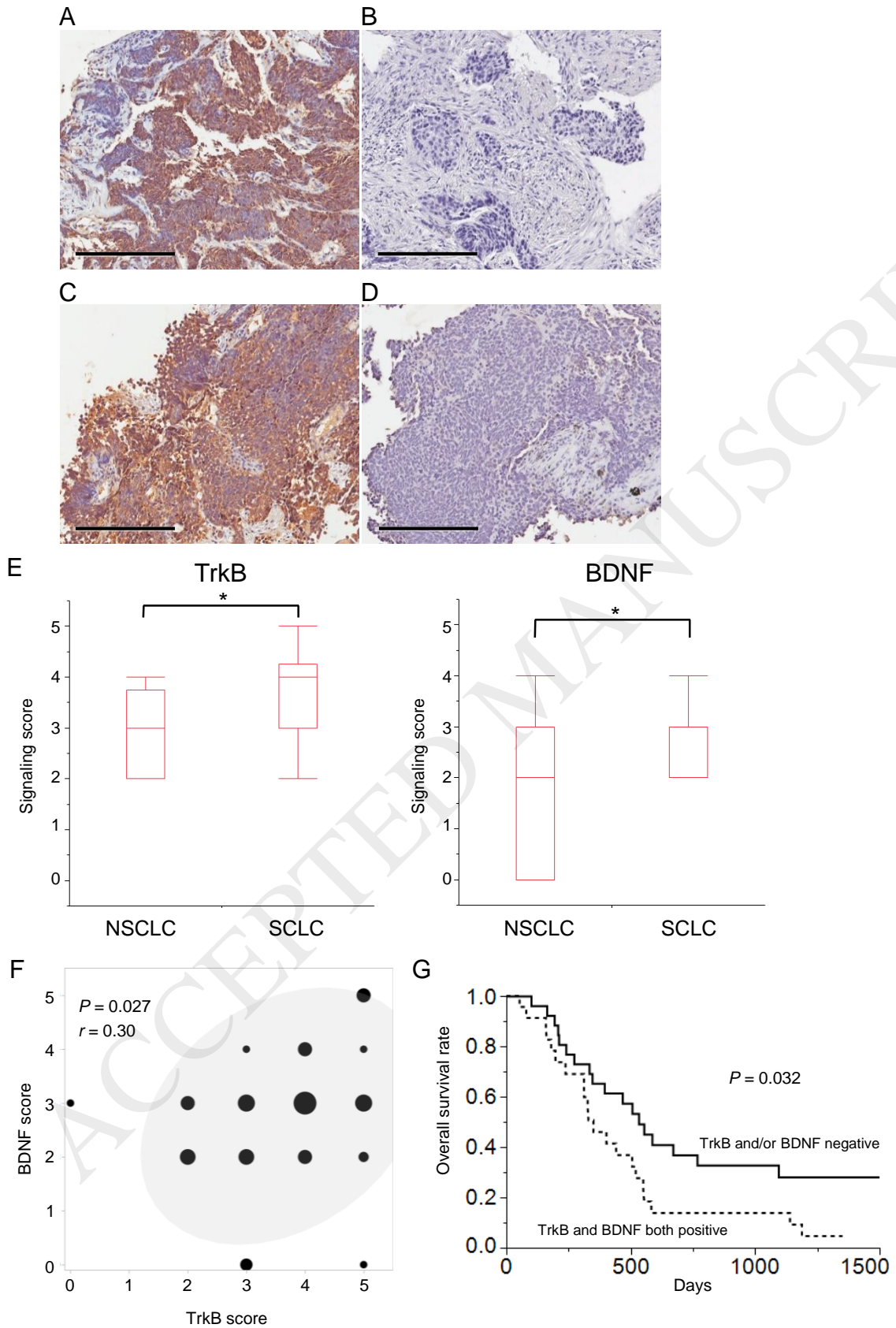
