

1 **Reciprocal modulation of antiretroviral drug and steroid receptor function *in vitro*.**

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3 Running title: ARV and steroid receptor cross-talk

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20 Millions of women are exposed simultaneously to antiretroviral drugs (ARVs) and progestin-
21 based hormonal contraceptives. Yet, the reciprocal modulation by ARVs and progestins of
22 their intracellular functions is relatively unexplored. We investigated the effects of tenofovir
23 disoproxil fumarate (TDF) and dapivirine (DPV), alone and in the presence of select steroids
24 and progestins, on cell viability, steroid-regulated immunomodulatory gene expression,
25 activation of steroid receptors and anti-HIV-1 activity *in vitro*. Both TDF and DPV
26 modulated the transcriptional efficacy of a glucocorticoid agonist via the glucocorticoid
27 receptor (GR) in the U2OS cell line. In TZM-bl cells, DPV induced the expression of the pro-
28 inflammatory interleukin (IL)-8 gene while TDF significantly increased
29 medroxyprogesterone acetate (MPA)-induced expression of the anti-inflammatory
30 glucocorticoid-induced leucine zipper (GILZ) gene. However, peripheral blood mononuclear
31 cell (PBMC) and ectocervical explant cell viability and gene expression results along with
32 TZM-bl HIV-1 infection data are reassuring and suggest that TDF and DPV, in combination
33 with dexamethasone (DEX) or MPA, do not reciprocally modulate key biological effects in
34 primary cells and tissue. However, we show for the first time that TDF induces progestogen-
35 independent activation of the progesterone receptor (PR) in a cell line. The capacity of TDF
36 and DPV to influence GR and PR activity suggests that their use may be associated with
37 steroid receptor-mediated off-target effects. This, together with cell line and individual donor
38 gene expression responses in the primary models, raises concerns that reciprocal modulation
39 may cause side-effects in a cell- and donor-specific manner *in vivo*.

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44 **Introduction**

45 Women in sub-Saharan Africa are disproportionately affected by the human
46 immunodeficiency virus (HIV-1) and face a high risk of unintended pregnancy and sexually
47 transmitted infections (1). As a result, millions of HIV-1 positive women are concurrently
48 taking oral ARVs and progestogen-containing hormonal contraceptives. Multipurpose
49 prevention technologies (MPTs) are in development to safely and effectively protect healthy
50 women against HIV-1 and unwanted pregnancy. Understanding the relationship between
51 ARVs and hormonal contraceptives is therefore essential to give insight on potential short-
52 and long-term side effects associated with their simultaneous use. Adverse effects associated
53 with ARV and hormonal contraceptive use include bone density loss, kidney and liver
54 toxicity, cardiovascular damage, lactic acidosis and effects on immune function (2, 3).
55 However, studies on the reciprocal modulation of ARV and synthetic progestogen (progestin)
56 intracellular activity are limited. We hypothesize that progestins may affect ARV efficacy
57 and that ARVs may modulate steroid-receptor activity in the absence and presence of
58 steroids, which may impact on the efficacy and side-effects of these drugs.

59 Tenofovir disoproxil fumarate (TDF), the prodrug form of tenofovir (TFV), is a nucleotide
60 reverse transcriptase inhibitor, widely used in combination with other ARVs in the HIV-1
61 treatment regimen (4). Currently, TDF is approved as an oral pre-exposure prophylaxis
62 (PrEP) method in combination with emtricitabine, to prevent HIV-1 infection in healthy
63 individuals. It has been shown to be safe and well-tolerated in Phase I clinical trials as an
64 intravaginal ring in sexually abstinent women; however, a recent Phase I trial assessing the
65 safety and pharmacokinetics of a ninety-day TDF intravaginal ring in sexually active women
66 found that the ring causes ulcerations and increases inflammatory markers in women using
67 the ring compared to placebo (5, 6). Dapivirine (DPV), a non-nucleoside reverse transcriptase

68 inhibitor, is the most clinically advanced microbicide targeted for intravaginal delivery. In
69 2016, two Phase III clinical trials found that a thirty-day DPV intravaginal ring reduced risk
70 of HIV-infection by approximately 30% and modelling data from follow up open label
71 extension studies have suggested a greater risk reduction of approximately 50% overall for
72 women using the ring over a one year period (7-9). The DPV ring is now under regulatory
73 review for use in developing countries with high HIV incidence.

74 The most commonly used progestin in sub-Saharan Africa is the intramuscular (IM), three-
75 monthly, injectable contraceptive medroxyprogesterone acetate (MPA), referred to as Depo-
76 Provera or depot MPA (DMPA-IM) (10). Levonorgestrel (LNG), widely used in the region as
77 a contraceptive in different formulations and delivery methods, is also the leading candidate
78 for the development of dual ARV/progestin MPTs. These progestins are synthetic steroid
79 ligands that elicit their intracellular responses by binding to and activating steroid receptors
80 (11). The primary targets for progestins are the progesterone receptors (PR-A and PR-B);
81 however, some progestins such as MPA are also known to cross-talk with other steroid
82 receptors, such as the glucocorticoid receptor (GR) and the androgen receptor (AR) (12).
83 Through their actions as ligand-activated transcription factors, steroid receptors can activate
84 or repress transcription to influence multiple processes in cells and tissues (13). MPA is a
85 known full to partial GR agonist and induces immunomodulatory effects and increased HIV
86 infection via GR-mediated mechanisms *in vitro* (13-15). Clinical epidemiological studies also
87 suggest that DMPA-IM increases HIV-1 risk relative to no contraception (16) and LNG
88 implant (17).

89 Several clinical and pharmacokinetic studies have evaluated the effects of simultaneous use
90 of some ARVs and progestins on pregnancy, HIV-1 acquisition or disease progression, and
91 changes in serum drug concentrations. To date, efavirenz (EFV) is the only ARV shown to

92 decrease contraceptive efficacy by influencing the metabolism of progestins in women,
93 resulting in a decrease in progestin levels (18, 19). Studies evaluating the effect of hormonal
94 contraceptives on ARV efficacy showed that DMPA-IM, LNG and combined oral
95 contraceptives have no detectable effect on the efficacy of combined antiretroviral therapy
96 containing non-nucleoside reverse transcriptase inhibitors other than DPV, or protease
97 inhibitors (20). Limited *in vitro* studies have shown that TDF and DPV have
98 immunomodulatory effects (21-23), and this may indicate the involvement of steroid
99 receptors such as the GR, a known immune regulator. A recent *in vitro* study showed that
100 MPA, but not LNG or norethisterone, is able to inhibit the anti-HIV-1 activity of TFV and
101 tenofovir alafenamide in blood and tissue CD4+ T cells, most likely via lowering intracellular
102 concentrations of TFV-diphosphate (24). These clinical and *in vitro* studies suggest that drug-
103 drug interactions do occur *in vivo*.

104 Potential off-target effects and drug-drug interactions of ARVs and progestins are likely to be
105 dose-dependent. Intravaginal and serum or plasma concentrations of progestins and ARVs
106 used for PrEP and MPTs exhibit a wide range of concentrations (Table 1). Additional *in vivo*
107 studies are required to investigate drug-drug interactions as well as *in vitro* studies to identify
108 and predict as yet unidentified off-target effects and their dose-dependency.

109 In the present study we evaluated the capacity of select steroid ligands and ARVs to
110 reciprocally modulate their respective intracellular functions; namely, the regulation of gene
111 expression via activation of steroid receptors by steroid ligands, and inhibition of HIV-1
112 infection by TDF and DPV. Dose-dependent effects of TDF and DPV on GR, PR and AR
113 activity in the absence and presence of receptor agonists in *in vitro* cell line, PBMC and
114 ectocervical tissue explant models were investigated and effects of MPA, LNG and other
115 receptor-specific agonists on the dose-dependent inhibition of HIV-1 infection by TDF and
116 DPV were investigated in the TZM-bl reporter cell line.

117 **Materials and Methods**

118 **Ethics**

119 Ethical approval to conduct this study was granted by the Human Research Ethics Committee
120 of the Faculty of Health Sciences of the University of Cape Town, South Africa (approval
121 number: HREC 210/2011).

122 **Test compounds**

123 The ARVs, TDF and DPV were purchased from the AIDS Research and Reference Reagent
124 Program, Division of AIDS, NIAID, NIH (USA) and Selleck Chemicals (USA) respectively.
125 The ARVs were made up to a stock concentration of 10^{-1} M in dimethyl sulfoxide (DMSO,
126 Sigma-Aldrich, South Africa). DMSO was chosen as the solvent due to its high maximal
127 solubility of approximately 10^{-1} M for both TDF and DPV (25, 26). Stock concentrations of
128 ARVs were serially diluted 1:10 with DMSO from 10^{-2} M to 10^{-7} M. The steroids used in the
129 study included the progestins, MPA, and LNG as well as synthetic GR and AR agonists,
130 DEX and mibolerone (MIB), respectively. These were obtained from Sigma-Aldrich (South
131 Africa), except for MIB, which was obtained from Perkin Elmer (USA). Stock concentrations
132 of steroids ranged from 10^{-3} M to 10^{-6} M in ethanol (EtOH). Ligands or control vehicle were
133 added to cells to give final concentrations as indicated in the figures, such that all incubations
134 contained 0.1% (v/v) EtOH and 0.1% (v/v) DMSO. The use of EtOH and DMSO as vehicle at
135 these low final concentrations is common practice in the literature and was not toxic to the
136 cells (27-29).

137 **Cell culture**

138 Human cervical indicator TZM-bl cells (NIH AIDS Reagent Program, Division of AIDS,
139 NIAID, NIH) were used for the HIV-1 inhibition assays. U2OS human osteosarcoma cells

140 were used for the luciferase reporter assays as they are deficient in endogenous steroid
141 receptors (American Type Culture Collection (ATCC), USA). MDA-MB-231 cells stably
142 transfected with PR-B were a kind gift from Professor Valerie Lin (Nanyang Technological
143 University, Singapore) and these were also used for luciferase reporter assays. HEK293T
144 human embryonic kidney cells (ATCC, USA) were used to generate infectious molecular
145 clones. All cells, except the MDA-MB-231 breast cancer cells, were grown in 75 cm² flasks
146 in full Dulbecco's modified Eagle's medium (DMEM) (Sigma-Aldrich, South Africa)
147 supplemented with 1 mM sodium pyruvate (Sigma-Aldrich, South Africa), 44 mM sodium
148 bicarbonate (Sigma-Aldrich, South Africa), 10% (v/v) foetal calf serum (FCS) (Thermo
149 Scientific, South Africa), 100 IU/mL penicillin and 100 mg/mL streptomycin (Sigma-
150 Aldrich, South Africa). MDA-MB-231 cells were cultured in full DMEM supplemented with
151 7.5% (v/v) FCS (Thermo Scientific, South Africa), and 100 mg/mL neomycin (Sigma-
152 Aldrich, South Africa). For experimental incubations with MDA-MB-231 cells, phenol red-
153 free full DMEM supplemented with 5% charcoal stripped (c-s) FCS was used. Cells were
154 maintained at 37°C in a water-jacketed incubator (90% humidity and 5% CO₂).

