

Journal Pre-proof

AMPK activation induced by promethazine increases NOXA expression and Beclin-1 phosphorylation and drives autophagy-associated apoptosis in chronic myeloid leukemia

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PII: S0009-2797(19)31382-1

DOI: <https://doi.org/10.1016/j.cbi.2019.108888>

Reference: CBI 108888

To appear in: *Chemico-Biological Interactions*

Received Date: 12 August 2019

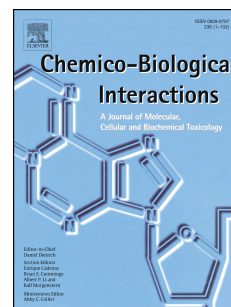
Revised Date: 15 October 2019

Accepted Date: 28 October 2019

Please cite this article as: H.C.D. Medeiros, C. Colturato-Kido, Leti.S. Ferraz, C.A. Costa, V.W.R. Moraes, E.J. Paredes-Gamero, I.L.S. Tersariol, T. Rodrigues, AMPK activation induced by promethazine increases NOXA expression and Beclin-1 phosphorylation and drives autophagy-associated apoptosis in chronic myeloid leukemia, *Chemico-Biological Interactions* (2019), doi: <https://doi.org/10.1016/j.cbi.2019.108888>.

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3 **drives autophagy-associated apoptosis in chronic**
4 **myeloid leukemia**

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26 **Short Title.** Promethazine-induced leukemia cell death.
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29 **Keywords:** Autophagy; Cell Death; Drug Repurposing; Leukemia; Phenothiazine.
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31 **Highlights**

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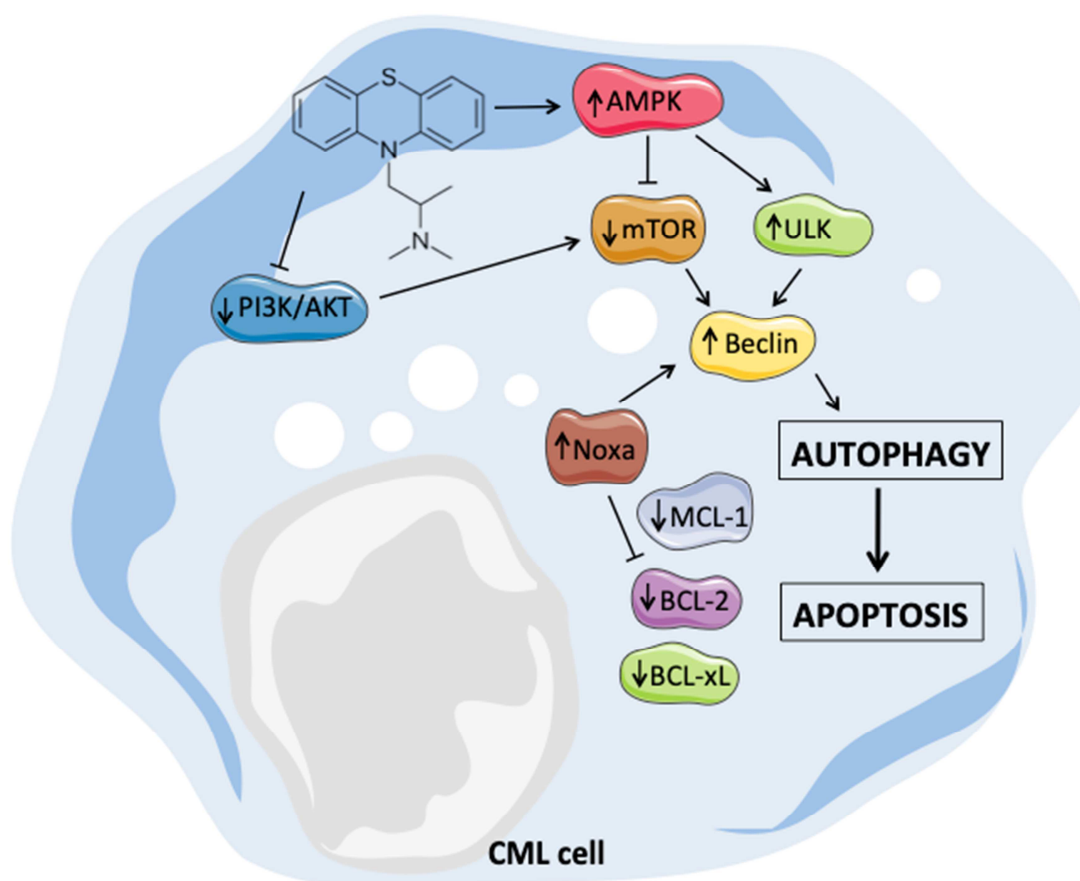
33 1. Resistance to chemotherapy is a clinical challenge in leukemia therapy.

34 2. Antiallergic small molecule promethazine is used as antiemetic in cancer patients.

35 3. Promethazine induced a selective leukemia cell death through autophagy-apoptosis.

36 4. For the first time, the antitumor effects of promethazine were described.

37

38 **Graphical Abstract**

39

40 **Abstract**

41 Relapse and drug resistance is still major challenges in the treatment of leukemia. Promethazine,
42 an antihistaminic phenothiazine derivative, has been used to prevent chemotherapy-induced
43 emesis, although there is no report about its antitumor potential. Thus, we evaluated the
44 promethazine cytotoxicity against several leukemia cells and the underlying mechanisms were
45 investigated. Promethazine exhibited potent and selective cytotoxicity against all leukemia cell
46 types *in vitro* at clinically relevant concentrations. Philadelphia positive chronic myeloid
47 leukemia (CML) K562 cells were the most sensitive cell line. The cytotoxicity of promethazine
48 in these cells was triggered by the activation of AMPK and inhibition of PI3K/AKT/mTOR
49 pathway. The subsequent downstream effects were NOXA increase, MCL-1 decrease, and
50 Beclin-1 activation, resulting in autophagy-associated apoptosis. These data highlight targeting
51 autophagy may represent an interesting strategy in CML therapy, and also the antitumor potential
52 of promethazine by acting in AMPK and PI3K/AKT/mTOR signaling pathways. Since this drug
53 is currently used with relative low side effects, its repurposing may represent a new therapeutic
54 opportunity for leukemia treatment.

55 **1. Introduction**

56 Cancer is the second leading cause of death globally and accounted for 8.8 million deaths in
57 2015. The economic costs of cancer in 2010 were estimated at approximately US\$ 1.16 trillion
58 and this number will increase with more than 20 million new cases estimated for 2025 (WHO,
59 2018). Leukemia is a group of hematological diseases originated from the bone marrow
60 precursor cells and it is divided in different subtypes according to cellular maturity (acute or
61 chronic) and cell type (lymphocytic or myeloid) (Chen et al., 2017). Its incidence is 5.2
62 cases/100,000 people in the world (GCO, 2018) and chemotherapy is an important therapeutic
63 option, although the specifically treatment will depend on the type of leukemia and the
64 individual age (Gambacorti-Passerini et al., 2014). However, the development of drug resistance
65 results in refractory disease or relapse after an initial response, and the treatment failure
66 (Mathisen et al., 2014; Liu et al., 2016). This represents the major therapeutic challenge to be
67 overcome, implying in the need for discovering new targets and developing more effective drugs
68 to increase the chemotherapy success.

