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Synergistic Inhibition of Kinase Pathways Overcomes Resistance of Colorectal Cancer Spheroids to Cyclic Targeted Therapies

Pradip Shahi Thakuri¹, Megha Gupta², Ramila Joshi¹, Sunil Singh¹, and Hossein Tavana^{1*}

¹Department of Biomedical Engineering, The University of Akron, Akron, OH 44325 USA

²Department of Arts and Sciences, The University of Akron, Akron, OH 44325 USA

*Corresponding author:

Hossein Tavana, Ph.D., P. Eng.
Olson Research Center, Rm 301
260 S. Forge St., Akron, OH 44325
Tel: (330) 972-6031
E-mail: tavana@uakron.edu

Abstract

Cancer cells often adapt to single-agent treatments with chemotherapeutics. Activation of alternative survival pathways is a major mechanism of drug resistance. A potential approach to block this feedback signaling is using combination treatments of a pair of drugs, although toxicity has been a limiting factor. Pre-clinical tumor models to identify mechanisms of drug resistance and determine low but effective combination doses is critical to effectively suppress tumor growth with reduced toxicity to patients. Using our aqueous two-phase system microtechnology, we developed colorectal tumor spheroids in high throughput and evaluated resistance of cancer cells to three mitogen-activated protein kinase inhibitors (MAPKi) in long-term cyclic treatments. Our quantitative analysis showed that the efficacy of MAPKi significantly reduced over time, leading to an increase in proliferation of HCT116 colorectal cancer cells and growth of spheroids. We established that resistance was due to feedback activation of PI3K/AKT/mTOR pathway. Using high throughput, dose-dependent combinations of each MAPKi and a PI3K/mTOR inhibitor, we identified low-dose, synergistic combinations that blocked resistance to MAPKi and effectively suppressed growth of colorectal tumor spheroids in long-term treatments. Our approach to study drug resistance offers the potential to determine high priority treatments to test in animal models.

Keywords

cancer drug resistance, tumor spheroids, feedback signaling, combination treatment, cyclic treatment

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3 Advancements in identifying molecular drivers of cancer have shifted treatments toward
4 precision medicine, where molecular subtype of tumors guide treatments with targeted
5 drugs ¹. Although this approach is often initially successful, the selective pressure that a
6 targeted drug exerts can lead to resistance of cancer cells through various mechanisms
7 ^{2,3}. Additionally, due to the heterogeneity of cancer cells, a sub-population of tumor cells
8 not responsive to the targeted drug thrive to promote tumor growth ⁴.

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11 Mitogen-activated protein kinase (MAPK) is an oncogenic signal transduction pathway in
12 colorectal cancer. Because this pathway is often highly deregulated, it presents an
13 attractive therapeutic target to suppress tumor growth using inhibitors of RAF, MEK, or
14 ERK (RAFi, MEKi, ERKi). These inhibitors have been shown to suppress growth of
15 colorectal tumors *in vivo* ^{5,6}. Nevertheless, cancer cells often develop resistance to these
16 inhibitors through several mechanisms: (i) Activation of alternative signaling pathways
17 such as PI3K/AKT/mTOR or JAK/STAT mediates resistance to MEKi ⁷; (ii) Feedback
18 activation of receptor tyrosine kinases (RTKs) such as epidermal growth factor receptors
19 (EGFR, HER2, and HER3) causes resistance to RAFi and MEKi ⁸⁻¹⁰; (iii) Continuous
20 exposure to a MEKi may lead to mutation of MEK ¹¹; and (iv) Continuous exposure to
21 RAFi may lead to amplification of B-RAF or other components of MAPK pathway ^{12,13}. To
22 overcome drug resistance of cancer cells, combination treatments using inhibitors of RAF
23 and MEK have been approved to treat BRAF-mutant melanoma and, more recently,
24 BRAF-mutant colorectal cancer.

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28 Over 50% of colorectal cancers have mutations in MAPK or PI3K/AKT/mTOR pathways
29 ¹⁴. Genetic abnormalities often activate both of these pathways, resulting in reduced
30 response to MAPK pathway inhibition through cross-talk with PI3K pathway. Therefore,
31 combinations of inhibitors of these two pathways is a rational therapeutic approach. This
32 approach showed promising anti-tumor effects in pre-clinical trials, but it was largely
33 unsuccessful due to excessive toxicity to patients ¹⁵⁻¹⁸. The failure in part reflects
34 insufficiency of pre-clinical models to predict drug resistance and toxicity. Dose reduction
35 is a feasible approach to manage toxicities particularly with drugs that produce anti-tumor
36 effects at low concentrations. However, it is difficult to test large arrays of drug
37 combinations in standard models widely used in cancer research such as animal models.
38 Availability of simpler pre-clinical models would allow identifying specific concentrations
39 of drug combinations that generate desirable biologic effects and then advancing them to
40 animal model tests. Physiologically-relevant, 3D cultures of cancer cells are promising
41 pre-clinical models to address this need because they mimic the architecture and key
42 biologic properties of tumors, facilitate understanding mechanisms of drug resistance,
43 and help identify effective drug combinations ¹⁹⁻²¹. Because chemo-resistance often
44 develops over time due to drug exposure, a major barrier to model this event has been
45 technological limitations for long-term, 3D culture of cancer cells. We recently established
46 this capability and showed that treatment of tumor spheroids in a regimen that mimics
47 clinical chemotherapy lead to drug resistance ²².

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51 Here, we establish the utility of this approach to identify mechanisms of drug resistance
52 and determine low-dose effective combination treatments to maintain drug sensitivity of
53 cancer cells. We periodically treated KRAS-mutant colorectal cancer spheroids with
54 several MAPKi and showed that effectiveness of the inhibitors to suppress proliferation
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of cells and growth of spheroids significantly reduced during successive treatment cycles. Molecular analysis showed that suppression of MAPK pathway was only short-lived and that repeated exposure of spheroids to MAPKi significantly activated PI3K/AKT/mTOR pathway and led to proliferation of cancer cells. High throughput screening of combinations of several MAPK and PI3K inhibitors helped identify low-dose synergistic concentrations to effectively reduce activities of both pathways and suppress growth of tumor spheroids in long-term cyclic treatments. Our design-driven approach offers a useful tool for mechanistic understanding of drug responses of cancer cells and identifying low-dose, highly synergistic drug combinations to block drug resistance.

Materials and Methods

Cell culture and spheroid formation

HCT116 cells (ATCC) were cultured in McCoy's 5A medium (Sigma) supplemented with 10% fetal bovine serum (Sigma), 1% streptomycin/penicillin (Thermo Fisher Scientific), and 1% glutamine (Thermo Fisher Scientific). Cells were cultured in a humidified incubator at 37°C and 5% CO₂ and subcultured when they were 80-90% confluent. A 0.25% trypsin solution (Thermo Fisher Scientific) was used to dissociate cells from culture flasks. The complete growth medium was used to neutralize trypsin. The resulting cell suspension was centrifuged down at 1000 rpm for 5 min at 4°C. After removing the supernatant, cells were suspended in 1 ml of the culture medium and counted using a hemocytometer prior to spheroid formation. Spheroids with a density of 1.5×10⁴ cells were formed in round-bottom ultralow attachment 384-well plate (Corning) using our aqueous two-phase system (ATPS) technology, as described before^{23,24}. HCT116 spheroids were imaged using an inverted fluorescent microscope (Axio Observer, Zeiss) daily for 10 days to evaluate their growth.