155 **Cell Viability**

156 Cell viability was determined using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium
157 bromide (MTT) assay (Sigma-Aldrich, South Africa) according to the manufacturer's
158 instructions and measured on a spectrophotometer (Thermo Scientific, USA) at 595 nm.
159 DMSO was used as the positive control for cytotoxicity at concentrations ranging from 5 – 20
160 % (30, 31).

161 **PBMC isolation**

162 Whole blood from anonymous healthy female donors who were negative for HIV-1, syphilis
163 and hepatitis B and C was obtained from the Western Cape Blood Service (South Africa),

164 after written informed consent. PBMCs were isolated using Histopaque (H1077 Hybri-
165 Max™; Sigma-Aldrich, South Africa) density centrifugation with Leucosep tubes (Greiner
166 Bio-One, Germany) according to the manufacturer's instructions. PBMCs were isolated as
167 previously described (15). Cells were incubated overnight, and thereafter pelleted and
168 washed twice by centrifugation at $250 \times g$ in $1 \times$ PBS supplemented with 1% (v/v) c-s FCS.

169 **Cervical tissue explants**

170 Cervical tissue was obtained from seven HIV-1 negative, pre-menopausal women, with a
171 normal pap smear and undergoing hysterectomies for benign reasons, after informed consent.
172 Anonymised fresh tissue was supplied from two sites in the Western Cape, South Africa;
173 namely, Groote Schuur Hospital and Tygerberg Hospital. The majority of the samples were
174 positive for HSV-1 and negative for HSV-2. Cervical tissue was processed as previously
175 described (14) between 1 to 3 hours post-operation.

176 **Stimulation with compounds, RNA isolation and quantitative reverse transcription**

177 **PCR (qRT-PCR)**

178 TZM-bl cells were seeded at a concentration of 1×10^5 cells/mL in 12-well plates in full
179 DMEM. After 24 hours, cells were stimulated with ARVs or steroids for 24 hours and
180 thereafter harvested in 400 μ L TriReagent® (Sigma-Aldrich, South Africa). PBMCs were
181 seeded into 5 mL Falcon tubes (Becton Dickson Scientific, South Africa) at a density of 2
182 million cells in 2 mL full RPMI. Subsequently, PBMCs were stimulated with ARVs and
183 steroids for 48 hours and thereafter pelleted by centrifugation at $250 \times g$ for 5 min and
184 harvested in 400 μ L TriReagent® (Sigma-Aldrich, South Africa). TZM-bl cells and PBMCs
185 were then processed for RNA according to the manufacturer's instructions. Cervical tissue
186 explants were stimulated in triplicate or quadruplicate with steroid ligands and ARVs in full

187 RPMI and incubated at 37°C in a water-jacketed incubator (90% humidity and 5% CO₂) for
188 48 hours. For RNA isolation, cervical explants were harvested in 800 µL QIAzol® in 2 mL
189 cryovial tubes (Nunc, Germany) and were subsequently homogenized using a hand-held
190 homogeniser (TissueRuptor®, Qiagen, The Netherlands) with disposable probes
191 (TissueRuptor® Probes, Qiagen, The Netherlands). Cervical explant tissue RNA was
192 thereafter isolated using the RNeasy® Microarray Tissue Mini Kit (Qiagen, The
193 Netherlands), according to the manufacturer's instructions. Times chosen for incubation of
194 compounds in the different models were based on previous experiments in our laboratory
195 showing that robust changes in gene expression occurred at these time points. Whether
196 different effects occurred after different times was not investigated.

197 250 ng RNA was reverse-transcribed using the High-Capacity cDNA Reverse Transcription
198 Kit (Applied Biosystems, South Africa), according to the manufacturer's instructions. Real-
199 time qRT-PCR was performed using the FastStart Essential DNA Green Master kit (Roche)
200 on a RotorGene 3000 (Qiagen, The Netherlands) qRT-PCR machine, according to the
201 manufacturer's instructions. The genes investigated were the anti-inflammatory GILZ gene,
202 and the pro-inflammatory IL-8 and IL-6 genes. GILZ is a validated primer set purchased
203 from Qiagen South Africa. IL-6 and IL-8 primers have previously been reported (32).
204 Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as the reference gene as
205 previously reported (33). The IL-8 and GAPDH primers were used at a concentration of 500
206 nM, while IL-6 primers were used at 250 nM. The qRT-PCR profiles for IL-6, IL-8 and
207 GAPDH were established by Verhoog *et al.* (33).

208 **Virus propagation and TZM-bl infection assay**

209 Initial viral stocks were prepared as previously described. TZM-bl cells were seeded at a
210 concentration of 5×10^4 cells/mL in a 96-well flat-bottomed culture plate in full DMEM. The

211 following day the TZM-bl cells were either stimulated with progesterin or its vehicle control
212 (0.1% v/v EtOH) and/or ARV or its vehicle control (0.1% v/v DMSO) for 24 hours in
213 triplicate. Cells were then infected with 20 IU/mL HIV-1_{BaL-Renilla} and were harvested 48
214 hours later with Bright-Glo luciferase lysis buffer (Promega, USA). Luminescence was
215 determined on a luminometer (Modulus Microplate, Promega, USA) in which relative light
216 units were measured for each well. Cell viability was measured using the MTT assay by
217 measuring absorbance at 595 nm on a spectrophotometer (Thermo Scientific, USA).
218 Luciferase readings were normalized to MTT values (RLU/MTT). Dose-response data were
219 analyzed relative to the maximal response generated by the vehicle (set to 100%) and a non-
220 linear regression model was employed with the Hill slope set to unity. IC₅₀ values were
221 compared using an unpaired, two-tailed *t*-test.

222 **Plasmids and transfection**

223 U2OS cells were transfected with either the GR (34), AR (35) or the PR (36) reporter systems
224 each containing the steroid receptor plasmid, a luciferase reporter gene plasmid (pTAT-GRE-
225 LUC, (37) containing the receptor response elements and an empty vector (pCDNA3,
226 Invitrogen, UK) that was used as the negative control for the steroid receptor plasmid. To
227 ensure consistent transfection efficiency, cells were transfected in a 10cm² dish (Greiner Bio-
228 one International) at a density of 1.5×10^6 cells using X-tremeGENE 9 (Roche, South Africa)
229 according to the manufacturer's recommendations. Thereafter the cells were trypsinized,
230 replated into 96 well plates and stimulated with compounds for 24 hours. The transfection
231 conditions for each receptor were as follows: Human GR plasmid (10 µg pcDNA3-hGR +
232 3.75 µg pTAT-GRE-LUC), human AR (2.5 µg pSV-hAR + 1.88 µg pTAT-GRE-LUC), and
233 human PR-B (3.5 µg pSG5-hPR-B + 1.41 µg pTAT-GRE-LUC). MDA-MB-231 cells, that
234 are stably transfected with PR-B, were only transfected with 9 µg pTAT-GRE-LUC.

235 **Luciferase reporter assay**

236 The U2OS cells were incubated with the respective ARVs and/or steroid treatments for 24
237 hours. Thereafter, the cells were washed with ice-cold PBS and harvested in 25 μ L reporter
238 lysis buffer (Promega, Madison, WI, USA). The luciferase activity for each condition was
239 detected in the presence of the substrate luciferin (Promega, Madison, WI, USA) using a
240 Modulus Microplate luminometer and was normalized to total protein concentration as
241 determined by the Bradford assay (38).

242 **Western blotting**

243 To confirm steroid receptor transfection, western blot analysis was performed, essentially as
244 previously described (39), from lysates of U2OS cells bulk-transfected with GR, PR or AR
245 expression vectors or the empty vector pCDNA3 in luciferase reporter assays. Positive
246 controls for each receptor were prepared from COS-1 cells seeded in 12-well plates at a
247 density of 1×10^5 cells/mL and, after 24 hours, transfected with 1 μ g GR, PR or AR
248 expression vectors. Transfected U2OS cells were seeded in 12-well plates at a density of $1 \times$
249 10^5 cells/mL. The next day cells were washed once in PBS and lysed with 50 μ L $2 \times$ SDS
250 sample buffer ($5 \times$ SDS sample buffer: 100 mM TRIS pH 6.8, 5% *v/v* SDS, 20% *v/v* glycerol,
251 5% *v/v* β -mercaptoethanol, 0.1% *w/v* bromophenol blue) then boiled at 100°C for 10 minutes.
252 The following antibodies were used: anti-AR 1:1 000 (441; sc-7305), anti-GR 1:5 000 (G-5;
253 sc-393232), anti-PR 1:1 000 (NCL-L-PGR-312, Leica Biosystems), and anti-GAPDH 1:15
254 000 (0411; sc-47724). Goat anti-rabbit secondary antibody (sc-2313) was used for the anti-
255 AR antibody, at a 1:10 000 dilution and an anti-mouse secondary antibody (m-IgG κ BP-
256 HRP: sc-516102) was used for the antibodies to GR, PR and GAPDH and was added at a 1:5
257 000 dilution.

258 ***In silico* molecular docking**

259 All computational predictions were carried out using desktop workstations running the
260 Scientific Linux 7.4 OS using the Glide utility included in the Schrodinger 2017-3 suite
261 release. Protein Data Bank entries of the steroid receptors (1E3G, 1XQ3, 3D90, 4UDC) were
262 prepared using the Maestro PrepWizard. Structures were completed with the addition of bond
263 orders and missing side-chains. Non-bound waters were removed and, where applicable, the
264 B chain of dimerized structures was removed. Automated optimization protocols were then
265 run to refine the structures. Glide docking grids of default length were created centred on the
266 native ligands. Docking simulations were performed iteratively using the Glide SP setting
267 until a plausible docking pose was found. Binding energies were calculated using the Prime
268 MM-GBSA minimization and the binding energy calculation package provided with the
269 Schrodinger suite. MM-GBSA calculations were performed using the variable-dielectric
270 generalized Born solvent model. The minimization was performed with flexibility tolerated
271 for all protein atoms within a 10 Å radius of the ligand.

272 **Data analysis**

273 Results were analyzed using GraphPad Prism (version 7) software from GraphPad Software
274 Inc. (La Jolla California, USA). For dose-response curves, the receptor agonists were used as
275 reference ligands and set to 100%. Dose-response curves were fit with a non-linear regression
276 model using “log agonist vs response”, with a fixed Hill slope of one, to obtain the best-fit
277 maximal responses. All other curves were then plotted relative to the best-fit maximal value
278 of the reference ligand. All the data were tested for normality and parametric or non-
279 parametric tests were performed accordingly (40). Unpaired *t*-tests were performed to
280 compare the EC₅₀ values and maximal responses of dose-response curves from different
281 treatments. For experiments that had one condition or two different conditions, a parametric

282 one-way or a two-way ANOVA was performed with a Tukey multiple comparison post-test
283 (comparing all groups to the vehicle control) or a non-parametric Kruskal-Wallis test was
284 performed with a Dunn's multiple comparison post-test. Data were plotted as mean \pm SEM
285 on histograms, with the number of replicates per condition and the number of independent
286 biological repeats (n values) described in each figure legend.