69 The development and approval of new drugs against cancer is costly and spends long developing
70 time with a high rate of failure. One alternative is to prospect new uses for drugs approved for
71 other diseases, as candidates for cancer treatment (Hernandez et al., 2017). Such strategy has
72 proved to be faster and cheaper, and increasing the therapeutic arsenal against cancer. The great
73 advantage of drug repurposing is the rapidly translation into phase II and III clinical studies,
74 since the pharmacokinetics, pharmacodynamics, and toxicity profiles of drugs are well known
75 (Chen et al., 2016). For example, metformin was originally used to diabetes treatment and it has
76 been studied for its anticancer activity (Fuming et al., 2018). Recent studies have showing that
77 phenothiazine derivatives used in treatment of schizophrenia, psychosis, and anxiety, also

78 promotes mitochondrial alterations related to cell death (Cruz et al., 2010; De Faria et al., 2015)
79 and exhibits selective cytotoxicity against tumor cells (Gil-Ad et al., 2004; Zhelev et al., 2004;
80 Gutierrez et al., 2014; Mello et al., 2016).

81 The antiallergic small molecule promethazine (PMTZ) is also a phenothiazine derivative with
82 predominant antagonism on H₁-histaminergic receptors, different from the antipsychotic
83 phenothiazines, which blocks dopaminergic D₂ receptors. Besides the main antihistaminic
84 activity, PMTZ is also used in Medicine as antiemetic and sedative (Baldessarini & Tarazi,
85 2005). Protective effects of PMTZ have been proposed in several biological models, including
86 inhibition of microsomal lipid peroxidation (Slater, 1968) and mitochondrial permeability
87 transition pore (Tarkkila et al., 1995). Also, neuro and hepatoprotective effects reported for
88 PMTZ were attributed to the ability to prevent mitochondrial dysfunctions (An et al., 2017; Geng
89 et al., 2017), apparently without affecting cellular bioenergetics and cell proliferation (Poteet et
90 al., 2013). Interestingly, PMTZ has been used for prevention and treatment of nausea and
91 vomiting induced by antitumor chemotherapeutic drugs, such as cisplatin and paclitaxel, and in
92 the adjuvant treatment of oncologic patients as a sedative or sleep aid (Federico et al., 1990).
93 Considering PMTZ has already being used in cancer patients in order to diminished the side
94 effects of chemotherapy, this study aimed to investigate possible cytotoxicity exhibited by
95 PMTZ against leukemia cells and underlying mechanisms. PMTZ exhibited potent and selective
96 cytotoxicity against different types of leukemia cells *in vitro* by triggering autophagy-mediated
97 apoptotic cell death. Further studies are needed to evaluate its *in vivo* action in a xenograft tumor
98 model. Thus, for the first time, these findings highlight the pharmacological potential of
99 antiallergic promethazine as possible adjuvant drug and also autophagy as a target in leukemia
100 chemotherapy.

101 **2. Materials and methods**

102 *2.1. Cell culture and standard incubation conditions*

103 The cell lines used in this study were Jurkat (acute lymphoblastic leukemia), Jurkat BCL-2 (acute
104 lymphoblastic leukemia over expressing BCL-2 antiapoptotic protein), ARH-77 (plasma cell
105 leukemia), CCRF-CEM (acute lymphoblastic leukemia), KG-1 (acute myelogenous leukemia),
106 Raji-1 (Burkitt's lymphoma), K562 (chronic myelogenous leukemia), and Lucena-1 (chronic
107 myelogenous leukemia, vincristine resistant). All cell lines were tested mycoplasma-free by
108 indirect staining with Hoechst 33258 (Thermo Fisher Scientific, MA, USA). Cells were grown in
109 RPMI 1640 (Sigma Chem. Co., St. Louis, MO, USA), pH 7.4, supplemented with 10% (v/v)
110 fetal bovine serum (Gibco, Invitrogen, MA, USA), 100 U/mL penicillin and 100 µg/mL
111 streptomycin, in a 5% CO₂ atmosphere at 37°C (Panasonic MCO-19AIC, Japan). For the
112 experiments, cells (1×10^5 cells/mL) were centrifuged ($160 \times g$ for 10 minutes), and suspended in
113 supplemented RPMI 1640 medium. Cells were pipetted into microplate wells followed by the
114 addition of different concentrations of PMTZ (Selleckchem, TX, USA).

115 *2.2. Cytotoxicity assays*

116 PMTZ cytotoxicity was screened by the MTT reduction test against several leukemia tumor cell
117 lines (Jurkat, Jurkat BCL-2, ARH-77, CCRF-CEM, KG-1, Raji-1, K562, and Lucena-1), and also
118 against human peripheral blood mononuclear cells (PBMC) for comparative purposes with
119 normal cells. Cells were seeded in 96 multiwell plates (1×10^5 /mL) and PMTZ added at
120 increasing concentrations followed by 24 h incubation. After this, 0.25 mg/mL MTT was added
121 and incubated for 4 h. Then, 0.1 mL of 10% (w/v) SDS solution was added, incubated overnight,
122 and plates were read at 570 nm and 620 nm, as background (Biochrom Asys Expert Plus

123 Microplate Reader, Biochrom Ltd., Cambridge, UK). The modulators (50 μ M Boc-D-FMK, 60
124 μ M necrostatin-1, 20 μ M NSCI, 5 mM 3-methyl adenine (3-MA), 20 μ M LY294002, and 1mM
125 N-acetyl cysteine (NAC), all from Sigma-Aldrich, UK) were preincubated 1 h before the
126 addition of PMTZ. For the experiment with PMBC, a human blood sample (5 mL) was collected,
127 mixed with equal volume of supplemented RMPI 1640 medium and Ficoll medium (Life
128 Sciences, USA). After centrifugation at 1,100 \times g for 20 minutes, PBMC layer was collected,
129 suspended in supplemented RMPI 1640 medium, and centrifuged again at 750 \times g to remove the
130 remaining Ficoll solution. Platelets were separated from PBMCs by centrifugation at 150 \times g for
131 10 minutes. PBMC were collected in supplemented RMPI 1640 medium, stimulated with
132 phytohemagglutinin (5.0 μ g/mL), and submitted to MTT assay after 24h incubation with 25 and
133 50 μ M PMTZ. The Human Research Ethics Committee of Federal University of ABC approved
134 the experiment under protocol number 00955018.8.0000.5594. Additionally, PMTZ cytotoxicity
135 was evaluated by the trypan blue exclusion assay in K562 and Lucena-1 cell lines in order to
136 exclude any redox interference of PMTZ with the MTT assay. To do this, after incubation with
137 increasing PMTZ concentrations for 24 h, 0.016 % (w/v) trypan blue was added and cells were
138 counted in a Neubauer's chamber. Cell viability in both assays was calculated in relation to the
139 control (absence of PMTZ), considered as 100%. EC₅₀ values were calculated as described (De
140 Faria et al., 2015).

141 *2.3. Annexin V-FITC/PI staining*

142 After incubation with PMTZ for 24 h, K562 cells were centrifuged (160 \times g for 10 minutes) and
143 suspended in 50 μ L of binding buffer (Moraes et al., 2013) plus 5 μ L of Annexin V-FITC (BD
144 Biosciences, CA, USA) and 5 μ L of propidium iodide (PI) (BD Biosciences, USA). The mixture
145 was incubated in the dark at room temperature for 20 minutes, and after addition of 0.3 mL of

146 binding buffer, fluorescence emission was acquired in a FACSCanto II Flow Cytometer (BD
147 Biosciences), acquiring 10,000 events per sample using Coherent® Sapphire™ 488-20 solid
148 state blue laser with excitation at 488 nm, dichroic mirror 502LP, bandpass filter 530/30 for
149 FITC fluorescence channel and dichroic mirror 556LP, bandpass filter 585/42 for PI
150 fluorescence channel. Data analyses and graphs were performed with Flow Jo vX.0.7 software
151 (Ashland, OR, USA).