Drug treatments

Trametinib, SCH772984, AZ628, dactolisib, apitololisib, VS5584, PI-103, and GSK1059615 were purchased from Selleckchem. All compounds were dissolved in dimethyl sulfoxide (DMSO) except for dactolisib that was dissolved in dimethylformamide. Stock solutions were stored in -80°C. All compounds were tested dose-dependently against HCT116 spheroids. Except for SCH772984, all other compounds were prepared at concentrations of 2×10⁻³ μM, 2×10⁻² μM, 1×10⁻¹ μM, 2×10⁻¹ μM, 1×10⁰ μM, 2×10⁰ μM, and 2×10¹ μM. SCH772984 solutions were prepared at 2×10⁻⁴ μM, 2×10⁻³ μM, 2×10⁻² μM, 1×10⁻¹ μM, 2×10⁻¹ μM, 1×10⁰ μM, and 2×10⁰ μM concentrations. The volume of the medium in the spheroid culture plate was measured and an equal volume from the above drug solutions was pipetted into the well of the plate. This diluted the drug concentrations in half. The DMSO content in the drug solutions did not exceed 0.1% to ensure no effect on viability of cells in spheroids²⁵. Vehicle control untreated spheroids were grown in drug-free cell culture medium. After 4 days of drug treatment, 10%(v/v) PrestoBlue was added to wells and the metabolic activity of cells in spheroids was measured using a microplate reader (Synergy H1M, BioTek Instruments)^{25,26}. The fluorescent signal from drug-treated spheroids was normalized with that from the vehicle control spheroids and used to construct dose-response curves (GraphPad Prism). A 50% lethal dose (LD₅₀) value was obtained from the dose-response curve of each compound. In addition to the

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3 Prestobluo biochemical assay, phase images of spheroids were captured at the end of
4 each treatment cycle. Diameter of each spheroid was measured in ImageJ (NIH) and
5 converted to volume assuming a spherical shape. Correlation analysis was performed
6 between volume of spheroids and the corresponding fluorescent signal from the
7 Prestobluo assay.
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9 **Cyclic treatment and recovery of spheroids**

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11 Trametinib, SCH772984, and AZ628 were used to model drug resistance of HCT116
12 cells. HCT116 spheroids were cyclically treated with LD₅₀ concentrations of each
13 compound, i.e., 1×10^{-2} μ M trametinib, 1.5×10^{-1} μ M SCH772984, and 1×10^0 μ M AZ628.
14 Each experiment included four cycles of treatment, each cycle followed by a recovery
15 phase. The treatments were designated as T1, T2, T3, and T4, whereas the recoveries
16 were denoted as R1, R2, R3, and R4. Each treatment and recovery phase lasted four
17 days. Each treatment phase included drug addition to spheroids at the beginning only. At
18 the end of each treatment phase, the drug-containing culture medium was thoroughly
19 removed from the microwells and replaced with fresh medium. The same concentration
20 of each inhibitor was used during all treatment rounds. To quantify resistance of HCT116
21 spheroids to each inhibitor, a growth rate metric (k_c) was defined as the difference in the
22 size of spheroids after and before each treatment.
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26 **Combination treatments of spheroids**

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28 Trametinib, SCH772984, and AZ628, were used in combination with dactolisib, each
29 inhibitor at six different concentrations. These concentrations were multiples (0.125, 0.25,
30 0.5, 1, 2, and 4) of LD₅₀ of each compound. For combination experiments, solutions of 4X
31 concentrations of multiples of LD₅₀ of each compound were prepared (i.e., 0.5, 1, 2, 4, 8,
32 and 16 times). From these solutions, 20 μ l of each MAPKi solution and 20 μ l of dactolisib
33 solution were added to each well containing a spheroid in 40 μ l of cell culture medium to
34 dilute each compound four times. Because each concentration of a MAPKi was combined
35 with six different concentrations of dactolisib, this resulted in a 6 \times 6 matrix of concentration
36 pairs. In addition to combination tests, single-agent treatments with the MAPKi and
37 dactolisib were performed to compare with the combination treatments. Solutions of 2X
38 concentration (i.e., 0.25, 0.5, 1, 2, 4, and 8 times) were prepared and 40 μ l of each solution
39 was added to each microwell containing a spheroid in 40 μ l of cell culture medium. Vehicle
40 control spheroids were cultured in cell culture medium. Treatments were done for 4 days
41 and viability of cells was quantified using a Pretobluo assay. The fraction of cells affected
42 by each treatment was calculated as (1- viability). A synergy analysis was performed
43 using Chou and Talalay method^{26,27}. The analysis generated 36 combination indices (CI)
44 for the 36 combination concentrations from a pair of inhibitors. In addition, images of
45 spheroids were captured to quantify size of spheroids as a measure of effect of drug
46 treatments.
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51 **Western blot experiments**

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53 Western blot analysis with spheroids was performed according to our established protocol
54^{25,28}. Solutions of primary antibodies for phospho-p44/42 MAPK (Erk1/2), p44/42 MAPK
55 (Erk1/2), phospho-AKT (Ser473), and AKT (pan) (C67E7) were prepared at
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3 concentrations recommended by the manufacturer, Cell Signaling Technology.
4 Membranes were incubated overnight at 4°C with primary antibody solutions. After
5 repeated washing, membranes were incubated with a horseradish peroxidase (HRP)-
6 conjugated secondary antibody for 1 h, followed by repeated washing. Detection was
7 carried out using an ECL chemiluminescence detection kit (GE Healthcare) and
8 FluorChem E imaging system (ProteinSimple).
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10 11 **q-PCR experiments**

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13 Gene expression analysis was done after T1, R1, T3, and R3. All fold changes values
14 were expressed relative to that after T1. Spheroids were lysed using a Total RNA Kit
15 (TRK) lysis buffer (Omega Biotek) and the lysate was homogenized by passing it through
16 homogenizer mini columns (Omega Biotek). Total RNA was obtained using an RNA
17 isolation kit (Omega Biotek). After removing DNA using RNase-free DNase (Omega
18 Biotek), purity and concentration of isolated RNA was assessed using optical density
19 (OD) 260/280 spectrophotometry (Synergy H1M, Biotek Instruments). cDNA was
20 synthesized from 1 µg of total RNA using random hexamer primers (Roche). Real time
21 q-PCR was performed in a LightCycler 480 instrument II using a SYBR Green Master Mix
22 (Roche). After combining 50 ng of cDNA with the primer and the SYBR Green Master Mix
23 to a final volume of 15 µL, the reactions were incubated at 95°C for 5 min followed by 45
24 cycles of amplification, i.e., at 95°C for 10 s, at 60°C for 10 s, and at 72°C for 10 s. The
25 primer sequences for the genes are listed in Table S1 of Supporting Information.
26 Expression levels of mRNA for different proliferation gene markers were calculated
27 relative to β-actin and hypoxanthine phosphoribosyltransferase (HPRT) using the
28 $\Delta\Delta C_t$ method. The fold change in mRNA expression was determined according to the
29 $2^{-\Delta\Delta C_t}$ method^{29,30}. Statistical analysis was performed using a Student's *t*-test in Microsoft
30 Excel software.
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34 35 **Confocal microscopy**