287 **Results**

288 The concentration ranges of ARVs used in this study were selected to reflect the range of
289 concentrations that have previously been measured intravaginally and in blood (Table 1).
290 Steroid concentrations were chosen based on concentrations intended to fully saturate
291 possible cognate steroid receptors or, for dose-response analysis, spanning
292 pharmacologically-relevant concentrations of steroids (13, 27, 41). Steroid stimulation times
293 of 24 or 48 hr were used as these have been previously established to elicit inflammatory
294 gene responses in the models used in the study.

295 *Effects of ARVs and steroids on cell and tissue viability*

296 We investigated whether ARVs affect cell or tissue viability using MTT assays. In TZM-bl
297 cells, concentrations of TDF ranging from 0.01 to 100 μ M and concentrations of DPV
298 ranging from 0.01 to 1 μ M did not affect cell viability (Fig. 1A-B). However, 10 and 100 μ M
299 DPV significantly reduced cell viability (Fig. 1A-B). In PBMCs, only 100 μ M of both ARVs
300 significantly reduced cell viability (Fig. 1C-D). A concentration of 5% DMSO was used as a
301 positive control for cytotoxicity in TZM-bl cells (Fig. 1A-B) and a range of 5-20% DMSO
302 was used in PBMCs (data not shown). Tissue viability of ectocervical explants appeared
303 unaffected by TDF and DPV concentrations ranging from 1 to 100 μ M (Fig. 1E-F). Cell
304 viability of ARVs was not altered by the presence of 100 nM steroids DEX, or MPA, alone or
305 in combination with 1 μ M of the ARVs in TZM-bl cells. However; in PBMCs, the presence of

306 100 nM DEX significantly reduced cell viability by approximately 30% (Fig. S1A-B). We
307 next performed gene expression analysis in the various models using only ARV
308 concentrations shown not to reduce viability of the cell/tissue models, to avoid confounding
309 the interpretation of the data. Given the slight reduction in cell viability by 100 nM DEX in
310 PBMCs, all the PBMC gene expression results were normalized for cell viability and
311 interpreted with due caution.

312 *ARV modulation of endogenous steroid-regulated inflammatory genes*

313 To examine the capacity of ARVs to modulate endogenous immunomodulatory genes,
314 relative mRNA expression of the established (42-44) steroid-regulated anti-inflammatory
315 gene GILZ and two pro-inflammatory genes, IL-6 and IL-8 were assessed in TZM-bl cells,
316 PBMCs and tissue explants. These genes were chosen as model GR-regulated genes. GILZ
317 has previously been shown to be upregulated in several model systems by glucocorticoids via
318 a mechanism involving binding of the GR to glucocorticoid-response elements in the
319 promoter (45). IL-6 has previously been shown to be downregulated in several model systems
320 by glucocorticoids and other steroids via a mechanism involving tethering of the steroid
321 receptor to other transcription factors such as NFκB, recruited to the promoters (46), while
322 effects on IL-8 are reportedly variable (33, 47). Cells and tissues were stimulated with 1 μM
323 DPV or 10 μM TDF in the absence and presence of 100 nM DEX, or MPA. In all three
324 model systems, DEX and/or MPA was found to increase GILZ and repress IL-6 mRNA
325 levels as expected, while no statistically significant effects were observed for IL-8. The
326 results are summarized in Table S1.

327 In TZM-bl cells, neither TDF (up to 10 μM) nor DPV (up to 100 nM) affected GILZ mRNA
328 levels on their own (Fig. S2A and Fig. S3A, B). However, TDF, more so than DPV,
329 increased the efficacy of MPA-induced GILZ expression in MPA dose-response analysis,

330 although these effects were not statistically significant (Fig. 2A-B). TDF dose response
331 analysis (1 to 100 μ M TDF), in the presence of 100 nM MPA, revealed that TDF at 10 and
332 100 μ M significantly increased MPA-induced GILZ expression by approximately 1.5 and 2-
333 fold, respectively (Fig. S2A) but had no significant effect on the MPA response for IL-6 and
334 IL-8 in TZM-bl cells (Fig. S2B-C). Unlike TDF, DPV alone significantly induced IL-8
335 mRNA expression by 11-fold and appeared to induce IL-6 mRNA by 10-fold in TZM-bl cells
336 (Fig. 2C-D). DEX and MPA significantly repressed IL-6 expression by 10-fold and 5-fold
337 respectively and appeared to induce IL-8 expression by 3.4-fold and 3.5-fold, respectively
338 (Fig. 2C-D). Co-stimulation with TDF did not appear to alter these DEX or MPA responses
339 (Fig. 2C-D). However, co-stimulation with DPV inhibited DEX and MPA repression and
340 returned IL-6 expression to basal levels (Fig. 2C). Interestingly, for IL-8 expression the effect
341 of the combination of DPV with DEX and MPA appeared to be steroid-specific. DEX in
342 combination with DPV did not alter the DPV-induced IL-8 expression; however, the
343 combination of MPA and DPV resulted in a significant 1.6-fold potentiation of the DPV-
344 induced IL-8 response (Fig. 2D). Lower doses of TDF and DPV in the range of 0.1-100 nM,
345 had no significant effect on the mRNA levels of GILZ, IL-6 and IL-8, both in the absence
346 and presence of MPA (Fig. S3).

347 Results in PBMCs are shown for pooled effects on 13 donors (Fig. 3) as well as for
348 subgroups of donors to illustrate more clearly the diversity and frequency of donor-specific
349 effects (Figs. S4-S6). In PBMCs TDF and DPV had no detectable effect on GILZ mRNA
350 levels in the majority of donors (Fig. 3A and Fig. S4A). As expected, DEX treatment
351 significantly induced GILZ mRNA expression by 8-fold, as for TZM-bl cells, but this
352 remained unchanged by the presence of ARVs, unlike in TZM-bl cells. As expected, DEX
353 also significantly repressed IL-6 mRNA levels by about 3-fold, which was not influenced by
354 combination with ARVs (Fig. 3B). DEX alone and in combination with ARVs had no

355 detectable effect on IL-8 mRNA levels (Fig. 3C). In the majority of donors, DPV and TDF
356 showed no detectable significant effects on IL-6 and IL-8 mRNA expression (Fig. 3B-C, Fig.
357 S5B, and Fig. S6B). The PBMC responses showed a high degree of variability in donor
358 sample responses. Subgroup analysis did, however, reveal donor-specific effects, with some
359 donors exhibiting at least a 2-fold up-regulation (as for IL-6 and IL-8 in TZM-bl cells) or
360 down-regulation, or changes of less than 2-fold in mRNA levels of some genes in response to
361 ARVs (Fig. S5 and Fig. S6).

362 Experiments in explant tissue were performed with DPV and not TDF, due to limited
363 availability of fresh tissue. Most of the results were very similar to those obtained in PBMCs
364 (Fig. 4A-C). DPV alone appeared to have no effect on GILZ mRNA (Fig. 4A). As observed
365 in PBMCs or TZM-bl cells, DEX significantly induced GILZ mRNA by 8-fold, and MPA
366 also appeared to increase GILZ mRNA by 2.4-fold (Fig. 4A). MPA and DEX alone appeared
367 to repress IL-6 by approximately 2 and 1.5-fold, respectively, but had no effect on IL-8 (Fig.
368 4C), as observed in PBMCs and TZM-bl cells. DPV did not appear to modulate the DEX or
369 MPA responses for any of the genes (Fig. 4A-C). As for PBMCs, there was high donor-
370 specific variability in responses, making it difficult to establish significance for small effects.
371 While DPV alone appeared to have no effect on all three genes for the pooled samples, it
372 appeared to have a pro-inflammatory effect on IL-6 and IL-8 for some of the donor samples
373 (Fig. 4B-C), as for some PBMC donor samples and in TZM-bl cells.

374 *ARV effect on steroid receptor transcriptional activity*

375 We next investigated the effects of ARVs on the efficacy and potency of the transcriptional
376 effects of GR, PR-B and AR receptor agonists by using promoter-reporter assays. Western
377 blotting showed that steroid receptors were successfully exogenously expressed in U2OS
378 cells (Fig. S7). *In vitro* toxicity of TDF and DPV in U2OS cells, as assessed via the MTT cell

379 viability assay, showed that concentrations up to 1 μM for both ARVs were not deleterious,
380 while 10 μM DPV but not TDF resulted in loss of viability (Fig. S8).

381 TDF (1 μM) significantly increased the efficacy of DEX transcriptional activity via the GR
382 by 33%; DPV had a similar effect, which approached significance ($p=0.0531$), increasing
383 DEX transcriptional activity by 25% (Fig. 5A-B and Table S2), similar to the effect of MPA
384 in TZM-bl cells (Fig.2A-B, Fig. S2A). The potency of DEX was not significantly altered in
385 the presence of either ARV (Table S2). Neither ARV appeared to have a significant effect on
386 the efficacy and the potency of the AR synthetic agonist MIB (Fig. 5C-D and Table S3).
387 Surprisingly, 1 μM TDF, unlike DPV, significantly increased PR-B-mediated transcriptional
388 activity alone and in the presence of low concentrations of LNG (0.0001 nM to 0.001 nM)
389 (Fig. 5E). At higher concentrations of LNG, TDF did not significantly affect the efficacy of
390 LNG via the PR. DPV also had no effect on the transcriptional efficacy and potency of LNG
391 via the PR (Fig. 5F and Table S4). Potencies and efficacies for each of the dose-response
392 curves in Fig. 5 are detailed in Table S2-4.

393 Following the observation that TDF transactivated the PR-B in a progesterone-independent
394 manner, the dose-dependency of this response was similarly assessed using a promoter-
395 reporter assay in U2OS cells. The cells were stimulated with increasing concentrations of
396 TDF ranging from 1 nM to 1 μM in the absence and presence of 100 nM progesterone (P_4).
397 TDF had an EC_{50} of 599 ± 33 nM for the PR-B and the potency was significantly decreased
398 in the presence of 100 nM P_4 (Fig. 6 and Table S5). Agonist-independent effects of TDF and
399 DPV at concentrations ranging from 100 nM to 10 μM via the AR and GR were also
400 assessed, but no significant induction of these receptors in the absence of receptor agonists
401 was observed (Fig. S9).