152 ***2.4. Propidium Iodide (PI) / Hoechst 33342 double staining***

153 After incubation with PMTZ for 24 h, K562 cells were centrifuged (160×g for 10 minutes) and
154 suspended in 0.3 mL of RPMI 1640 medium plus 8µM Hoechst 33258 (Thermo Fisher
155 Scientific, MA, USA) and 1.5 µM PI for 30 min. Fluorescence emission was acquired in a
156 widefield fluorescence microscopy system Leica AF6000 (Leica Microsystems, Germany) using
157 the cube filters A4 (Ex: 360/40, dichroic mirror: 400 nm: Filter BP: 470/40) and Y3 (Ex: 545/40,
158 dichroic mirror: 565 nm, BP filter: 610/38), objective lens HCX PL FLUOTAR 63X/1.25n.a.
159 OIL, and camera DFC365FX (Leica Microsystems, Germany).

160 ***2.5. Mitochondrial membrane potential ($\Delta\Psi$) estimation***

161 After incubation with PMTZ for 24 h, K562 cells were centrifuged (160×g for 10 minutes) and
162 suspended in 1.0 mL of PBS containing 20 nM TMRM (Thermo Fischer Scientific, MA, USA).
163 The mixture was incubated in the dark at 37°C for 30 minutes, centrifuged (160×g for 10
164 minutes), and suspended in 0.3 mL of PBS. As positive control, 50 µM CCCP (carbonyl cyanide
165 3-chlorophenylhydrazone) was used to dissipate the mitochondrial potential. Fluorescence
166 emission was detected in a FACSCanto II Flow Cytometer (BD Biosciences, CA, USA),
167 acquiring 10,000 events per sample using dichroic mirror 556LP, bandpass filter 585/42 nm for

168 TMRM fluorescence channel. Data analyses and graphs were performed with Flow Jo vX.0.7
169 software (Ashland, OR, USA).

170 ***2.6.ROS production***

171 After incubation with PMTZ for 24 h, K562 cells were centrifuged (160×g for 10 minutes) and
172 suspended in 1.0 mL of PBS containing 10 μM CM-H₂DCFDA (Life Technologies, Invitrogen,
173 USA). The mixture was incubated in the dark at 37°C for 30 minutes, centrifuged (160×g for 10
174 minutes), and suspended in 0.3 mL of PBS. As positive control, it was used 100 μM hydrogen
175 peroxide (H₂O₂). Fluorescence emission was detected in a FACSCanto II Flow Cytometer (BD
176 Biosciences, CA, USA), acquiring 10,000 events per sample using Coherent® Sapphire™ 488-
177 20 solid state blue laser with excitation at 488 nm, dichroic mirror 502LP, bandpass filter 530/30
178 for FITC fluorescence channel. Data analyses and graphs were performed with Flow Jo vX.0.7
179 software (Ashland, OR, USA).

180 ***2.7.Western blotting***

181 After incubation with PMTZ for 6, 12, and 24 h, K562 cells were lysed with RIPA buffer 1X
182 (Thermo Fischer Scientific, MA, USA) with 1X protease/phosphatase inhibitor cocktail (Cell
183 Signaling, MA, USA) and 200 nM PSMF (Cell Signaling, MA, USA). The protein concentration
184 was determined using the Lowry method (Lowry et al., 1951). Briefly, aliquots (20-60 μg) of
185 total cell lysates were resolved using SDS-PAGE gels, blotted onto nitrocellulose membranes
186 (Bio-Rad, CA, USA), and blocked with a solution of 2.5% BSA in Tris-buffered saline with
187 Tween 20 (150 mM NaCl, 2.5 mM KCl, 0.05% Tween 20 (v/v) in 0.025 M Tris HCl, pH 7.4).
188 Proteins were subsequently detected using the following primary antibodies diluted 1:1000,
189 Ambra1 (#24907), AMPKα (#58320), ATG5 (#12994), ATG7 (#8558), BAX (#5023), BCL-2

190 (#15071), BCL-XL (#2764), BIM (#2933), Caspase-8 (#4790), LAMP2 (#49067), LC3 A/B
191 (#12741), mTOR (#2983), NOXA (#14766), Phospho-Beclin-1 (#13825), Phospho-MCL-1
192 (#4579), Phospho-mTOR (#2971), Phospho-PI3Kinase (#4228), Phospho-SQSTM1/p62
193 (#13121), SQSTM1/p62 (#8025), ULK1 (#8054), β -Actin (#3700) (Cell Signaling, CA, USA);
194 Beclin-1 (#612112), PI3-Kinase (#610045) (BD Bioscience, CA, USA), followed by 1 h
195 incubation with the respective secondary antibodies diluted 1:10,000, namely, anti-mouse
196 (#7076) or anti-rabbit (#7074) (Cell Signaling, CA, USA), conjugated to horseradish peroxidase.
197 Labeled proteins were detected using the chemiluminescent detection kit Pierce™ ECL Plus
198 Substrate (Thermo Fischer Scientific, A, USA) and acquired with ChemiDoc™ MP Imaging
199 system v5.0 (Bio-Rad, CA, USA).

200 ***2.8. Live cell imaging***

201 After incubation with PMTZ for 24 h, K562 cells were centrifuged (160×g for 10 minutes) and
202 suspended in 1.0 mL of PBS. Lysosomes were stained with 400 nM Lyso Tracker Green
203 (Thermo Fisher Scientific, MA, USA) and nuclei with 5.0 nM Hoechst 33258 (Thermo Fisher
204 Scientific, MA, USA) for 30 min in the dark. Fluorescence emissions were acquired in a
205 widefield fluorescence microscopy system Leica AF6000, using the set of cube filters A4 (Ex:
206 360/40, dichroic mirror: 400 nm: Filter BP: 470/40) and L5 (Ex: 480/40, dichroic mirror: 505
207 nm, BP filter: 527/30), objective lens HCX PL FLUOTAR 63X/1.25na OIL, and camera
208 DFC365FX (Leica Microsystems, Germany).

209 ***2.9. Statistical analyses***

210 Values were obtained from at least three independent experiments run in triplicate. Data were
211 expressed as mean \pm SEM and the statistical analyses were performed by one-way analysis of

212 variance, followed by Tukey *post hoc* test, with significance defined as * $p < 0.05$, ** $p < 0.01$, ***
213 $p < 0.001$.