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37 Prior to forming spheroids, HCT116 cells were stained with 2 µM of Calcein AM dye
38 (Thermo Fisher Scientific) when cells were in a monolayer culture. Confocal microscopy
39 of spheroids was performed using Nikon A1 confocal system with 10X objective. FITC
40 filter was used to capture image stacks with a z-spacing of 20 µm. NIS Elements software
41 was used for image acquisition and ImageJ (NIH) was used for analysis and 3D
42 reconstruction.
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44 45 **Statistical analysis**

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47 Student's *t*-test between cyclic treatments and Pearson's correlation coefficient between
48 volume of spheroids and the corresponding fluorescent signal from Prestoblu assay
49 were performed in Microsoft Excel.
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Results and Discussion

Microprinting of tumor spheroids and their growth analysis

The aqueous DEX phase nanodrop containing cancer cells stably remained immiscible from the PEG phase solution³¹. This facilitated aggregation of cancer cells into a spheroid within 48 hrs (Fig 1a). The ATPS environment allowed free diffusional influx of nutrients into the drop phase to nourish the cells and efflux of waste products of cells into the immersion phase. The ATPS microprinting approach provided a mild environment for cells to form spheroids of fully viable cells.^{32,33} Medium exchange every 48 hours provided fresh nutrients and removed waste products of cells. This also significantly reduced concentrations of the polymers and resulted in a single medium phase. Thus, ATPS was solely used to quickly and conveniently generate spheroids. We have shown that at the same cell density, the ATPS approach generates spheroids that are ~30% more compact than those from the ULA plate method³⁴. Additionally, we have adapted the ATPS technology to robotic liquid handling to form large quantities of consistently-sized spheroids in 384-microwell plates³⁵. With a density of 1.5×10^4 HCT116 cells per DEX drop, a variation of less than 5.5% from an average diameter of 468 μm resulted. HCT116 spheroids had a distinct boundary and a round and compact morphology, indicated by the both phase and confocal imaging (Fig 1a,b). Our morphological image analysis showed an increase in the average diameter of spheroids from 468 μm on day 1 to 650 μm on day 9, i.e., ~38% increase in the diameter of spheroids. Approximating the spheroids as spherical clusters, this corresponds to a 2.16-fold volume increase, indicating proliferation of cancer cells within the spheroids (Fig 1c).

Dose-response to molecular inhibitors

KRAS and PIK3CA mutations in HCT116 cells activate oncogenic MAPK and PI3K/AKT/mTOR pathways³⁶. Therefore, we aimed to determine the effect of blocking signaling through these pathways in HCT116 spheroids using a set of molecular inhibitors including trametinib, SCH772984, and AZ628 against MAPK pathway, and dactolisib, apitolisib, VS5584, PI-103, and GSK1059615 against PI3K/AKT/mTOR pathway. The MAPKi dose-dependently reduced cell viability in spheroids (Fig 2a). Trametinib was the most effective inhibitor with an LD₅₀ of 10 nM. Above 100 nM, trametinib treatment led to fluffiness or disintegration of spheroids. SCH772984 was effective above 100 nM concentrations and resulted in an LD₅₀ of 150 nM, whereas AZ628 treatment significantly reduced cell viability at low micromolar concentrations and gave an LD₅₀ of 1 μM . Additionally, the PI3K/AKT/mTOR inhibitors reduced viability of HCT116 cells dose-dependently but at significantly larger, mainly micromolar concentrations than the MAPKi did (Fig 2b).

The volume of spheroids treated with the MAPKi showed a linear correlation with the cellular metabolic activity from a Prestoblue assay. The goodness-of-the-fit parameter (R^2) values were 0.997, 0.973, and 0.887 for treatments with trametinib, SCH772984, and AZ628, respectively (Fig S1). The corresponding Pearson's correlation coefficients between dose-dependent reduction in the volume of spheroids and metabolic activity from the biochemical analysis were 0.99, 0.98, and 0.94. The volume of spheroids treated with these PI3K pathway inhibitors also linearly correlated with the fluorescent signal obtained

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3 using Prestoblu assay. This analysis validated that morphological-based size analysis
4 of colorectal tumor spheroids treated with kinase molecular inhibitors reliably predicts
5 effect of treatments with the molecular inhibitors ³⁴.
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7 **Resistance of colorectal spheroids to cyclic treatments with MAPKi**

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9 Our screening above showed that HCT116 spheroids were more sensitive to inhibition of
10 MAPK pathway than targeting of PI3K/AKT/mTOR pathway, suggesting blocking of
11 MAPK pathway as a strategy to inhibit proliferation of cells in spheroids. We evaluated
12 responses of HCT116 spheroids to long-term, cyclic treatment and recovery with the LD₅₀
13 concentrations of the three MAPKi (Fig 3a). We used this regimen to mimic cyclic
14 chemotherapy of patients. As expected, the compounds potently inhibited proliferation of
15 cancer cells during the first treatment round (T1) (Fig 3b-d). The size of spheroids treated
16 with trametinib, SCH772984, and AZ628 decreased by 1.80, 1.42, and 1.67 folds,
17 respectively. However, after the first recovery round (R1), the inhibitors were significantly
18 less effective during treatment T2. Despite repeated treatments, the HCT116 spheroids
19 grew larger. At the end of the 32-day treatment and recovery, spheroids treated with
20 trametinib, SCH772984, and AZ628 were 2.34, 4.14, and 4.04 folds larger than those at
21 the end of treatment T1, respectively.
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25 Furthermore, we used a growth rate metric (k_c) to quantify effects of treatments (Fig 3e-
26 g). Although k_c for HCT116 spheroids significantly reduced during T1, it increased during
27 the subsequent rounds of treatment. The k_c values of spheroids from T1 to T4 significantly
28 increased from -0.0061 to 0.0021 mm³/day for trametinib treatment, from -0.0040 to
29 0.0052 mm³/day for SCH772984 treatment, and from -0.005 to 0.027125 mm³/day for
30 AZ628 treatment. The significant decrease in the efficacy of the MAPKi indicates that
31 cancer cells in spheroids quickly adapt to the inhibition of different protein (RAF, MEK,
32 and ERK) in this pathway. Interestingly, our drug resistance model reliably emulated
33 several in vivo studies that showed cyclic treatments of tumor xenografts with MEK1/2
34 inhibitors did not reduce the tumor size, necessitating other treatments.^{37,38}
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38 Next, we aimed to study whether increasing growth of cancer cells during cyclic inhibition
39 of MAPK pathway could be detected at a gene level. We selected ten prominent genes
40 involved in cell cycle and proliferation based on a literature review (Table S1). Our
41 rationale for this selection was that these genes are activated by transcriptional factors
42 downstream of MAPK and PI3K signaling pathways, which are drivers of growth of
43 HCT116 cells in tumor spheroids. We compared the expression of each gene after the
44 first and third cycles of treatment and recovery, i.e., T1, R1, T3, and R3. Expression of
45 each gene after R1, T3, and R3 is shown in Fig 4 as a fold change relative to that after
46 T1. Most of the genes showed a significant upregulation during the cycles of treatment
47 and recovery irrespective of the inhibitor used. With all three inhibitors, the largest
48 expression of proliferation genes almost always occurred during recovery phases
49 especially the R3 phase, with only few exceptions. In addition, during T3, expression
50 levels of some of the genes slightly reduced compared to the R1 phase, indicating a
51 transient response to the treatments. However, this reduction was not significant in most
52 cases, which is also evident from growth of spheroids during T3 (Fig 2b-g). Overall,
53 relatively higher expression levels of proliferation genes in R3 than in R1 and in T3 than
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3 in T1 corroborates our findings of increased resistance of cancer cells to MAPKi with
4 successive cycles of drug exposure.
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6 **Mechanism of drug resistance**