402 Similarly, the induction of PR-B transcriptional activity by TDF was investigated in the
403 MDA-MB-231 cell line that stably and constitutively expresses PR-B (Fig. 7 and Table S6).
404 As expected, 1 μ M TDF activated PR-B in the absence of LNG (Fig. 7) and significantly
405 increased the transcriptional efficacy of LNG in these cells (Table S6). As shown in U2OS
406 cells, DPV did not influence the transcriptional efficacy or potency of LNG in MDA-MB-231
407 cells.

408 The data observed in the current study reveal that TDF, but not DPV, significantly affects GR
409 and PR steroid receptor function, while both TDF and DPV have no significant effects on the
410 AR. These differential activities may result from direct interaction between the ARVs and the
411 steroid receptors. To investigate this *in silico* molecular docking was employed to assess
412 whether TDF and DPV exhibited an affinity for the ligand-binding pockets of either the GR,
413 PR-B or the AR. (For this latter receptor, two structures were evaluated.) To validate these
414 calculations, binding affinities were first predicted for the crystallized agonist in complex
415 with the receptor ligand-binding domain of each receptor. Following these test simulations,
416 the results suggested that TDF had low affinity for the ligand-binding pockets of all three
417 steroid receptors compared to the receptor agonists (Table S7). DPV exhibited some binding
418 affinity for the PR and GR, although this was not reflected in transcriptional activity in which
419 DPV had no significant effect on GR or PR activity on its own. Mono- and di-anionic
420 charged states of TFV were also evaluated, and these species exhibited negligible affinity for
421 the ligand binding domains of the three receptors.

422 *Modulation of ARV efficacy by steroids*

423 The effects of steroid ligands on the efficacy and potency of ARVs to inhibit HIV-1 viral
424 replication was assessed using HIV-1 infection assays in TZM-bl cells. Ligands were chosen
425 that bind to either the GR (DEX), the AR (MIB, LNG) or both the AR and GR (MPA) (27,

426 41, 44, 48). DEX and MPA alone appeared to increase HIV-1 infection, as previously
427 reported (15), although in this experiment the effect was not statistically significant (Fig. 8A-
428 B). We found that 100 nM DEX, MPA, LNG and 0.01 nM MIB did not significantly affect
429 the efficacy or potency of TDF and DPV to inhibit HIV-1 infection (Fig. 8).

430 **Discussion**

431 In the present study we evaluated the capability of TDF and DPV to reciprocally modulate
432 their respective intracellular functions alone and together with steroid ligands.

433 In TZM-bl cells, PBMCs and explant tissue DEX or MPA alone exhibited anti-inflammatory
434 effects by upregulation of GILZ and downregulation of IL-6 mRNA. These results provide
435 confidence that detection of expected steroid-induced changes in gene expression in these
436 models was reproducible. Differential regulation of select immune function genes by TDF
437 and DPV in the absence and presence of steroid ligands was observed in TZM-bl cells.
438 However, no effects were detected for the genes assessed in pooled data from PBMCs and
439 explant tissue. In TZM-bl cells DPV induced pro-inflammatory effects on IL-6 and IL-8
440 genes, unlike TDF. High concentrations of TDF and DPV were found to increase MPA-
441 induced efficacy on GILZ in TZM-bl cells. Similar effects were observed for DEX-induced
442 efficacy on a promoter-reporter plasmid in U2OS cells. DPV effects were modulated on IL-6
443 and IL-8 genes by DEX or MPA in TZM-bl cells, and this was not seen for TDF. DEX and
444 MPA inhibited the DPV-induced pro-inflammatory response on the IL-6 gene. MPA also
445 enhanced the proinflammatory effect of DPV on IL-8 in TZM-bl cells, an effect not observed
446 for DEX. In contrast, the results in PBMCs and explants are reassuring in that they suggest,
447 they occur *in vivo*, that there is very little reciprocal modulation of immunomodulatory gene
448 expression for these ARVs and clinically relevant steroids. However, the cell line results

449 provide proof of concept that reciprocal modulation could occur in some cells, including both
450 ARV- and steroid-specific effects, but their physiological relevance is unclear.

451 The results for both PBMCs and explants show a high degree of inter-individual donor
452 variability, as reported previously (49, 50). Some of the individual donor responses we
453 observed in the primary models correspond to effects observed in TZM-bl cells and raise the
454 possibility that for some donors, ARVs may have both pro-inflammatory and anti-
455 inflammatory effects and some reciprocal modulation between these ARVs and steroids
456 could occur.

457 These donor-specific effects on gene expression in primary models may be reflected in the
458 varied responses of patients to ARV treatment, the underlying factors for which may include
459 patient genotype, age, endogenous hormone and other drug levels, nutrition and disease state
460 (51-53). About 60% of the explant tissue donors were positive for HSV-1 and had a varying
461 age range between 31–56 years which may contribute to the varied responses observed.
462 Female donors for PBMCs were negative for some infections including HIV-1 and syphilis
463 but were not tested for all possible infections or HSV-1 infection and thus it is unknown
464 whether other underlying infections influenced the immune gene responses to ARVs.

465 The immunomodulatory effects we observed with TDF (0.1 nM – 100 μ M) and DPV (0.1 – 1
466 μ M) in TZM-bl cells in the present study occur at physiologically-relevant ARV doses (TDF:
467 1.2 nM-79 μ M; DPV: 0.7 nM-21 μ M) (Table 1). We did not detect responses on select genes
468 *in vitro* in PBMCs with 1 μ M TDF or DPV, concentrations greater than those detected (0.7-5
469 nM) in serum of patients, suggesting that these ARVs alone are unlikely to have systemic
470 immunomodulatory effects *in vivo*. However, it is possible that effects on PBMCs may be
471 observed at lower ARV concentrations. We also did not detect responses on select genes *in*
472 *vitro* in ectocervical tissue with 1 μ M DPV alone, suggesting that inflammatory effects do not

473 occur at this concentration. However, this may occur at higher FGT concentrations, as
474 measured for the DPV vaginal ring (Table 1). *In vivo* clinical data of the DPV vaginal ring
475 suggest this is unlikely as no serious adverse effects of the ring indicating excessive
476 inflammation in the genital tract of women who used the ring in Phase II and III clinical trials
477 were detected (9, 54). Phase I clinical trials of the TDF vaginal ring in sexually abstinent
478 women have previously reported that it was safe with minimal adverse events. However, a
479 recent Phase I clinical trial showed that women using the TDF ring compared to women
480 using a placebo ring had higher expression of cytokines and chemokines including IL-6 and
481 IL-8 (5, 6). Further experiments are required to investigate immunomodulatory effects of
482 high doses of DPV and TDF in FGT tissue models.

483 Our data in TZM-bl cells contribute to the limited *in vitro* studies that show that ARVs
484 influence immune function independently of their HIV-1 inhibitory action.
485 Immunomodulatory effects of TDF have previously been described in human PBMCs. After
486 infection with live bacteria, followed by TLR or TNF- α - stimulation, TDF at doses ranging
487 from 12.5 – 50 μ M decreased expression of the pro-inflammatory cytokine IL-8 and the anti-
488 inflammatory cytokine IL-10 and increased expression of the pro-inflammatory cytokine IL-
489 12 (28). TFV at a concentration of 3.5 mM has been shown to upregulate the expression of
490 MIP-3 α , IL-8 and TNF- α in macrophages derived from blood monocytes, as well as in
491 primary epithelial cells derived from the endometrium and ectocervix of healthy women (21,
492 22). However, these reports (21, 22, 28) used much higher concentrations of these ARVs
493 than are detected systemically. Additionally, in human genital epithelial cells, DPV at
494 concentrations of 10 μ M and above was shown to produce small increases in IL-8 secretion
495 (23), consistent with our findings in TZM-bl cells. Our results further suggest that side-
496 effects of TDF and DPV due to loss of cell viability are unlikely to occur at concentrations up

497 to 10 μM for both ARVs in PBMCs and 100 μM in ectocervical tissue, although this is likely
498 to be cell- and tissue-specific.

499 To our knowledge, this is the first study to investigate the effects of ARVs on GR, PR and
500 AR transcriptional activity in the presence of their respective agonists. Svard *et al.* previously
501 reported that a panel of ARVs, including TFV and EFV, bind to the liver X receptor (LXR)
502 and the estrogen receptor (ER). In the study by Svard *et al.*, ARVs predicted to bind to the
503 GR were not able to bind *in vitro* or to transcriptionally activate the GR (55). Our results
504 show that TDF and DPV alone do not affect the transcriptional activity of the GR (Fig. S9).
505 We show for the first time that TDF and DPV increase the transcriptional efficacy of the GR
506 agonist DEX in U2OS cells and that TDF significantly activates the PR in a ligand-
507 independent manner. In contrast, TDF and DPV had no effect on the potency or efficacy of
508 the AR agonist MIB.

509 These *in vitro* ARV effects on GR and PR activity are potentially important if they are
510 translated *in vivo*. For ubiquitously expressed GR, our results suggest that, *in vivo*, TDF and
511 DPV may potentiate the effects of GR ligands, such as when cortisol levels are high or during
512 glucocorticoid therapy. This may be relevant for several GR-regulated physiological
513 processes, including metabolism, bone mineral density (BMD) and cardiovascular and
514 immune function (56). BMD loss is a known side-effect of TFV use in HIV-1-positive
515 patients and in HIV-1-negative PrEP users (57, 58). Several *in vitro* studies have implicated
516 TDF in playing a role in decreasing BMD (59, 60). Activation of the GR has also been
517 implicated in decreasing BMD (61, 62). Whether the GR is involved in any of the above
518 effects of TDF is unknown. Since the progestin MPA is a potent partial to full agonist of the
519 GR (63), HIV-1 positive women using DMPA-IM for contraception and taking TDF or DPV

520 may exhibit increased GR activity to increase side-effects, such a loss of BMD or
521 immunosuppression, and/or other beneficial effects of GR-regulated physiological functions.

522 A novel finding demonstrated in this study is that TDF, but not DPV, significantly activates
523 the transcriptional activity of the PR-B in the absence of a progestogen and increases the
524 efficacy of the PR-B in the presence of the progestogen LNG. This has important potential
525 implications that may be relevant to PR-regulated physiological processes including
526 reproduction, reproductive tissue cancers (64), immune function and bone density. TDF or
527 TFV have not previously been shown to affect reproductive functions (65, 66). BMD is
528 regulated by both estrogen and P₄ (67-69). DMPA-IM has also been shown to have a dose-
529 dependent relationship with BMD loss in adolescent girls receiving high-dose intramuscular
530 DMPA-IM (150 mg) compared to the lower dose subcutaneous DMPA-SC (104 mg) (67-71).
531 These studies suggest that high concentrations of PR ligands such as MPA and LNG may
532 create a hypoestrogenic environment and reduce BMD. Our results suggest a possible link
533 between TDF use, the PR and/or the GR, and BMD loss. Since TDF use as an intravaginal
534 ring for PrEP is set to deliver concentrations as high as 30 μ M to the FGT (6), where the GR
535 and PR are abundantly expressed (unpublished data, (72)), our results suggest that side-
536 effects with TDF and/or DPV via the GR or PR in the FGT may be highly relevant. PBMCs
537 are also shown to express GR mRNA and protein (73, 74) and some studies (73) but not
538 others (74) show that they express detectable PR. This suggests that side-effects with TDF
539 and/or DPV via the GR or PR may also be highly relevant in a systemic context.