214

215 **3. Results**

216 ***3.1. Promethazine exhibits potent and selective cytotoxicity against a panel of leukemia*** 217 ***cells***

218 The chemical 2D-structure of promethazine (PMTZ) is presented in Fig. 1A. Its cytotoxicity was
219 preliminarily screened by incubating eight different leukemia cell lines (detailed in Materials and
220 Methods) with increasing concentrations of PMTZ (0-200 μM) for 24 h. As observed in Fig. 1B,
221 PMTZ exhibited high cytotoxicity against all different types of leukemia cell lines evaluated,
222 even against the vincristine-resistant chronic myeloid leukemia (CML) cell, Lucena-1, and also
223 the overexpressing BCL-2 antiapoptotic protein, Jurkat. In order to establish cell type sensitivity
224 and the potency order, half maximal effective concentration (EC_{50}) values were calculated from
225 these concentration-response curves and presented as bar graph (Fig. 1C). The comparative
226 analysis of EC_{50} values revealed that human CML cell line, K562, was the most sensitive to the
227 cytotoxic activity of PMTZ, being selected for the further experiments. In order to exclude a
228 possible redox interference of the drug with the MTT assay, PMTZ cytotoxicity was also
229 evaluated by the trypan blue exclusion assay, using both K562 and Lucena-1 cells. As observed
230 in Fig. 1D, results were similar to that obtained in the MTT assay. Still, the PMTZ-induced cell
231 death was evaluated by flow cytometry using the frontal dispersion of the laser (forward scatter,
232 FSC) that provides information about the relative cell size, and the side scatter (SSC) that is
233 related to granularity or complexity of the cell. PMTZ decreased cell size and increased the

234 granularity of K562 cells (Fig. 1E), suggestive of a dead cell population quantified in Fig. 1F.
235 Fluorescence microscopy analyses of K562 cells incubated with the cell permeant nuclear dye
236 Hoechst 33342 (blue) and cell non permeant nuclear dye propidium iodide (red) simultaneously
237 revealed that PMTZ promoted plasma membrane permeabilization, as evidenced by the red
238 staining increase at 50 μ M PMTZ (Fig. 1G). Interestingly, the comparison of the cytotoxicity of
239 PMTZ between leukemia K562 cells and peripheral mononuclear blood cells revealed
240 specificity, since PMTZ cytotoxicity is higher in tumor than normal cells, as desired for an
241 antitumor chemotherapeutic drug. At 25 and 50 μ M, PMTZ decreased the cell viability by 35
242 and 58%, respectively, without affect significantly the PMBC viability (Fig. 1H).

243 ***3.2. Altered expression of Bcl-2 family proteins related to the PMTZ-induced apoptosis***

244 Flow cytometric analyses using annexin V-FITC/PI assay revealed a doubly stained cell
245 population (annexin V⁺/PI⁺) after incubation of K562 cells with increasing concentrations of
246 PMTZ, indicating an early phosphatidylserine externalization followed by later plasma
247 membrane permeabilization (Fig. 2A). The quantification of apoptotic cells, i.e., annexin V-
248 FITC positive cells, considering all replicates, is presented in Fig. 2B. Despite this, it was not
249 observed caspase 8 (extrinsic pathway) or executioner caspase 3 activation, which is suggestive
250 of a caspase-independent cell death (Fig. 2C). Accordingly, the pan caspase inhibitor Boc-
251 Asp(OMe)-fluoromethyl ketone(Boc)and the caspase 3 inhibitor 1-(4-methoxybenzyl)-5-[2-
252 (pyridin-3-yl-oxymethyl)pyrrolidine-1-sulfonyl]-1H-indole-2,3-dione (NSCI) did not prevented
253 cell death elicited by PMTZ (Fig. 2D and 2E).Considering that necroptosis as a regulated and
254 caspase independent cell death type, necrostatin-1, a RIPK1 inhibitor, was tested and exerted no
255 effect on PMTZ induced cell death (Fig. 2F). Bcl-2 members are well known players in the
256 apoptotic process and alterations in their expression, such as Bcl-2 overexpression, are associated

257 with chemotherapy failure in leukemia (Reed, 1997). Thus, the effects of PMTZ on Bcl-2 family
258 proteins expression were evaluated by Western blotting. As observed in the Fig. 3A, PMTZ
259 decreased the expression of the anti-apoptotic members, BCL-2, BCL-XL, and MCL-1, whereas
260 increased the expression of pro-apoptotic members BAK and NOXA, deregulating the balance
261 between pro- and anti-apoptotic Bcl-2 members, triggering apoptosis^[26-29]. Since a representative
262 analysis was presented for each protein, the quantification of all experimental replicates were
263 presented in the supplementary material (Fig. S1). The significant increase in the NOXA/MCL-
264 1ratio by PMTZ (Fig. 3B), suggests the involvement of mitochondrial dysfunction contributing
265 to the observed cell death, evidenced by the concentration-dependent dissipation of the
266 mitochondrial transmembrane potential induced by PMTZ in K562 cells (Fig. 3C and 3D). The
267 disappearance of TMRM fluorescence observed at 50 μ M PMTZ probably is due to the complete
268 disruption of the cells and the fluorophore was diluted in the incubation buffer. The
269 protonophoric uncoupler CCCP was used as positive control in this experiment.

270 ***3.3.Promethazine induces autophagy-associated apoptosis in CML K562 cells***

271 Besides its effect on apoptotic signaling, increased expression of NOXA is reported to promote
272 autophagy-mediated cell death, preventing the interaction between MCL-1 and Beclin-1, a
273 protein required for the autophagosome formation (Elgendy et al., 2011). Considering that
274 PMTZ induced increase in the expression of NOXA and decrease of MCL-1, associated to the
275 increased phosphorylation of Beclin-1, we evaluated the effect of inhibitors of autophagy known
276 to target different steps of the autophagic pathway on PMTZ-induced cell death. It was used 3-
277 methyl adenine (3-MA), a class III PI3K inhibitor, which prevents the nucleation of
278 autophagosomes (Hendil et al., 1990), LY294002, a synthetic PI3K inhibitor (Knight & Shokat,
279 2007),and E-64, an inhibitor of lysosomal proteases, which diminishes the protein degradation

280 inside lysosomes (Tanida et al., 2005). As observed in Fig. 3 (E, F, and G), the inhibition of
281 initial or final steps of autophagy diminished the cytotoxicity of PMTZ, showing that, in this
282 case, autophagy is contributing to cell death and not to cell rescue/survival.

283 ***3.4.Promethazine-induced autophagic cell death is driven by AMPK activation and*** 284 ***PI3K/mTOR inhibition***

285 In order to investigate the signaling pathways involved with autophagy-associated cell death
286 induced by PMTZ, different proteins related to autophagy were evaluated. The scheme shown in
287 parallel with the results in Fig. 4A illustrates the overall alterations promoted by PMTZ in CML
288 K562 cells and the quantification of all experimental replicates for each protein were presented
289 in the supplementary material (Fig. S2). The inhibitory function of mTOR in autophagy is well
290 established (Jung et al., 2010). PMTZ decreased the expression of this protein in K562 cells after
291 24 h incubation, as well as its active (phosphorylated) form, and then, the downstream
292 autophagic cascade was activated. Such effect of PMTZ on mTOR seemed to be mediated by
293 decreased activation of PI3K III, as observed by the decreased expression of its phosphorylated
294 form, thus depressing the PI3K/AKT/mTOR pathway. In parallel, PMTZ also increased the
295 expression of AMP activated protein kinase (AMPK), which is a key energy sensor that regulates
296 cellular metabolism to maintain energy homeostasis and promotes autophagy (Kim et al.,
297 2011). Increased AMPK associated to decreased mTOR in CML cells incubated with PMTZ
298 resulted in the increased of the expression of ULK. Although, the total content of Beclin-1 and
299 Ambra was not affected by PMTZ, the phosphorylated (and active) form of Beclin-1 was
300 significantly increased, while the expression of ATG5 and ATG7 was decreased. The p62
301 protein has been associated with autophagic recognition as receptor for degradation of
302 ubiquitinated proteins and organelles (Mizushima et al., 2010). It is localized at sites of