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8 Next, we investigated the molecular basis of increasing resistance of cancer cells to cyclic
9 single-agent treatments with the MAPKi. Studies have shown that inhibition of MEK1/2
10 can activate PI3K/AKT/mTOR pathway due to extensive cross-talk between the two
11 pathways.^{39,40} Thus, we performed a Western blot analysis for both ERK1/2 and AKT in
12 HCT116 spheroids cyclically treated with the three MAPKi (Fig 5a). All three inhibitors
13 significantly reduced *p*ERK1/2 levels. Consistent with the phenotypic analysis result
14 during T1 (Fig 3), trametinib most effectively downregulated ERK1/2 activity by ~85% (Fig
15 5b). This was followed by SCH772984 and AZ628 treatments that reduced *p*ERK1/2
16 levels by 56% and 25%, respectively (Fig 5b). However, trametinib, SCH772984, and
17 AZ628 treatments significantly increased *p*AKT levels by 37%, 52%, and 46% of the
18 vehicle control spheroids, respectively (Fig 5a,c). This established that targeting MAPK
19 pathway at different levels (RAF, MEK, and ERK) results in feedback activation of
20 PI3K/AKT/mTOR pathway.
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24 Additionally, we found that several proliferation genes downstream of AKT such as
25 CCNA1, PCNA, and CCND1 were upregulated by MAPKi treatment. For example,
26 CDC25C that encodes cyclin-dependent kinase (CDK2) and CCNA2 that encodes cyclin
27 A mediate formation of PI3K/AKT-dependent CDK2/cyclin complex, which is responsible
28 for cell proliferation. Furthermore, AKT-dependent phosphorylation of p21 prevents a
29 complex formation with proliferating cell nuclear antigen (PCNA), which inhibits DNA
30 replication⁴³. Increase in PCNA during cyclic trametinib and AZ628 treatments indicates
31 AKT-mediated release of PCNA for DNA replication and growth of tumor spheroids. We
32 also suspect that pathways other than PI3K/AKT/mTOR could drive growth of tumor
33 spheroids. Our previous study showed that the increased growth of spheroids during
34 successive treatment/recovery cycles and development of resistance to MEKi treatments
35 is in part due to incomplete suppression of ERK activity²². We identified several cell cycle
36 regulating genes downstream of ERK such as CCND1, CDC25C, and MYC that were
37 significantly upregulated during R1, T3, and R3, indicating that incomplete suppression
38 of ERK by MEKi treatments also leads to cell proliferation and growth of spheroids.
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42 **Combination treatments to suppress resistance**

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44 Next, we evaluated the potential of a combination treatment strategy to block resistance
45 of HCT116 spheroids to MAPKi treatments. We combined trametinib, SCH772984, and
46 AZ628 with a PI3K/mTOR inhibitor, dactolisib, which had the smallest LD₅₀ value among
47 the PI3K/AKT/mTOR inhibitors used against HCT116 spheroids (Fig 2b,c). Each
48 combination treatment included 36 pairs of concentrations of a pair of inhibitors. We
49 computed the fraction of cells affected (Fa) by treatments. Figure 5a-c show the results
50 for combination treatments of trametinib/dactolisib, SCH772984/dactolisib, and
51 AZ628/dactolisib pairs, respectively, as well as single-agent treatment with each inhibitor.
52 The Fa values increased at higher concentration pairs, represented by darker shade of
53 red in the heatmaps. Combination treatments were also significantly more effective than
54 respective single-agent treatments at similar concentrations. To elucidate whether effects
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3 of drug combinations were synergistic, we computed a combination index (CI) for each
4 pair. Using COMPUSYN software, we performed a synergy analysis to generate a CI
5 value for each concentration pair. $CI < 1$ indicates synergism and the synergy level
6 increases as CI approaches zero^{26,27}. All 36 concentration pairs resulted in $CI < 1$,
7 indicating synergistic interactions between each of the MAPKi and dactolisib and the
8 potential of blocking these two pathways to block cancer cell proliferation and survival.
9 The CI values ranged from 0.11 to 0.94 for trametinib/dactolisib, 0.05 to 0.48 for
10 SCH772984/dactolisib, and 0.09 to 0.85 for AZ628/dactolisib (Fig 6d-f). In addition, we
11 captured morphology of spheroids in each experiment. The combination pairs, especially
12 at higher concentrations, partially or completely disintegrated spheroids, indicating
13 toxicity to cancer cells²⁵. From each combination treatment, the pairs of concentrations
14 that disintegrated the spheroids are highlighted in red in Table S2.
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18 To study inhibitory effects of combination treatments on MAPK and PI3K/AKT pathways,
19 we performed Western blotting of spheroids following treatment T1. Treatments included
20 5 nM/200 nM trametinib/dactolisib, 75 nM/400 nM SCH772984/dactolisib, and 500
21 nM/800 nM AZ628/dactolisib. This selection reflects strong synergistic growth inhibitory
22 effects ($CI = 0.1-0.3$) against HCT116 spheroids at sufficiently low, nanomolar
23 concentrations that did not disintegrate the spheroids (Fig 6d-f and Table S2). We also
24 used single-agent treatment with each inhibitor to compare with combination treatments.
25 As expected, single-agent treatments with the MAPKi significantly downregulated *p*-ERK
26 levels (top lanes in Fig 6g-i), leading to growth inhibition of HCT116 spheroids.
27 Nevertheless, AKT activity significantly increased (third lanes in Fig 6g-i). Interestingly,
28 the *p*-AKT level did not significantly reduce after single-agent dactolisib treatment.
29 Dactolisib is a potent inhibitor of PI3K and mTOR. It is likely that growth inhibitory effects
30 of dactolisib is through mTOR inhibition⁴⁴. For example, it was shown that
31 downregulation of substrates of mTORC1 such as pS6 and p4EBP1 inhibited growth of
32 HCT116 cells⁴⁵. Dactolisib also inhibits DNA-PK and class II PI3Ks, which may contribute
33 to its growth inhibition of HCT116 cells⁴⁶. Unlike, significant activation of AKT in response
34 to inhibition of MAPK pathway, there was no significant increase in the level of *p*-ERK1/2
35 following dactolisib treatment of HCT116 spheroids. This implies absence of feedback
36 activation of MAPK pathway by PI3K/AKT pathway inhibition in these cells.
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40 Combined inhibitors of MAPK and PI3K pathways significantly reduced active levels of
41 both ERK1/2 and AKT proteins. Trametinib/dactolisib, SCH772984/dactolisib, and
42 AZ628/dactolisib pairs reduced *p*-ERK1/2 levels by 70%, 53%, and 67% of the vehicle
43 control, respectively (Fig 6g-i). Each combination treatment also further reduced *p*ERK1/2
44 levels than the single-agent treatment with the corresponding MAPKi. The largest
45 reduction of 60% was with the AZ628/dactolisib pair compared to AZ628 alone. This was
46 followed by the SCH772984/dactolisib pair that reduced the *p*ERK1/2 level 24% more
47 than that when SCH772984 was used alone. The trametinib/dactolisib pair also reduced
48 the *p*ERK1/2 level 13% more than that with trametinib treatment only. We note that this
49 small decrease in the *p*ERK1/2 level by the trametinib/dactolisib pair is because
50 trametinib treatment alone was very effective against ERK1/2 activity. Most importantly,
51 combination treatments were highly effective against feedback signaling of kinase
52 pathways. Compared to single-agent treatments with trametinib, SCH772984, and AZ628
53 that led to activation of PI3K/AKT/mTOR pathway, the compounds simultaneously
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3 administrated with dactolisib downregulated p AKT levels 3.17, 6.32, and 1.74 folds,
4 respectively. In all three combination treatments, the p AKT levels were significantly lower
5 than that of the vehicle control spheroids. These results, which are consistent with several
6 animal model studies^{37,45,47}, indicate the need for simultaneous blocking of compensatory
7 signaling pathways that mediate resistance to single-agent therapies. Importantly, we
8 identified growth inhibition of tumor spheroids using synergistic nanomolar concentrations
9 of compounds for the subsequent long-term drug combination studies below.