540 The mechanisms whereby TDF but not DPV affect PR-B function remain to be determined
541 and were beyond the scope of the present study. Our *in silico* docking data suggest that this is
542 unlikely due to direct binding of TDF to the PR-B ligand-binding pocket. The finding that
543 TDF alone does not cause similar activation of GR or AR, suggests the mechanism is specific

544 to PR-B signaling and is unlikely to involve general components of the transcriptional
545 machinery. A mechanism involving a direct effect on the PR-B, other than binding to the
546 ligand-binding pocket, or an indirect effect such as on a protein that interacts specifically
547 with the PR-B, may be involved. Further studies on transactivation and transrepression of
548 endogenous genes and more detailed mechanistic studies may provide further insights.

549 An encouraging finding of our study was that neither MPA nor LNG affected the potency or
550 the efficacy of TDF or DPV to inhibit HIV-1_{BaL-Renilla} replication in TZM-bl cells. We have
551 previously also shown that this is the case for MPA and maraviroc (15), suggesting that these
552 progestins, when used for contraception, are unlikely to affect the efficacy for HIV-1
553 inhibition of these ARVs in women. This is consistent with previous clinical studies that
554 show that MPA does not affect ARV efficacy (20, 75). In contrast, a recent *in vitro* study
555 showed that MPA, but not LNG or NET, inhibited the anti-HIV-1 activity of TFV, in blood
556 and endometrial CD4⁺ T cells, most likely via lowering the intracellular TFV-diphosphate
557 levels (24). This suggests that the influence of MPA on ARV efficacy and potency may vary
558 depending on the cell type. A previous clinical study has also shown that TDF levels are
559 lowered in pregnant HIV negative women also suggesting that changes in hormones during
560 pregnancy may influence ARV efficacy (76). Previous clinical studies have not shown any
561 interactions of LNG with ARVs and hence LNG may be the more suitable choice for use as a
562 hormonal contraceptive in MPTs (77, 78).

563 Our study had several limitations including the limited donor size of PBMC and explant
564 models, which impacted on the statistical power of the study as well as on our ability to fully
565 characterize the effects of both ARVs and the progestins on inflammatory gene expression.
566 Furthermore, our gene expression analysis was restricted to three genes and models, and we
567 may have observed different results for others. Since we did not investigate effects of

568 concentrations of TDF and DPV greater than 1 μM in PBMCs, or DPV in genital tract tissue,
569 it is possible that effects do occur *in vitro* under these conditions not investigated.
570 Furthermore, we cannot draw any conclusions about *in vitro* effects in other genital tract
571 compartments. The use of a transactivation model only of steroid receptor transcriptional
572 activity is also a limiting factor as steroid receptor activity also occurs via a transrepression
573 model, and the effect of ARVs on transrepression is unknown. The physiological relevance of
574 the TZM-bl results remain to be explored *in vivo*. Nevertheless, our findings provide novel
575 insights into the mechanisms and reciprocal modulation of activities with combinatorial
576 usage of ARVs and GR, AR and PR ligands, which may have important implications *in vivo*.
577 Our PBMC and explant gene expression results and HIV-1 infection data are largely
578 reassuring that TDF and DPV and DEX and MPA do not reciprocally modulate key
579 biological effects and these ARVs would be suitable for combination in MPT with MPA.
580 However, the TZM-bl gene expression data, individual gene expression responses for some
581 donors in the primary models and effects of the ARVs on GR and PR function *in vitro* raise
582 concern that some negative effects could occur in a cell- and donor-specific manner *in vivo*.
583 Further experiments are required to evaluate dose- and time-dependent effects of ARVs and
584 steroid receptor ligands *in vitro* and their physiological relevance *in vivo*.

585 **Author Contributions Statement**

586 S.D, M.K, and K.E performed about 25%, 20% and 15% of the experiments, respectively.
587 S.S, J.G.W, J.M.M, A.B and M.F.M each contributed 8% of the experiments performed. All
588 the authors contributed to design and planning of experiments and analysis and interpretation
589 of data and contributed to the writing of the paper. J.P.H. conceived the project and directed
590 the research. M.F.M. and C.A. played a significant role in co-supervision of S.D., M.K, and

591 S.S., K.E., respectively. J.P.H and S.D wrote most of the paper, with significant contributions
592 by M.K. and J.G.W.

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906 acceptability of tenofovir and tenofovir plus levonorgestrel vaginal rings in women.
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908 **Figure Legends**

909 **Figure 1. Effect of ARVs on cell viability in TZM-bl cells, PBMCs, and cervical explant**
910 **tissue.** TZM-bl cells (A-B), PBMCs (C-D), and ectocervical explant tissue (E-F) were treated
911 with different concentrations of TDF and DPV, ranging from 10^{-10} M to 10^{-4} M, in triplicate,
912 for 24 h and DMSO (0.1% v/v) was used as the vehicle control. 5% DMSO was used as the
913 positive control in TZM-bl cells (Fig. S1) and in PBMCs (data not shown). Cells were treated
914 with MTT reagent for 2 h and, after solubilisation, the absorbance was read at 595 nm. Cell
915 viability was normalized to the vehicle control, which was set to 100%. Graphs show pooled
916 results of three independent experiments for TZM-bl cells (A-B), data from four PBMC
917 donors, with each condition done in triplicate and represented as mean \pm SD (C-D) or pooled
918 results from four cervical explant donors (E-F). Statistical analysis was done using a one-way
919 ANOVA, followed by Tukey's multiple comparison post-test. Statistical significance is
920 denoted by ** and *** to indicate $p < 0.01$ and $p < 0.001$ when comparing the vehicle control
921 to ARV treated conditions.

922 **Figure 2: Effect of TDF and DPV on inflammatory gene mRNA levels in human cervical**
923 **TZM-bl cells.** Gene expression was evaluated after 24 h stimulation with concentrations of
924 ARVs and steroids as indicated. A-B: GILZ mRNA expression with an increasing dose of

925 MPA, in the absence and presence of 10 μ M TDF (A) and 1 μ M DPV (B). C-D: IL-6 (C) and
926 IL-8 (D) mRNA expression in the presence of 100 nM DEX and MPA, and 1 μ M TDF or
927 DPV or combinations thereof. EtOH+DMSO (0.1% v/v for each) was used as the vehicle
928 control. RNA was isolated, and cDNA was synthesized. Thereafter mRNA expression levels
929 were determined by qRT-PCR and normalized to GAPDH mRNA expression levels. Relative
930 fold change in expression was determined by setting vehicle control to 1. Pooled results of
931 three or more independent experiments are shown and are represented as mean \pm SEM.
932 Statistical significance was assessed using a two-way ANOVA (A-B) with Tukey's multiple
933 comparison post-test between the curves, although no statistical significance was obtained.
934 Statistical significance was also assessed for C-D with a non-parametric Kruskal-Wallis test
935 with a Dunn's multiple comparison post-test between the conditions. Statistical significance
936 is denoted by *, ** and *** to indicate $p < 0.05$, $p < 0.01$ and $p < 0.001$.

937 **Figure 3. Immunomodulatory effects of ARVs in PBMCs.** Non-activated PBMCs were
938 stimulated with TDF and DPV at 1 μ M in the absence and presence of 100 nM DEX, or with
939 the vehicle control (ETOH+DMSO, 0.1% v/v for each) for 48 h. RNA was isolated from
940 PBMCs and thereafter cDNA was synthesized. Relative changes in GILZ (A), IL-6 (B), IL-8
941 (C) mRNA expression levels were determined by qRT-PCR and normalized to GAPDH
942 mRNA expression levels. Relative fold change in expression was determined by setting
943 vehicle control to 1. Pooled results of thirteen patients are shown with each condition done in
944 triplicate and are represented as mean \pm SEM. A Kruskal-Wallis test was performed with a
945 Dunn's multiple comparison post-test to determine significant differences between
946 treatments. Statistical significance is shown with * and **** denoting $p < 0.05$ and $p <$
947 0.0001.

948 **Figure 4. Immunomodulatory effects of ARVs in ectocervical explant tissue.** Ectocervical
949 explants were stimulated with 1 μ M DPV or 100 nM DEX or MPA, or combinations thereof
950 as indicated. RNA was isolated and thereafter cDNA was synthesized. Relative changes in
951 GILZ (A), IL-6 (B), IL-8 (C) mRNA expression levels were determined by qRT-PCR and
952 normalized to GAPDH mRNA expression levels. Relative fold change in expression was
953 determined by setting vehicle control to 1. Pooled results of matched incubations performed
954 on seven donors are shown with each condition done in triplicate and are represented as mean
955 \pm SEM. A Kruskal-Wallis test was performed with a Dunn's multiple comparison post-test to
956 determine significant differences between treatments. Statistical significance is shown with *
957 and ** denoting $p < 0.05$ and $p < 0.01$.

958 **Figure 5: Effect of TDF and DPV on the transcriptional efficacy and potency of GR,**
959 **PR-B or AR in the presence of their agonists.** U2OS cells were seeded into 10 cm^2 plates at
960 a density of 1.5×10^5 and incubated for 24 h. Thereafter, cells were transiently transfected for
961 24 h with pTAT-GRE-LUC, pcDNA-3 (empty vector) or the receptor expression vectors,
962 pSV-hAR, pcDNA3-hGR-WT or pSG5-PRB. Cells were re-seeded into 96-well plates at a
963 density of 1×10^4 cells/well for GR and AR, and 5×10^4 cells/well for PR-B. The cells were
964 then treated with increasing concentrations of the receptor agonists MIB, DEX and LNG in
965 the absence or presence of 1 μ M TDF and DPV. EtOH+DMSO (0.1% v/v for each) was used
966 as the vehicle control and cells were incubated for 24 h. Cells were lysed and the luciferase
967 activity was measured for GR (A-B), AR (C-D), and PR-B (E-F). Luciferase activity was
968 normalized to protein content per well as determined by Bradford assay. Furthermore,
969 luciferase activity was normalized to the plateau value of the reference ligand (DEX, MIB,
970 and LNG) which was set to 100% to obtain relative fold induction. Pooled results from three
971 or more independent experiments are shown and data are represented as mean \pm SEM.
972 Unpaired *t*-tests were used to obtain statistical significance of efficacies and potencies.