303 autophagosome formation and it is associated with both the autophagosome localization of LC3,
304 which is lipidated and converted to LC3-II, and ubiquitinated proteins (Johansen & Lamark,
305 2011). PMTZ induced a significant accumulation of p62 (SQSTM1) and LC3-II. Increased LC3-
306 II levels are related to the stimulation of autophagosome synthesis or the decreased
307 autophagosome degradation. In this regard, LysoTracker Green staining revealed increase
308 number and size of acidic compartments in the presence of PMTZ, indicated by the arrows,
309 suggestive of increased autophagolysosome formation (Fig. 4B). At last, considering AMPK
310 may be activated by reactive oxygen species (ROS), this was evaluated in the same experimental
311 conditions. As observed in the Fig. 4C, 50 μ M PMTZ (but not 25 μ M) increased ROS
312 production evaluated by DCF fluorescence, and the antioxidant N-acetyl cysteine (NAC)
313 prevented the cytotoxicity of PMTZ (Fig. 4D).

314

315 **4. Discussion**

316 It has been reported that more than 90% of patients affected by chronic myeloid leukemia (CML)
317 cells present the Philadelphia chromosome, a chimeric *BCR-ABL* gene, which results in
318 constitutive expression of a tyrosine kinase protein related to the pathogenesis of the disease. In
319 this regard, the development of specific kinase inhibitors significantly improved CML treatment
320 and patient prognosis (An et al., 2017; Rossari et al., 2018). However, BCR-ABL tyrosine kinase
321 activity inhibition is no longer sufficient to eradicate the disease. Due to the arising of
322 (multi)drug resistance, early relapse and persistence of leukemia stem cells are obstacles to a
323 successful treatment of leukemia patients using chemotherapy (Pan et al., 2014; Lin et al., 2014;

324 Giustacchini et al., 2017). Therefore, discovery of novel approaches and effective drugs against
325 sensitive and resistant leukemia tumor cells are expected.

326 Current attention is given to drug repurposing strategy in the drug development field due the
327 cost, time, and even efficacy advantages (Sleire et al., 2017). In this regard, recent literature have
328 pointing to the efficacy of antipsychotic phenothiazine derivatives as potential alternative drugs
329 to be used in cancer chemotherapy (De Faria et al., 2015; Gil-Ad et al., 2004; Zhelev et al., 2004;
330 Gutierrez et al., 2014; Mello et al., 2016; Ivanov, 2014; Wu et al., 2016; Ravinesh et al., 2017).
331 However, there is no data about the antitumor potential of the antihistamine phenothiazine
332 derivative promethazine. Among the reasons for investigating the antitumor potential of
333 promethazine are its low systemic human toxicity compared to antipsychotic phenothiazines,
334 including minor central nervous system and cardiac side effects (Tsay et al. 2015; Handley et al.,
335 2016). Additionally, pharmacokinetic studies with promethazine revealed that plasma
336 concentration after a single 25 mg oral dose ranged between 2.4 and 18 ng/mL, i.e., 7.5 and 56
337 μM (TAYLOR et al., 1983). Considering that EC_{50} for cytotoxicity in LMC cells is within the
338 clinically used dosage of PMTZ as antihistaminic drug, it is feasible that cancer patients may
339 have benefits from the use of this drug. However, further studies are necessary to evaluate the
340 effects of PMTZ combined with the current chemotherapy for leukemia *in vitro* and *in vivo*.

341 Our data revealed that PMTZ exhibits potent cytotoxicity against a wide panel of different
342 leukemia cell types. It was interesting to observe that the overexpression of the antiapoptotic
343 protein BCL-2 in Jurkat cells did not conferred resistance to PMTZ-induced cell death. Also, the
344 overexpression of P-glycoprotein (P-gp) in Lucena-1, as a model of MDR positive CML,
345 increased the EC_{50} values for PMTZ when compared with K562 cells. However, Lucena-1 cells
346 were still sensitive to the drug, maybe due to its ability to reverse a MDR phenotype by acting in

347 gene expression and also in transport activity (Dönmez et al., 2011). Additionally, the
348 comparison with normal PMBC showed PMTZ exhibit some selectivity to tumor cells when
349 compared to circulating normal blood cells, which was also previously reported by the
350 antipsychotic derivative chlorpromazine (Mello et al., 2016).

351 Annexin V-FITC and propidium iodide double staining is an assay frequently employed to
352 identify the occurrence of apoptosis in the early and late stages or necrotic events (Rieger et al.,
353 2011). The incubation of K562 CML cells with PMTZ resulted in phosphatidylserine
354 externalization, suggestive of apoptosis. Despite the apparent independence of caspases
355 activation, BCL-2 anti- and proapoptotic proteins were modulated accordingly, including a
356 significant increase in NOXA and BAK levels (Chen et al., 2015). NOXA, a BH3 only member
357 well recognized as inhibitor of the antiapoptotic protein MCL-1, is also involved with
358 autophagy-mediated cell death through the disruption of the interaction between MCL-1 and
359 Beclin-1, which is an important constituent of the PI3K complex, required for the
360 autophagosome formation (Elgendy et al., 2011). Accordingly, PMTZ inhibited PI3K/mTOR
361 pathway, which associated to the AMPK activation, was responsible for the induction of
362 autophagy in CML cells. Promethazine induced oxidative stress in K562 cells directly related to
363 its cytotoxicity and such ROS production may be associated to the AMPK activation (Hinchy et
364 al., 2018). However, further studies are necessary to a better comprehension about how PMTZ
365 activates AMPK. In parallel, a recent study showed that PMTZ modulated PI3K/AKT signaling
366 mild hypothermia model, resulting in neuroprotection (An et al., 2017). It is well established that
367 cancer cells exhibit several adaptive modifications in molecular pathways to gain proliferative
368 advantages and escape from the cell death program. In this regard, PI3K/AKT signaling pathway
369 seems to be constitutively activated in several types of leukemia, including acute and chronic

370 myeloid leukemia, and inhibition seems to impair the growth of tumor cells (Sujobert et al.,
371 2005; Deng et al., 2017; Zhang et al., 2018; Chen et al., 2018). Here, PMTZ simultaneously
372 increased AMPK and inhibited PI3K/AKT/mTOR signaling pathway triggering autophagy-
373 associated apoptosis.

374 Also, increased NOXA and decreased MCL-1 and Ambra expression contributed to the Beclin-1
375 phosphorylation and impairment of the autophagic flux (Mizushima et al., 2010; Lee et al.,
376 2019), with p62 and LC3-II accumulation. It was proposed that p62, together with PINK1 and
377 Parkin, plays an important role in mitochondrial dynamics and mitophagy (Rothfuss et al., 2009;
378 Tolkovsky, 2009; Ivankovic et al., 2016). It was shown that the phenothiazine derivative
379 thioridazine promoted mitochondrial permeabilization associated to tumor cell death (Cruz et al.,
380 2010; De Faria et al., 2015). As expected, considering the structural similarities, PMTZ also
381 affected mitochondrial function in K562 cells and possibly such mitochondrial dysfunction
382 contributed to the autophagy activation of autophagy and cell death. Since p62 critically
383 participates in mitochondria degradation and the induction of mitophagy results in increased
384 transcription and translation of p62 (Ivankovic et al., 2016), the role of mitophagy in PMTZ
385 induced CML cell death will be further investigated.