12 Long-term, cyclic combination treatments

14 We established that combinations of MAPKi and dactolisib act synergistically on HCT116
15 spheroids in short-term, 4-day experiments. To evaluate the effectiveness of this strategy
16 during long-term cyclic treatments (Fig 3a), we used trametinib/dactolisib against HCT116
17 spheroids. We selected 5 nM trametinib and 200 nM dactolisib concentrations that
18 resulted in $F_a=0.73$ and a strong synergism with $CI=0.14$ (Fig 6a,d). In parallel, we
19 performed single-agent treatments at the same concentration of each inhibitor used in the
20 combination treatment. Unlike trametinib or dactolisib treatments alone, their combination
21 significantly and effectively suppressed the growth of spheroids over a 32-day period (Fig
22 7a). To quantitatively compare the combination and single-agent treatments, we
23 calculated growth rate of spheroids. The average values of k_c for 5 nM trametinib, 200 nM
24 dactolisib, and their combination were 0.0006, 0.0014, and -0.00135 mm^3/day ,
25 respectively. The positive k_c values indicate that the efficacy of trametinib and dactolisib
26 treatments diminished over time. On the other hand, the combination treatment resulted
27 in a negative k_c value, indicating continuous growth suppression of tumor spheroids.
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31 Our molecular analysis corroborated this result. We compared active AKT and ERK1/2
32 levels after T1 and T3 for single-agent and combination treatments. Although trametinib
33 treatment alone reduced ERK1/2 activity during T1, which caused a significant shrinking
34 of the spheroids, it was ineffective during T3 and ERK1/2 activity significantly increased
35 (Fig 7b,c). The reduced effectiveness of single-agent trametinib treatment is also
36 consistent with the upregulation of proliferation genes downstream of ERK such as MYC,
37 CDC25, and CCND1 (Fig 4a)⁴⁸. In addition to p -ERK increase during T3, trametinib-
38 induced activation of p -AKT persisted (Fig 7b,d), resulting in growth of HCT116 spheroids
39 (Fig 7a). Dactolisib treatment alone did not suppress p -AKT levels and despite the
40 treatment, AKT activity increased from T1 to T3 (Fig 7b,d). The reduced effect of dactolisib
41 used alone is also consistent with the upregulation of proliferation genes downstream of
42 AKT such as CCNA2, PCNA, and CCND1⁴⁹. Therefore, elevated activities of AKT and
43 ERK1/2 account for growth of spheroids over the long-term, single-agent cyclic
44 treatments. On the other hand, the trametinib/dactolisib combination maintained the p -
45 ERK and p -AKT levels low (Fig 7c,d). Thus, inhibition of both pathways was critical to
46 suppress growth of cancer cells. [Antitumor effects of combinations of MEK and PI3K
47 inhibitors in animal model studies support our results, demonstrating the potential of
48 tumor spheroids models in cyclic treatments to identify synergistic drug pairs against
49 cancer cell growth](#)^{47,50–52}.
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Conclusion

We established that colorectal cancer cells in spheroid culture under cyclic, single-agent treatments with inhibitors of MAPK pathway develop resistance by activation of PI3K feedback signaling. Using a rational-design strategy guided by molecular analysis of drug resistance, we combined inhibitors of initially active (MAPK) and feedback activated (PI3K) pathways to overcome drug resistance. Our systematic, high throughput drug treatments of spheroids identified low-dose, strongly-synergistic combinations of concentrations from a pair of inhibitors to effectively suppress growth of tumor spheroids and block cross-talk between kinase pathways during long-term treatments. Our design-driven approach to determine highly synergistic drug pairs and concentrations against resistance to single-agent treatments offers a valuable tool to prioritize compounds for subsequent animal studies in pre-clinical tests. This approach will significantly reduce the number of animal studies and accelerate the discovery of effective treatments for clinical use.