973 **Figure 6: Dose-dependent effects of TDF on ligand-independent PR-B activation.** U2OS
974 cells were seeded and incubated for 24 h. Thereafter, cells were transiently transfected for 24
975 h with pTAT-GRE-LUC, pcDNA-3 (empty vector) or pSG5-PRB. Cells were then re-seeded
976 and treated with varying concentrations of TDF (10^{-9} M - 10^{-6} M) in the absence and presence
977 of 100 nM P4 for 24 h. Cells were lysed and luciferase activity was measured. Luciferase
978 activity was normalized to protein content per well as determined by Bradford assay and to
979 vehicle control (0.1% EtOH, 0.1% DMSO), to obtain normalized relative fold induction.
980 Pooled results from three independent experiments are shown and data are represented as
981 mean \pm SEM. Unpaired *t*-tests were used to obtain statistical significance of efficacies and
982 potencies.

983 **Figure 7: Effect of ARVs on endogenous PR activity in the presence of LNG in MDA-**
984 **MB-231 cells.** PR-B stably-transfected MDA-MB-231 cells were transiently transfected with
985 9 μ g pTAT-GRE-LUC and thereafter re-seeded into 96 well plates at a density of 1×10^4
986 cells/well. Cells were treated with increasing concentrations of LNG in the absence and
987 presence of 1 μ M TDF and DPV for 24 h. EtOH+DMSO (0.1% v/v for each) was used as the
988 vehicle control and cells were incubated for 24 h. Cells were lysed and the luciferase activity
989 was measured for PR. Luciferase activity was normalized to protein content per well as
990 determined by Bradford assay. Furthermore, luciferase activity was normalized to the plateau
991 of the reference ligand LNG which was set to 100% to obtain relative fold induction. Pooled
992 results from four independent experiments are shown and data are represented as mean \pm
993 SEM. Unpaired *t*-tests were used to obtain statistical significance of efficacies and potencies.

994 **Figure 8. Inhibition of HIV-1 infection by TDF and DPV in the absence and presence of**
995 **steroid receptor agonists.** TZM-bl cells were exposed to increasing concentrations of TDF
996 or DPV in the absence and presence of 100 nM DEX and 0.01 nM MIB (A-B), or 100 nM

997 MPA and LNG (C-D) for 24 h prior to exposure to HIV-1_{BaL-Renilla} in the presence of the
 998 compounds for 48 h. EtOH+DMSO (0.1% v/v for each) was used as the vehicle control. HIV-
 999 1 infection was determined by measuring HIV-1 long terminal repeat (LTR) activity by
 1000 relative luciferase units (RLU) using BrightGlo (Promega), and these were normalized to the
 1001 corresponding MTT absorbance readings (RLU/MTT). Pooled results from four independent
 1002 experiments are shown where each condition was tested in triplicate and data are represented
 1003 as mean \pm SEM.

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1011 **Tables**1012 Table 1: Intravaginal and plasma or serum concentrations of ARV and progestin candidates1013 for MPTs

	Product	Concentration released in product	Cervicovaginal fluid concentration (μ M)	Cervical tissue concentration (μ M)	Plasma or serum concentrations (nM)	References
ARVs	TFV gel	1% (v/v) gel*	41 – 34 000	0.7 – 4 800	11.83 ⁺	(79, 80) ^{1,1}
	TDF ring	365 mg [#]	88 – 236	9 – 30	1.2 – 5.2	(5) ¹
	TDF (HAART)	300 mg	n.d	n.d	1100 - 1140	(81) ¹
	TDF/ FTC oral PrEP	300 mg TDF 200 mg FTC	n.d	79 ⁺	77 - 87	(82) ²

	DPV ring	25 mg [#]	17 ⁺	2 – 21	0.7 - 0.89	(7, 9, 54) ^{1,1,2}
Progestins	DMPA-IM (injectable)	150mg	n.d	n.d	21 ⁺	(70, 83, 84)
	ETG/EE ring (NuvaRing®)	120 µg ETG** 15 µg EE	n.d	0.002 ⁺ ETG 0.0004 EE	3.7 ⁺ ETG 0.05 ⁺ EE	(85) ²
	LNG-IUD (Mirena®)	30 µg** 52 mg	100 - 370	2.5 ⁺	0.2 ⁺ 0.97 ⁺	(48, 86) ^{1,1}
	LNG (implant, oral pill)	150 mg (implant) 1.5 mg (oral pill)	n.d	n.d	0.3 - 28	(87-89) ^{2,2,2}
	NES/EE ring	150 µg NES** 15 µg EE	n.d	n.d	0.3 ⁺	(90) ²
MPT	DPV+LNG ring	200 mg DPV [#] 320 mg LNG	n.d	n.d	2.1 ⁺ DPV 5.1 ⁺ LNG	(91) ¹
	TFV+LNG ring	8 – 10 mg TFV** 20 µg LNG	34 – 3484 TFV LNG - n.d	6.9 – 2865 TFV LNG - n.d	8 ⁺ TFV 0.9 – 1.6 LNG	(92) ¹

1014 Notes: * - pericoitally applied, [#] - single dose, ** - daily dose, ⁺ - mean concentration, n.d – not determined, 1 –
1015 plasma concentrations, 2 – serum concentrations.

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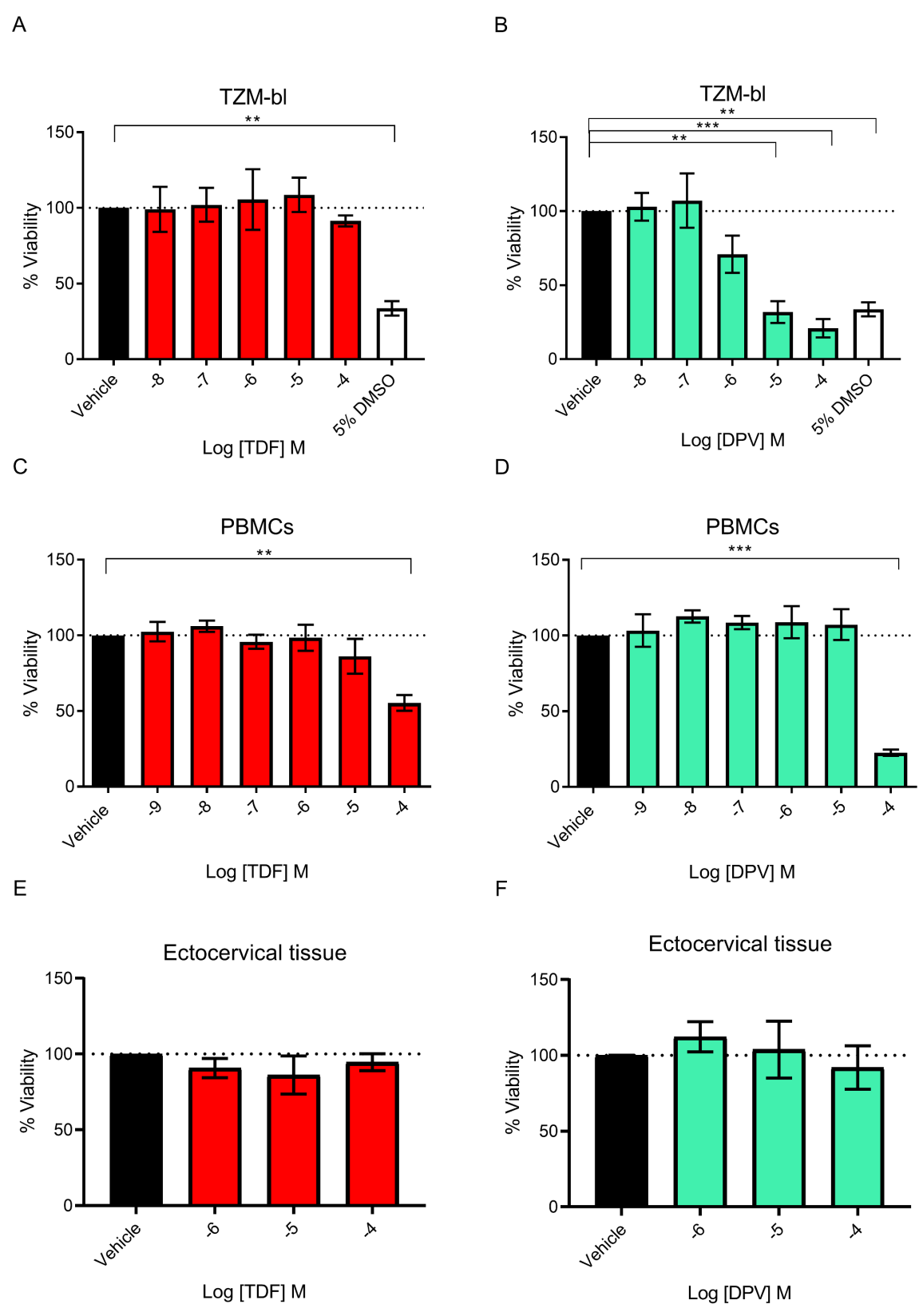