386 It was shown that the modulation of autophagy is involved in malignant transformation, tumor
387 progression, and drug resistance through a multitude of mechanisms (Elgendy et al., 2011;
388 Galluzzi et al., 2017). For example, the inhibition of autophagy sensitized AML cells to
389 chemotherapy (Nourkeyhani, et al., 2016), being considered as a potential therapeutic target in
390 this type of leukemia (Folkerts et al., 2017; Jang et al., 2017). This was also observed in CML
391 cells, which were sensitized to TKI inhibitors (Helgason et al., 2013). In K562 cells, the
392 inhibition of autophagy decreased cell proliferation and viability, and also affected CD34

393 positive CML progenitor cells (Ianniciello et al., 2017). Thus, targeting autophagy seems to
394 represent a promising therapeutic approach in leukemia chemotherapy and PMTZ induced
395 autophagy-associated apoptosis may represent a possible drug repurposing strategy in CML
396 chemotherapy.

397 **5. Conclusions**

398 In summary, our results showed, for the first time, the potent cytotoxicity exhibited by PMTZ in
399 leukemia cells *in vitro*, in a selective way relative to normal cells. Molecular investigations
400 revealed that PMTZ targeted AMPK and PI3K/mTOR pathways resulting in autophagy-
401 associated apoptosis (Scheme 1). Since this drug is already in use to control chemotherapy-
402 induced emesis, probably these cancer patients are receiving the antitumor benefits of
403 promethazine. Together, this study provides a proof of concept for the repurposing of
404 antihistaminic drug promethazine as adjuvant to develop novel therapeutic agents for cancer
405 therapy.

406

407

408 **FIGURES**

409 **Figure 1. Selective cytotoxicity of promethazine against leukemia cells *in vitro*.** Cells were
410 incubated with increasing PMTZ concentrations for 24 h. **(A)** Chemical structure of
411 promethazine. **(B)** Cell viability assessed by the MTT reduction test in a panel of leukemia cell
412 lines. **(C)** EC50 values for PMTZ calculated from the concentration-response curves in **(B)**. **(D)**
413 Cell viability assessed by the trypan blue exclusion in K562 and Lucena-1 cell lines. **(E)**
414 Representative dot plots of cell size and granularity (FSC × SSC parameters) obtained by flow
415 cytometry in K562 cells. **(F)** Quantification of ‘dead’ cell population based on FSC and SSC data
416 in **(E)**. **(G)** Fluorescence microscopic evaluation of K562 cells stained with Hoechst 33342 and
417 PI incubated with 25 and 50 μM PMTZ (63×magnification, scale bars 10 μm). **(H)** Comparison
418 of PMTZ cytotoxicity in PMBC (normal) and CML K562 cells assessed by the MTT assay.
419 Percentage of viable cells was calculated in relation to control (absence of PMTZ), considered as
420 100%. Results are presented as mean ± SEM of at least three independent experiments
421 performed in triplicate. *(p<0.05) and *** (p<0.001) indicates statistically significant
422 differences.

423 **Figure 2. Promethazine induces caspase-independent apoptotic cell death in human CML**
424 **K562 cells.** **(A)** Representative dot plots of the cell death profile analyzed by double staining
425 flow cytometry analysis with annexin V-FITC/PI in K562 cells after 24 h incubation with 25 and
426 50 μM PMTZ. **(B)** Relative quantification of apoptotic cells (annexin V-FITC positive). **(C)**
427 Western blot analyses for detection of total and cleavedcaspase-3, and total caspase-8 in K562
428 whole cell lysates incubated with 25 and 50 μM PMTZ for 24 h. Numbers under the bands are
429 the densitometry of the proteins normalized by β-actin. Effects of caspase inhibitors on PMTZ-
430 induced cell death evaluated by MTT reduction in K562 cells after 1 h pre incubation with **(D)**

431 50 μ M Boc-D-FMK, (E) 10 μ M NSC1, and (F) 60 μ M necrostatin-1. Results are presented as
432 the mean \pm SEM of at least three independent experiments performed in triplicate. *** ($p < 0.001$)
433 indicates statistically significant differences and *ns* indicates not significant.

434 **Figure 3. Altered expression of apoptosis-related Bcl-2 proteins and mitochondrial**
435 **permeabilization induced by PMTZ in K562 cells, and the effects of autophagy inhibitors**
436 **on cell viability.** (A) Cell lysates obtained from PMTZ-treated K562 cells for 24 h were
437 submitted to Western blot analyses for the anti- and proapoptotic Bcl-2 family proteins. Numbers
438 indicate the densitometric quantification normalized by β -actin. (B) Averaged NOXA/MCL-1
439 ratio PMTZ treatment. (C) Representative histogram of the mitochondrial transmembrane
440 potential ($\Delta\Psi$) evaluated by changes in TMRM fluorescence by flow cytometry. CCCP (50 μ M)
441 was incubated during 1 h prior to the acquisition to be used as positive control to dissipate the
442 mitochondrial potential. (D) Quantification of TMRM fluorescence considering all replicates.
443 Effects of autophagy inhibitors on PMTZ-induced K562 cell death after 1h pre incubation with
444 (E) 3-MA, (F) LY294002, and (G) E64. Results are presented as the mean \pm SEM of at least
445 three independent experiments performed in triplicate. *($p < 0.05$), ** ($p < 0.01$), *** ($p < 0.001$)
446 indicates statistically significant differences.

447 **Figure 4. Promethazine induces autophagic cell death by activating AMPK and inhibiting**
448 **PI3K pathways** (A) Whole cell lysates from K562 cells treated with 25 and 50 μ M PMTZ for
449 24 h were subjected to Western blot analyses for autophagy downstream pathways proteins.
450 Densitometry measurements, normalized to β -actin are indicated below the corresponding blot.
451 (B) Fluorescent microscope images of LysoTracker Green-loaded K562 cells treated with 25 and
452 50 μ M PMTZ for 24 h. Red arrow indicates the infracted acid vacuoles (63 \times magnification, scale

453 bars 20 μ m). (C) Detection of DCF fluorescence by flow cytometry. (D) Effect of 1 mM N-
454 acetyl cysteine (NAC) on PMTZ-induced K562 cell death.

455 **Scheme 1. Representative illustration of the promethazine-induced autophagy associated**
456 **apoptosis in leukemia cells.**

457 **Supplementary Material**

458

459 **Fig. S1 – Quantification of the protein levels showed in Fig. 3.** The densitometry of each
460 protein normalized by the respective constitutive protein was performed. Results are presented as
461 the mean \pm SEM of at least three independent experiments performed in triplicate. *(p<0.05), **
462 (p<0.01), *** (p<0.001) indicates statistically significant differences.

463

464 **Fig. S2 – Quantification of the protein levels showed in Fig. 4.** The densitometry of each
465 protein normalized by the respective constitutive protein was performed. Results are presented as
466 the mean \pm SEM of at least three independent experiments performed in triplicate. *(p<0.05), **
467 (p<0.01), *** (p<0.001) indicates statistically significant differences.