Acknowledgements

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Supporting Information

Table S1. List and sequences of primers for cell cycle and proliferation genes

Table S2. Design of combination drug treatments with MAPK and PI3K inhibitors

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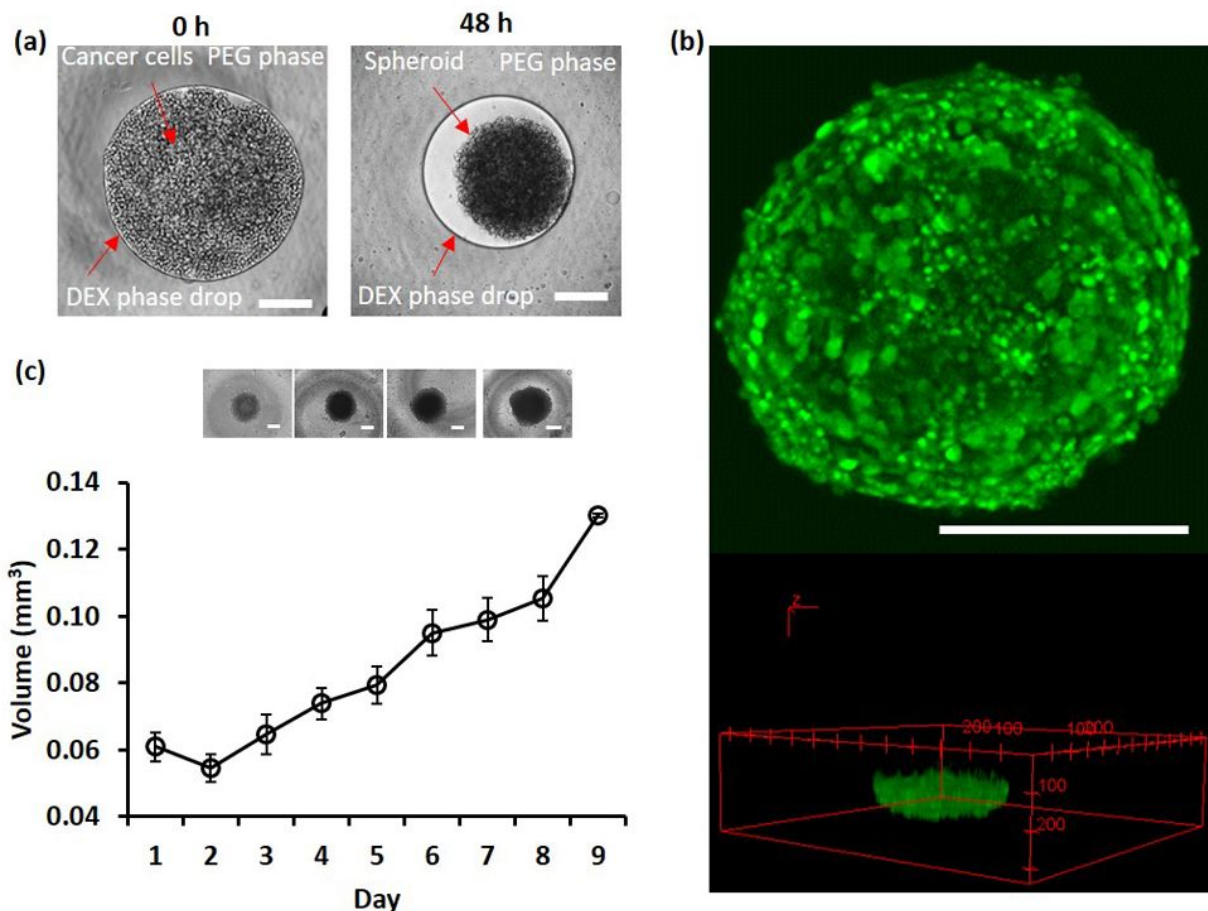


Figure 1. Formation and growth of tumor spheroids. (a) A 0.3 μl drop of the aqueous DEX phase containing HCT116 cancer cells immersed in the aqueous PEG phase settles to the well bottom. Cancer cells remain within the DEX drop and form a spheroid. (b) Confocal image of spheroid shows fully viable cells and a 3D z-stack reconstruction of a portion of a spheroid. (c) Size of HCT116 spheroids increases during incubation indicating cell proliferation. Inset images from left to right represents spheroids on days 2, 4, 6, and 8. Error bars represent standard error from the mean ($n=14$). Scale bar is 200 μm in panels (a-c).

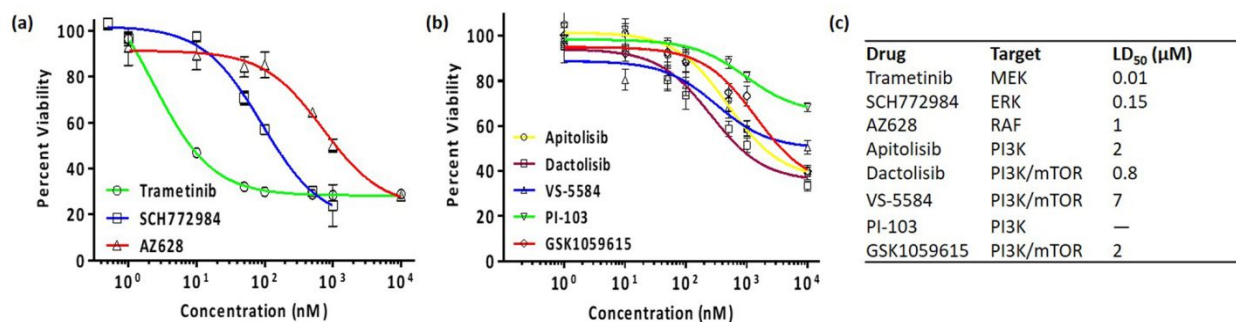


Figure 2. Responses of spheroids to MAPK and PI3K inhibitors. Dose-responses of HCT116 spheroids to inhibitors of (a) MAPK pathway and (b) PI3K pathway. (c) The list of molecular inhibitors used, their targets, and LD₅₀ values against HCT116 spheroids. — indicates an LD₅₀ value could not be obtained.