Figure 1

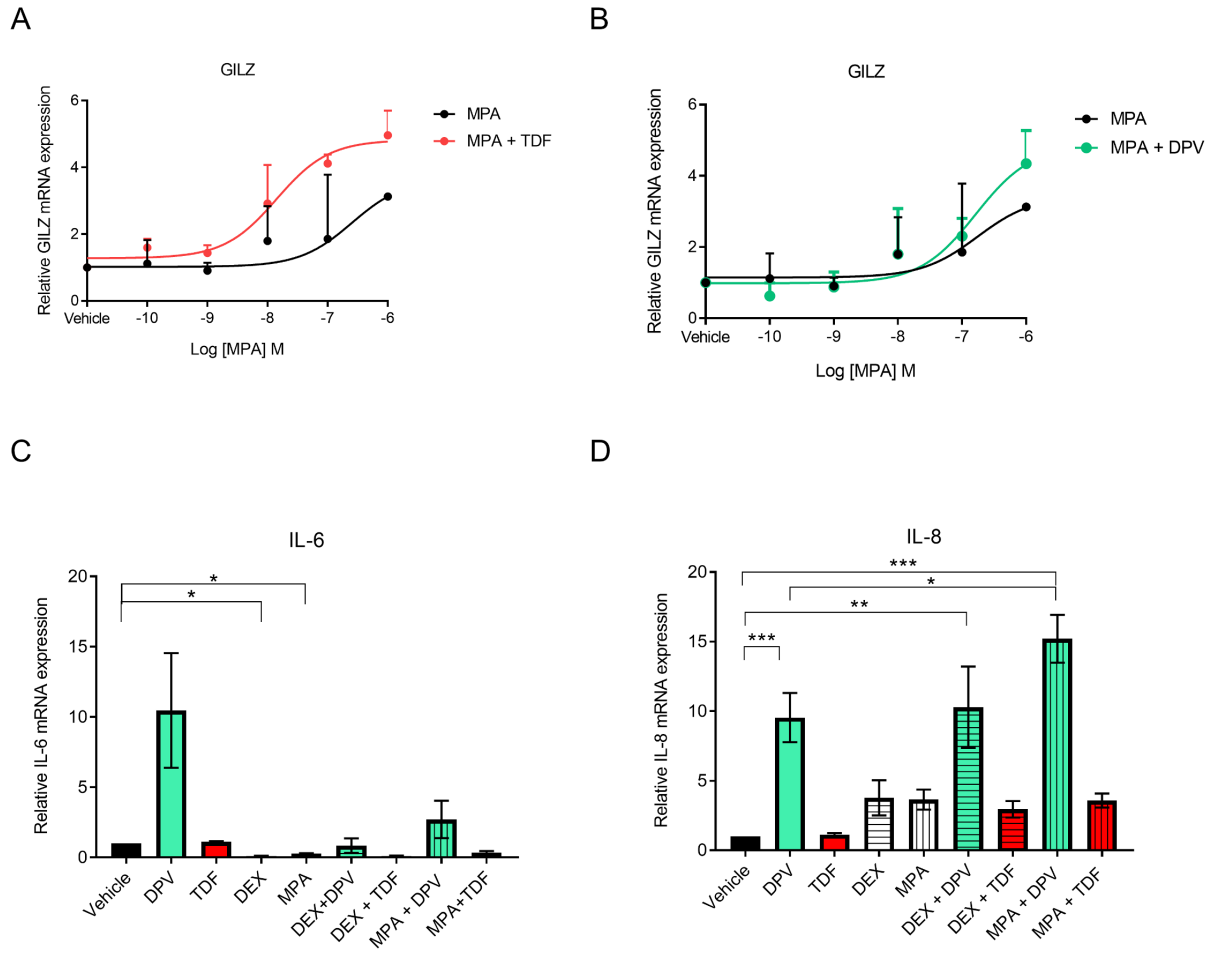


Figure 2

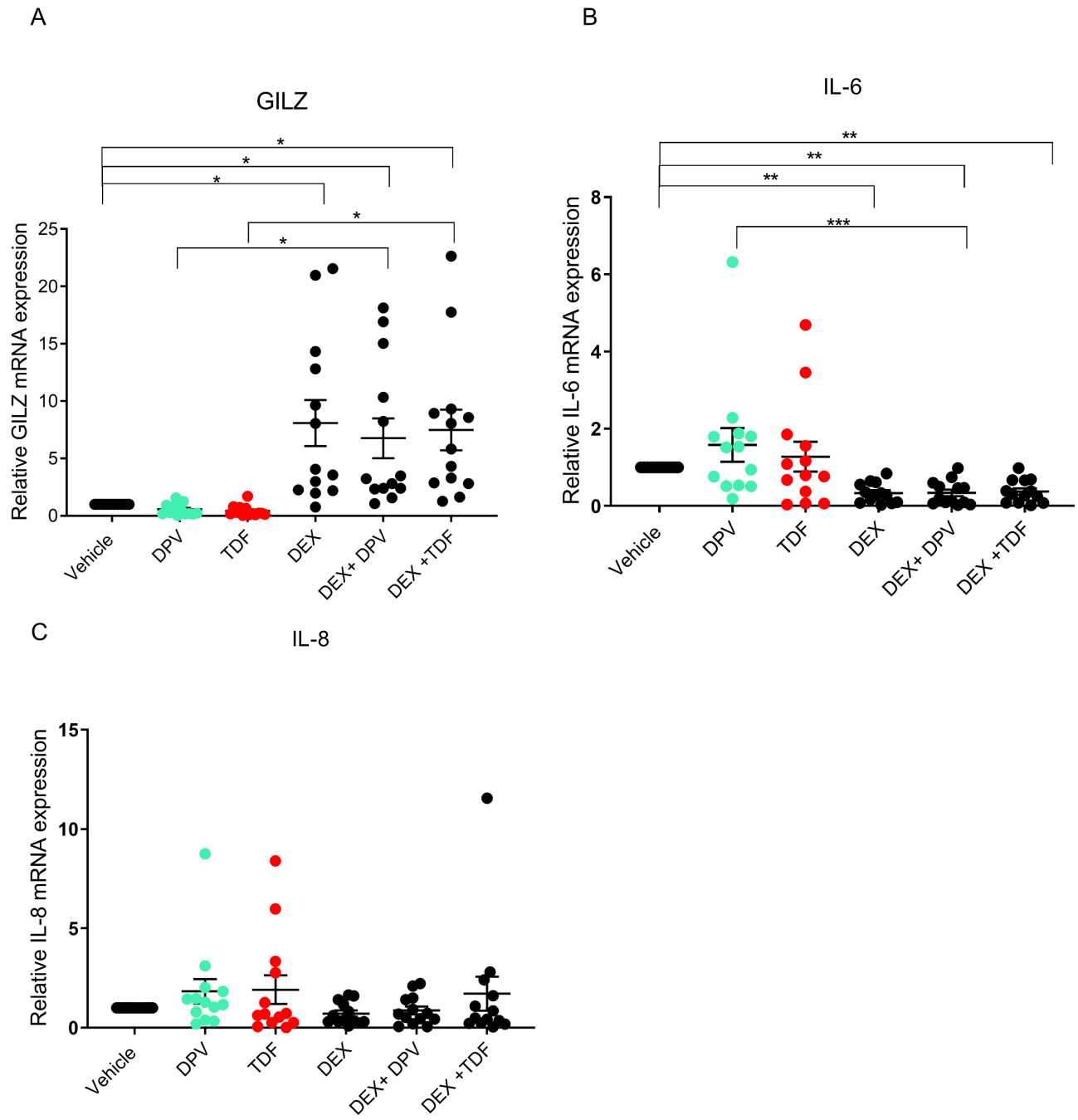


Figure 3

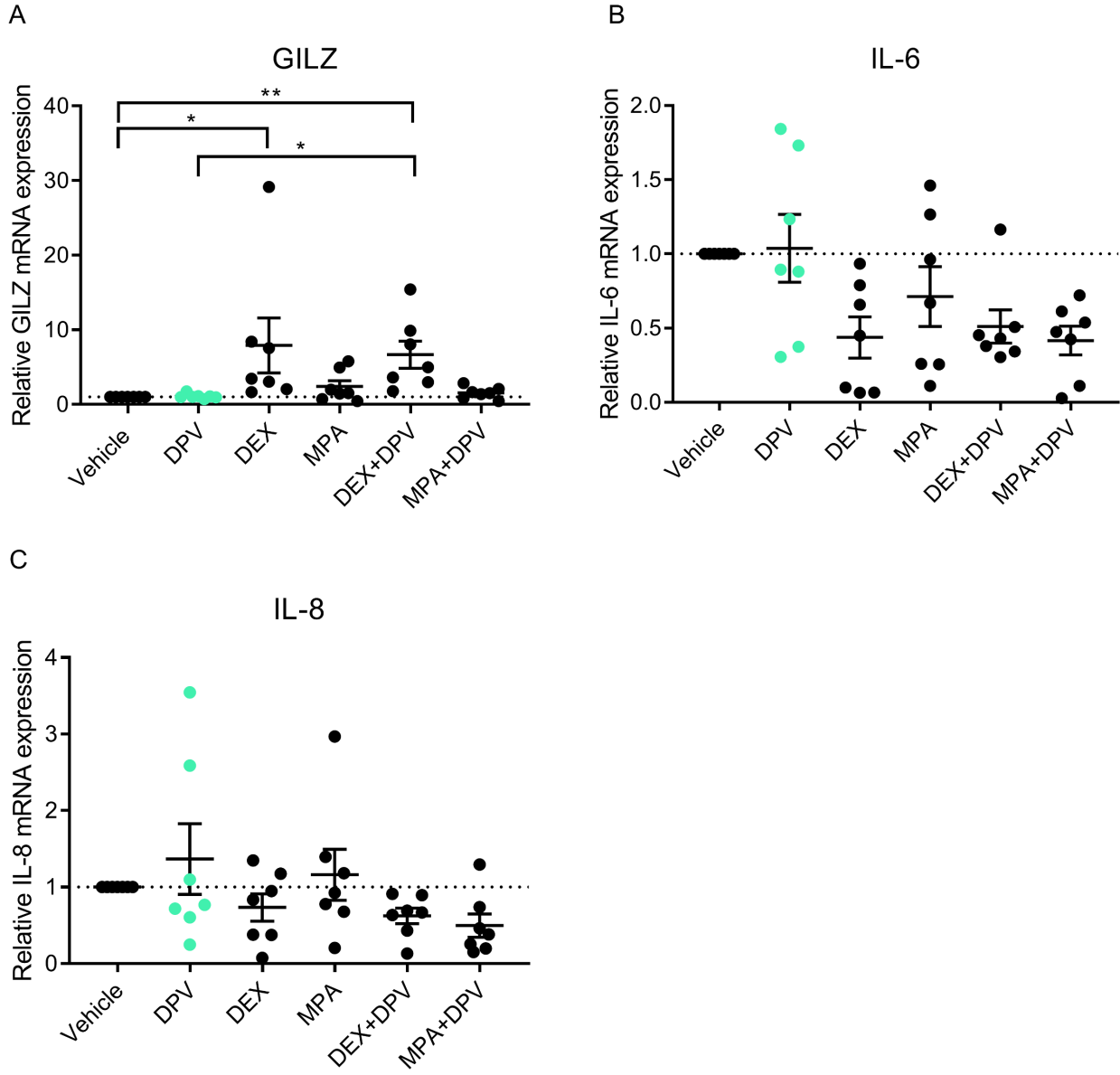


Figure 4