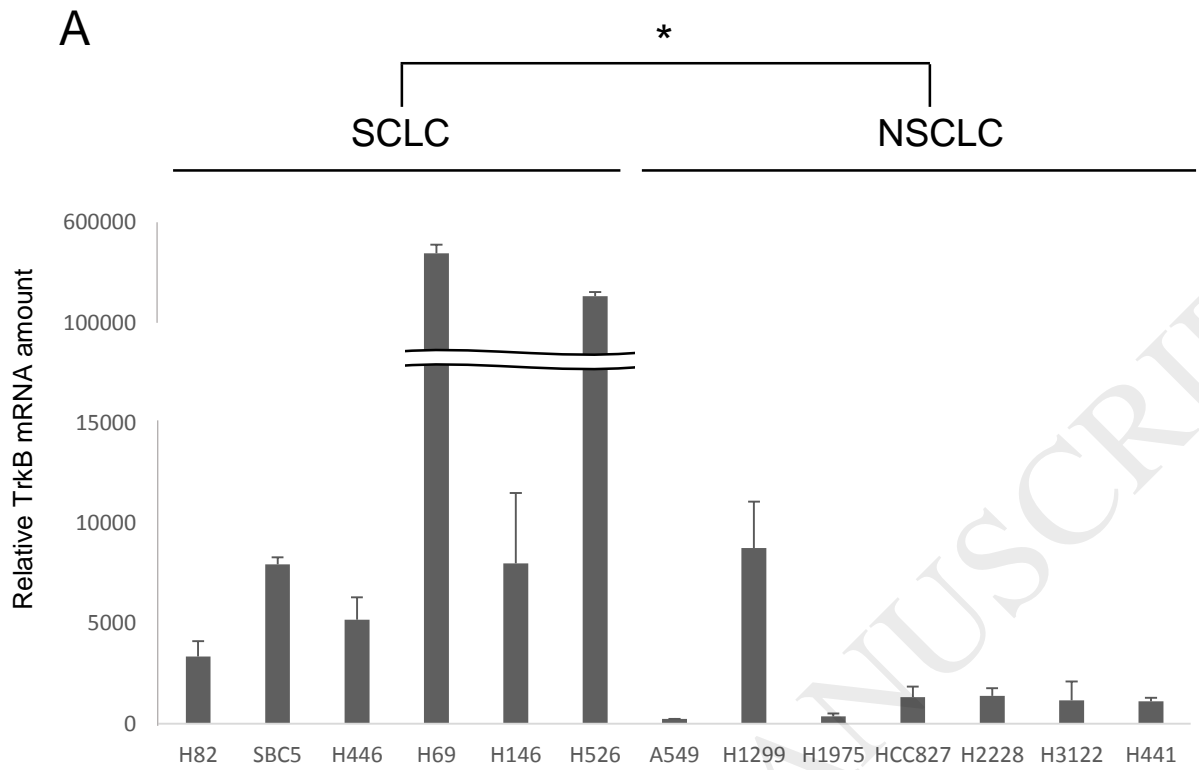