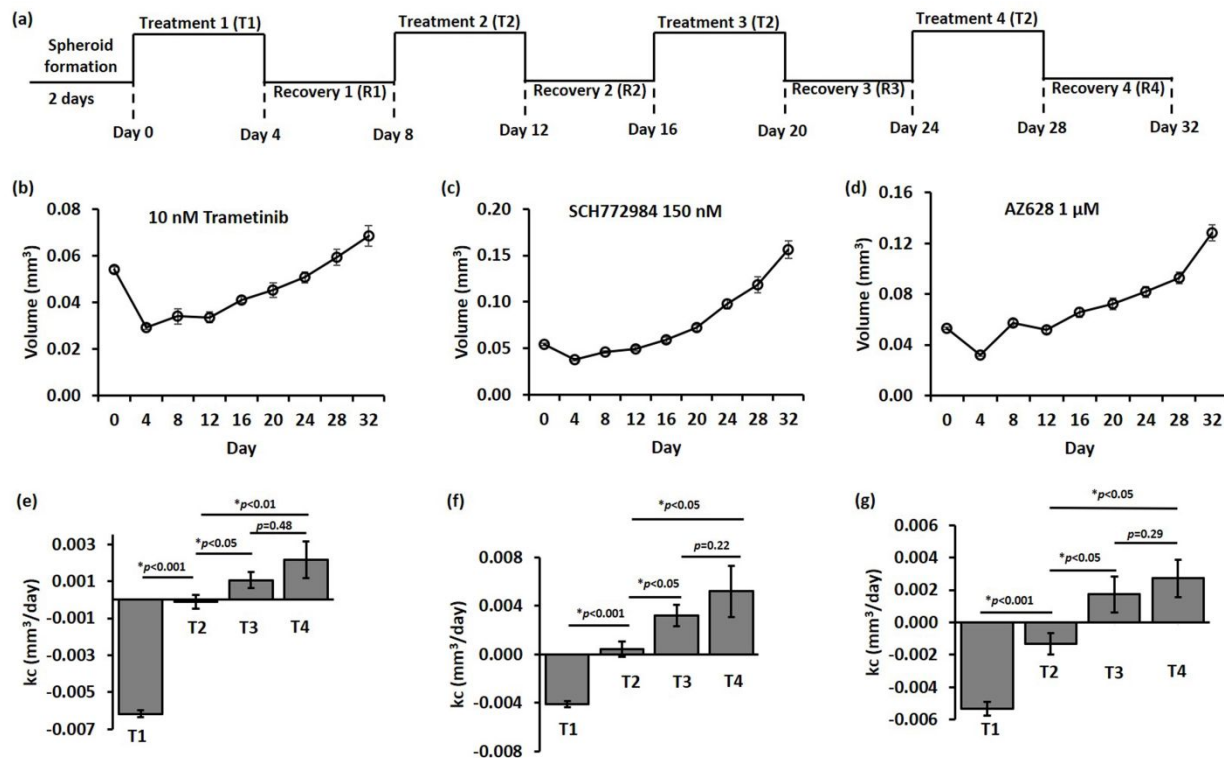


Figure 3. Cyclic drug treatment and recovery of tumor spheroids. (a) HCT116 spheroids were cyclically treated with inhibitors of MEK (0.01 μM trametinib), ERK (0.15 μM SCH772984), and RAF (1 μM AZ628). (b-d) Kinetics of growth of spheroids during cyclical treatment and recovery. Each data point in the line graph is an average of 32 replicates. (e-g) Growth rate (k_c) of HCT116 spheroids during four treatment rounds with (e) trametinib, (f) SCH772984, and (g) AZ628. $n=14$ and * denotes statistically significant differences in the growth rates between treatment rounds. Error bars in panels (b-g) represent the standard error from a mean value.

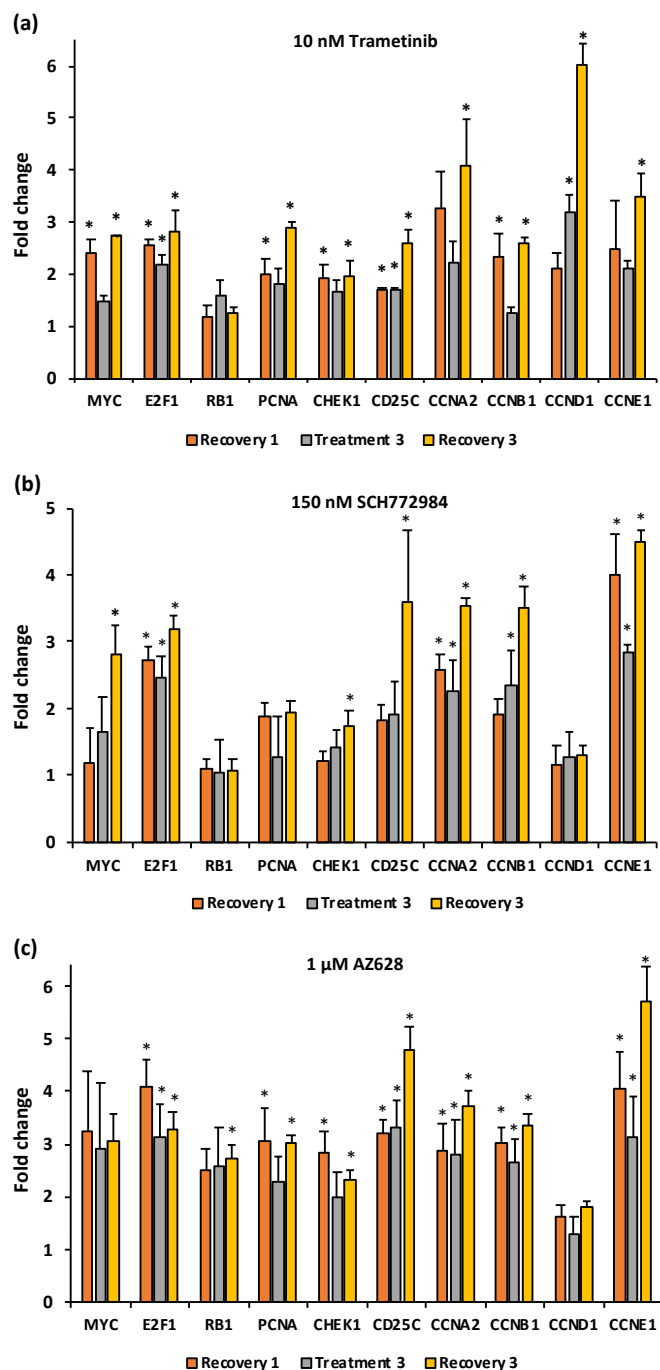


Figure 4. Fold change in expression of proliferation genes in tumor spheroids during cyclic treatment and recovery with MEKi. The bar graphs show the fold change values of 10 prominent proliferation genes after treatments with (a) 10 nM trametinib, (b) 150 nM SCH772984, and (c) 1 μ M AZ628. The fold change values after Recovery 1, Treatment 3, and Recovery 3 are relative to Treatment 1. * $p < 0.05$ denotes comparison with Treatment 1.