468

469 **Author Contributions**

470 All authors have given approval to the final version of the manuscript. T.R. design the study,
471 including the hypothesis and the experiments, and wrote the paper; H.C.D.M., C.C-K., L.S.F.,
472 C.A.C., and V.W.R.M performed the experiments; H.C.D.M., I.L.S.T, and T.R. analyzed the
473 data; E.J.P-G. provided critical reagents and analyzed the data.

474 **Acknowledgments**

475 Lucena-1 cell line was kindly provided by Prof. Dr. Vivian Mary Barral Dodd Rumjanek
476 (UFRJ).

477 **Disclosure statement**

478 Authors declare no potential conflict of interest.

479 **Funding**

480 This work was supported by Brazilian funding agencies FAPESP (2012/12247-8; 2016/07367-5)
481 and CNPq (486760/2013-0). This study was financed in part by the Coordenação de
482 Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Finance Code 001
483 (Scholarship to H.C.D.M.).

484

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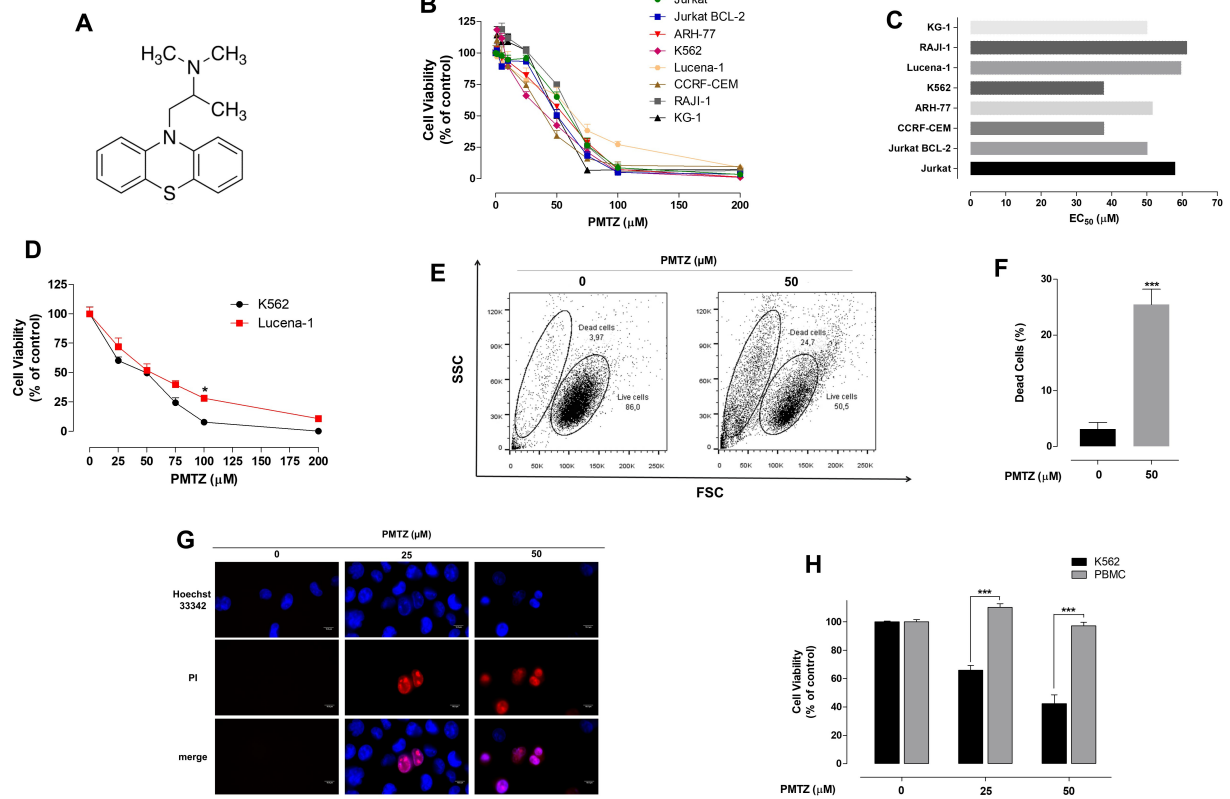
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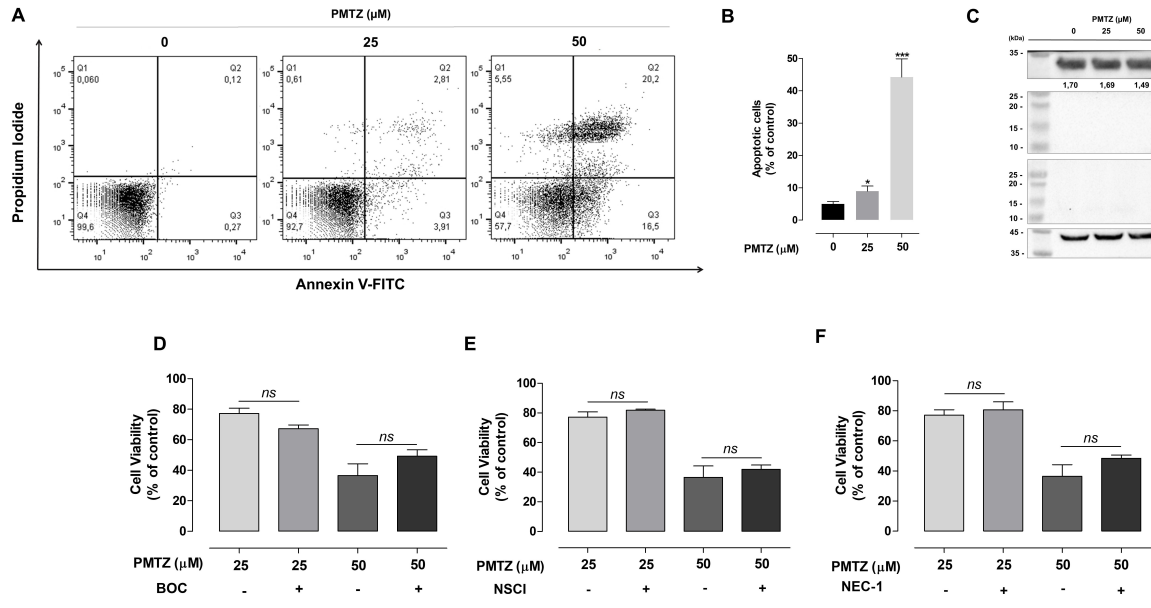
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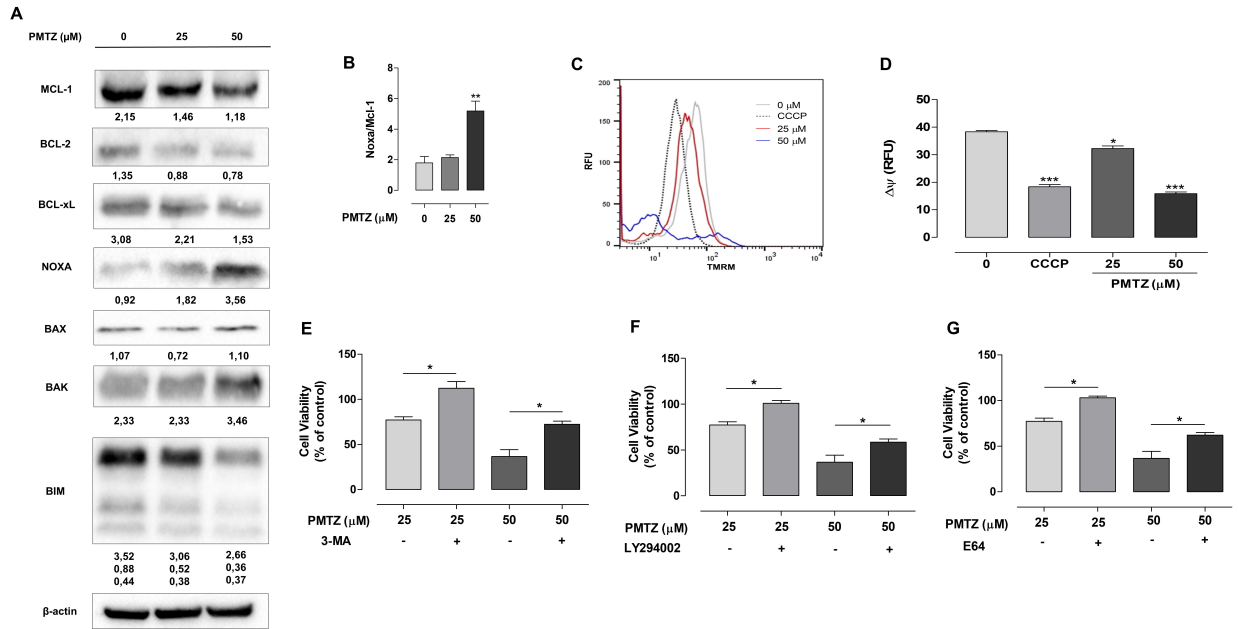
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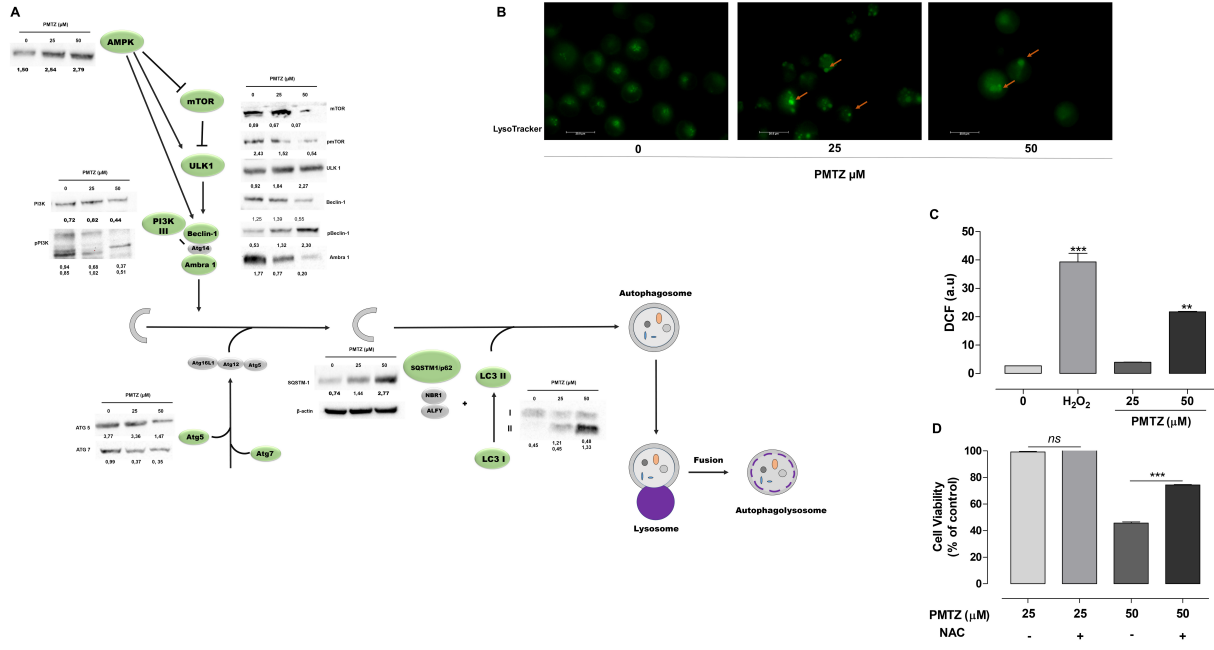
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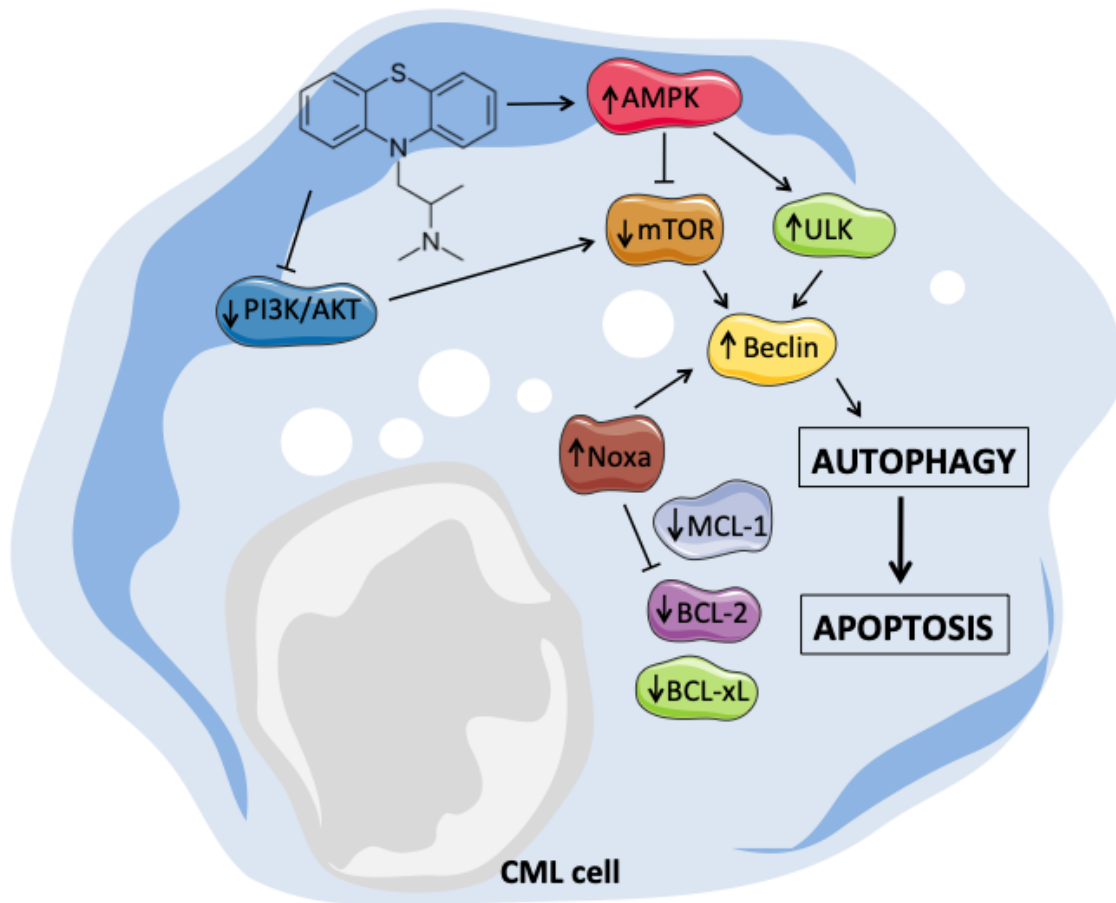
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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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