Figure 2

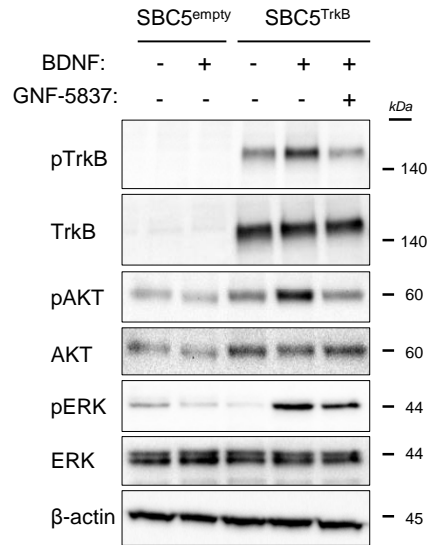


B



Figure 3

A



B

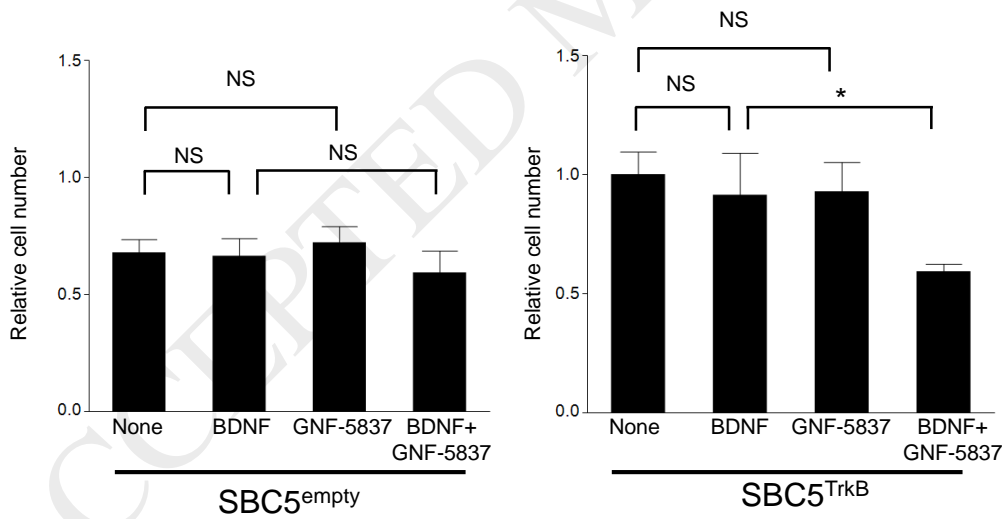
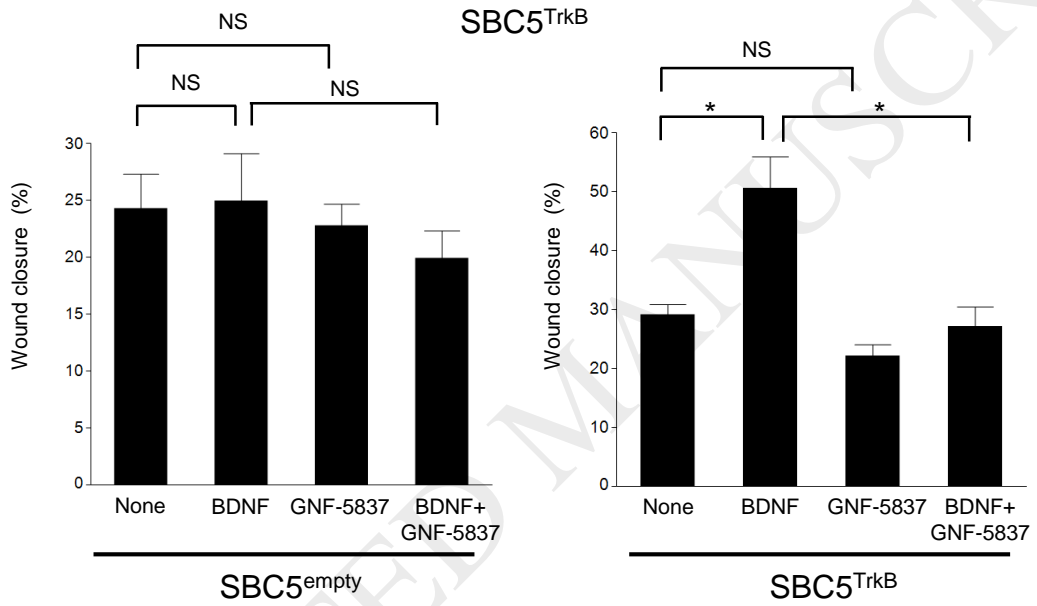