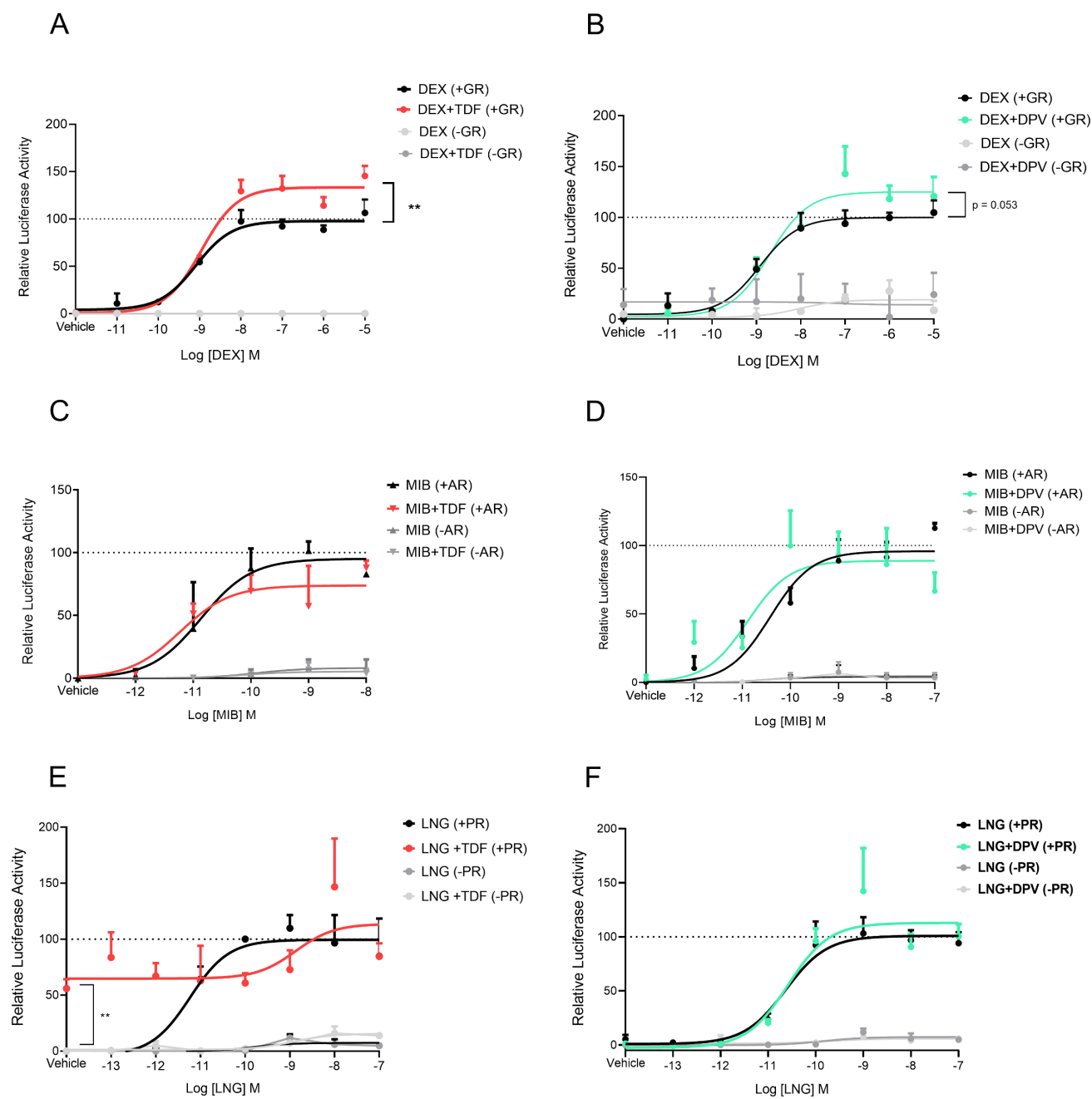


Figure 5

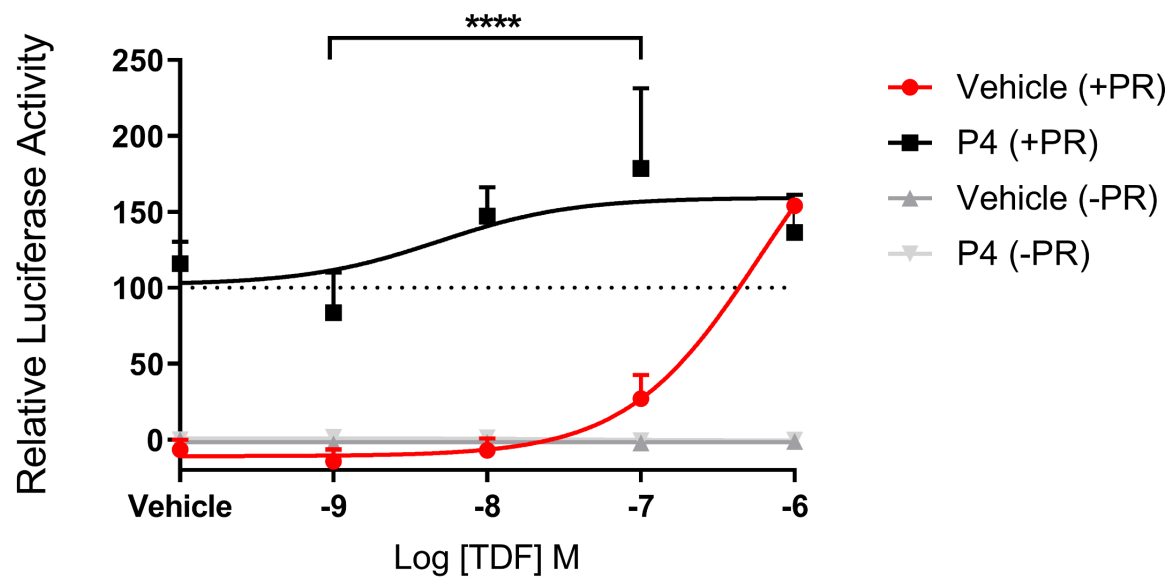


Figure 6

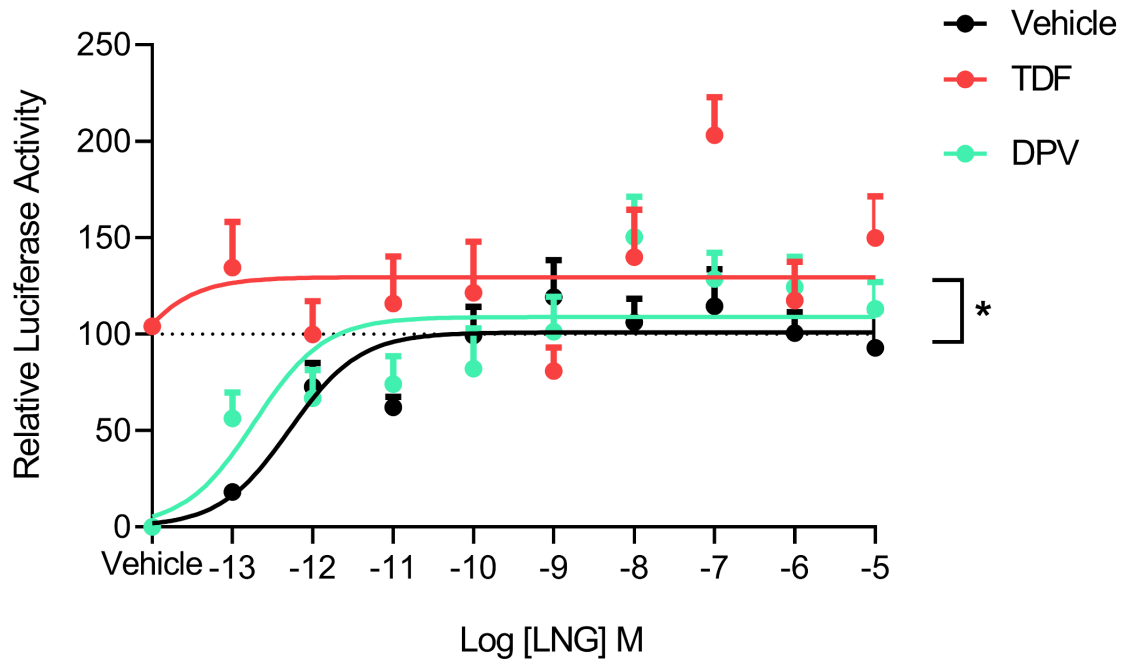


Figure 7

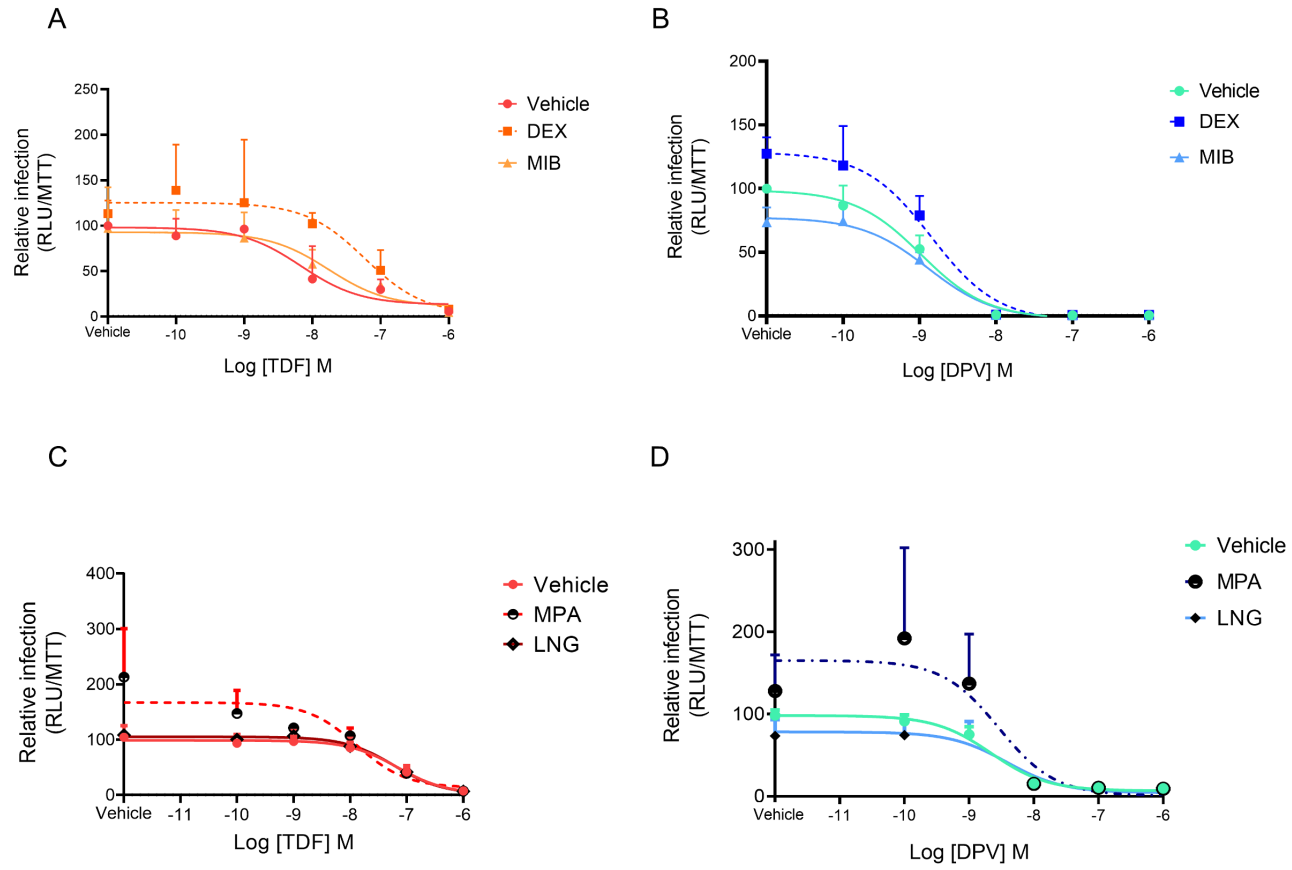


Figure 8