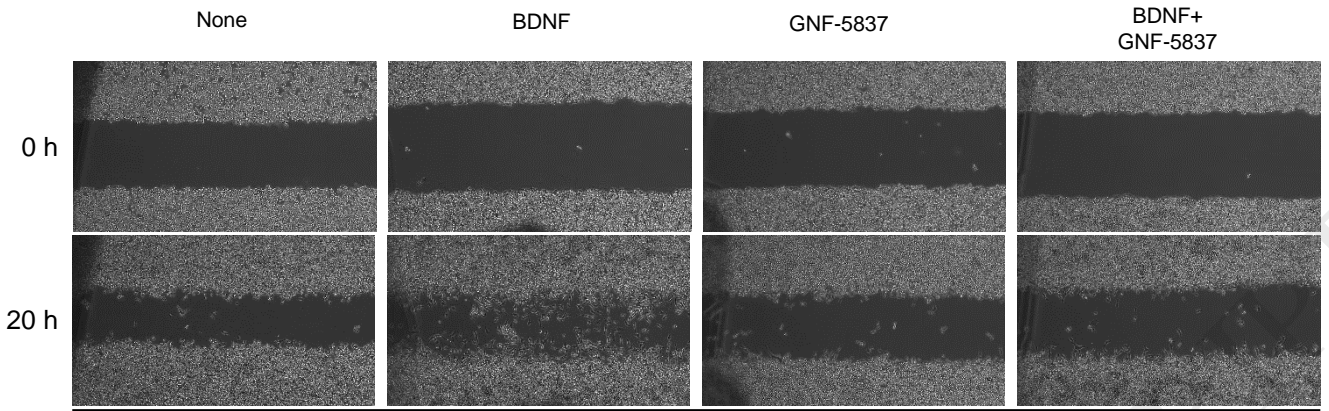
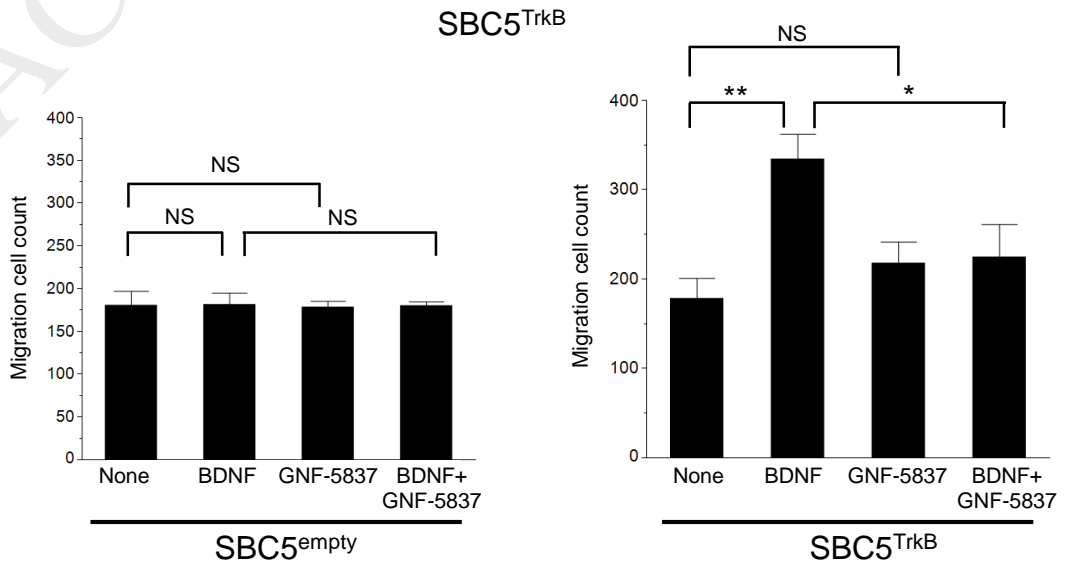
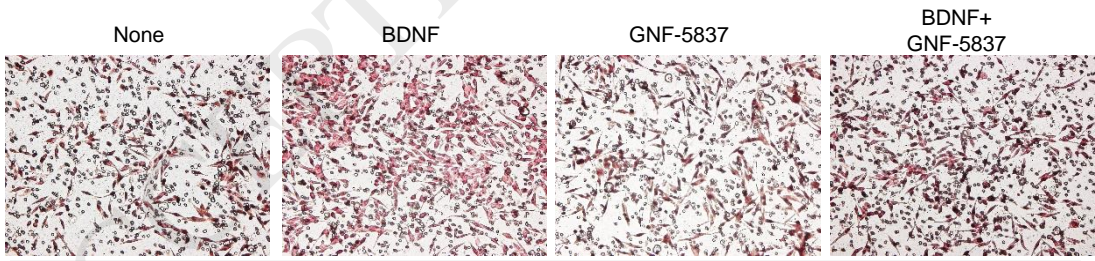


Figure 4

A



B



Tables

Table 1. Relation of TrkB and BDNF expression status to various clinical factors in SCLC patients.

Factor	Total patients (n = 58)	TrkB			BDNF			TrkB + BDNF		
		Positive (n = 33)	Negative (n = 25)	P value	Positive (n = 38)	Negative (n = 20)	P value	Positive (n = 27)	Negative (n = 31)	P value
Age (years)										
<70	28	14	14	0.3055	13	15	0.0027*	11	17	0.2829
≥70	30	19	11		25	5		16	14	
Sex										
Male	44	25	19	0.98	28	16	0.5892	21	23	0.75
Female	14	8	6		10	4		6	8	
PS										
0-1	38	21	17	0.7292	17	21	0.5213	12	21	0.7025
≥2	20	12	8		10	10		10	10	
T status										
T1 (T1a+T1b)	15	9	6	0.9413	11	4	0.8023	9	6	0.4049
T2 (T2a+T2b)	20	12	8		13	7		9	11	
T3	12	6	6		8	4		6	6	
T4	11	6	5		6	5		3	8	
N status										
N0	6	3	3	0.7807	3	3	0.8515	3	3	0.8182
N1	3	2	1		2	1		2	1	
N2	29	15	14		19	10		12	17	
N3	20	13	7		14	6		10	10	
M status										
M0	31	16	15	0.3839	20	11	0.8635	14	17	0.8201
M1 (M1a+M1b)	27	17	10		18	9		13	14	
Brain metastasis										
Positive	12	8	4	0.4428	10	2	0.1448	7	5	0.3582
Negative	46	25	21		28	18		20	26	
TNM stage										
I	6	3	3	0.5904	3	3	0.3823	3	3	0.7258
II	1	0	1		0	1		0	1	
IIIA+IIIB	24	13	11		17	7		11	13	
IV	27	17	10		18	9		13	14	
SCLC stage										
LD	28	15	13	0.6213	18	10	0.8488	12	16	0.5856
ED	30	18	12		20	10		15	15	
Treatment										
Surgery	8	3	5	0.5792	5	3	0.4389	3	5	0.5251
Chemotherapy+ radiation	16	10	6		8	8		6	10	
Chemotherapy	30	17	13		22	8		15	15	
None	4	3	1		3	1		3	1	

* $P < 0.05$ (chi-square test). LD and ED, limited and extensive disease, respectively.

Table 2. Univariate and multivariate analyses of clinicopathologic factors for overall survival in all 58 SCLC patients according to the Cox proportional hazard method.

Factor	Univariate			Multivariate		
	Hazard ratio	95% CI	<i>P</i> value	Hazard ratio	95% CI	<i>P</i> value
TrkB positivity	1.455	0.807–2.673	0.213			
BDNF positivity	1.895	0.978–3.978	0.059			
Both TrkB and BDNF positivity	2.021	1.101–3.742	0.023*	1.821	1.039–3.213	0.036*
Sex	0.937	0.486–1.950	0.853			
Age (>70 years)	1.443	0.804–2.629	0.219			
SCLC stage (ED vs. LD)	4.534	2.360–9.119	<0.0001*	2.920	1.630–5.331	<0.0003*

**P* < 0.05.