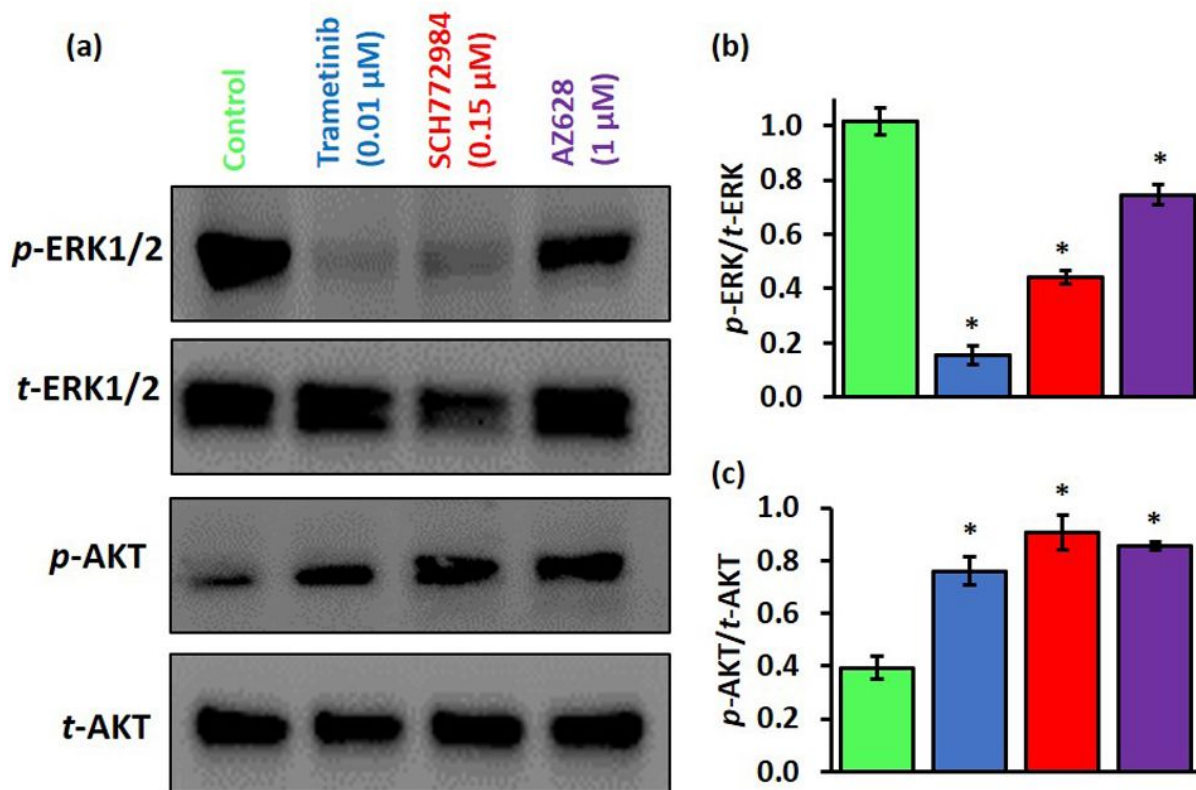


Figure 5. Activity levels of ERK1/2 and AKT proteins in tumor spheroids treated with inhibitors of MAPK pathway. (a) Western blots for phosphorylated and total levels of ERK1/2 and AKT at the end of treatment 1 (T1). (b) Quantified result of p-ERK/t-ERK proteins in vehicle control and treated spheroids. (c) Quantified levels of p-AKT/t-AKT proteins in vehicle control and treated spheroids. Results are shown as mean \pm standard error. Each Western blot experiment was repeated twice. * $p < 0.05$ denotes comparing each treatment and the vehicle control.

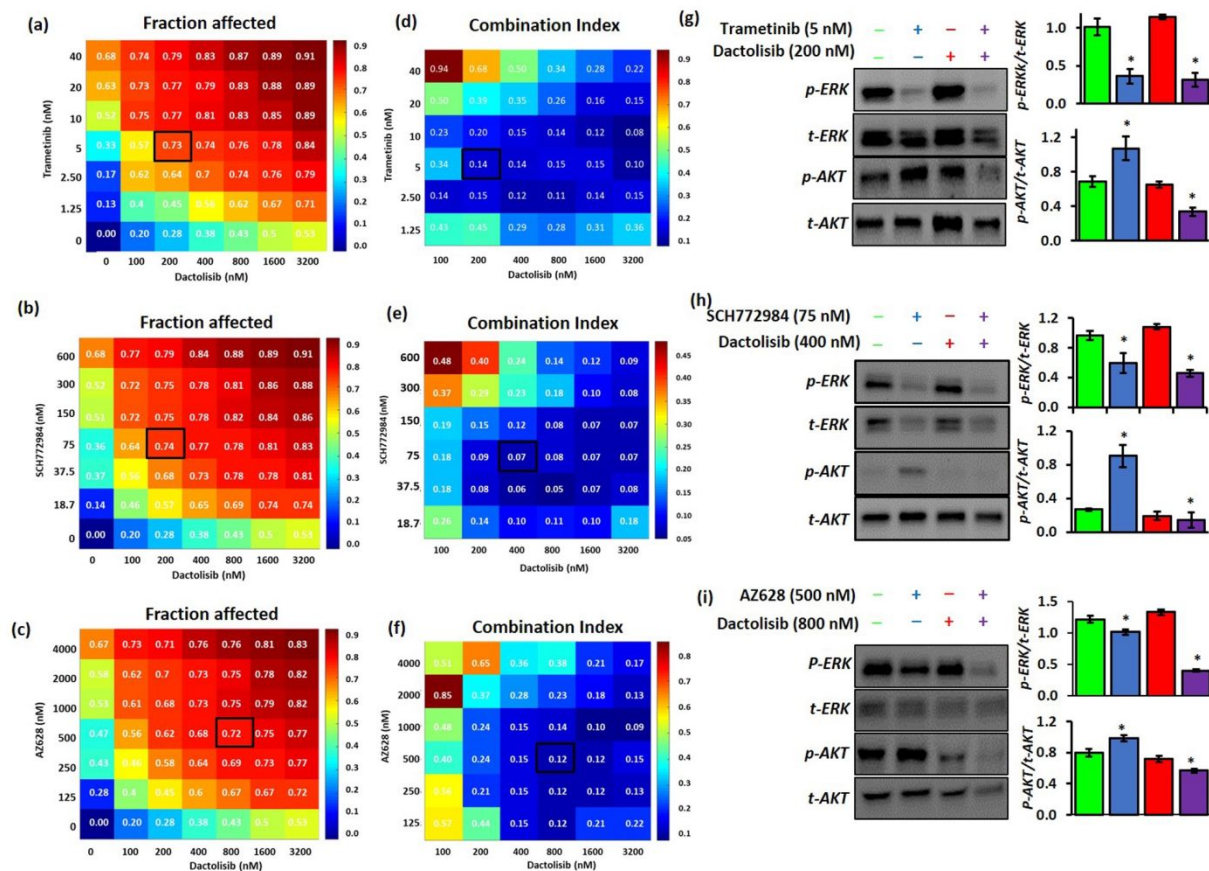


Figure 6. Combination treatments of spheroids with inhibitors of MAPK and PI3K pathways. Heatmap plots representing fraction of cells affected (Fa) by single-agent and combination treatments of (a) trametinib/dactolisib, (b) SCH772984/dactolisib, and (c) AZ628/dactolisib. Heatmaps representing combination indices (CI) following combination treatments of spheroids with (d) trametinib/dactolisib, (e) SCH772984/dactolisib, and (f) AZ628/dactolisib. The combination concentrations resulting in greater cell death (larger Fa values) and higher synergy (smaller CI values) are boxed in the heatmaps of panels (a-f). This pair of concentrations from each pair of inhibitors was selected for Western blots of active and total ERK1/2 and AKT shown in panels (g-i). Bar graphs represent quantified *p*-ERK/*t*-ERK and *p*-AKT/*t*-AKT levels for single-agent and combination treatments. Each Western blot experiment was repeated twice. Results are shown as mean \pm standard error. **p* < 0.05 denotes comparing single-agent MAPKi treatments with the vehicle control and also combination treatments with the corresponding single-agent MAPKi treatments.

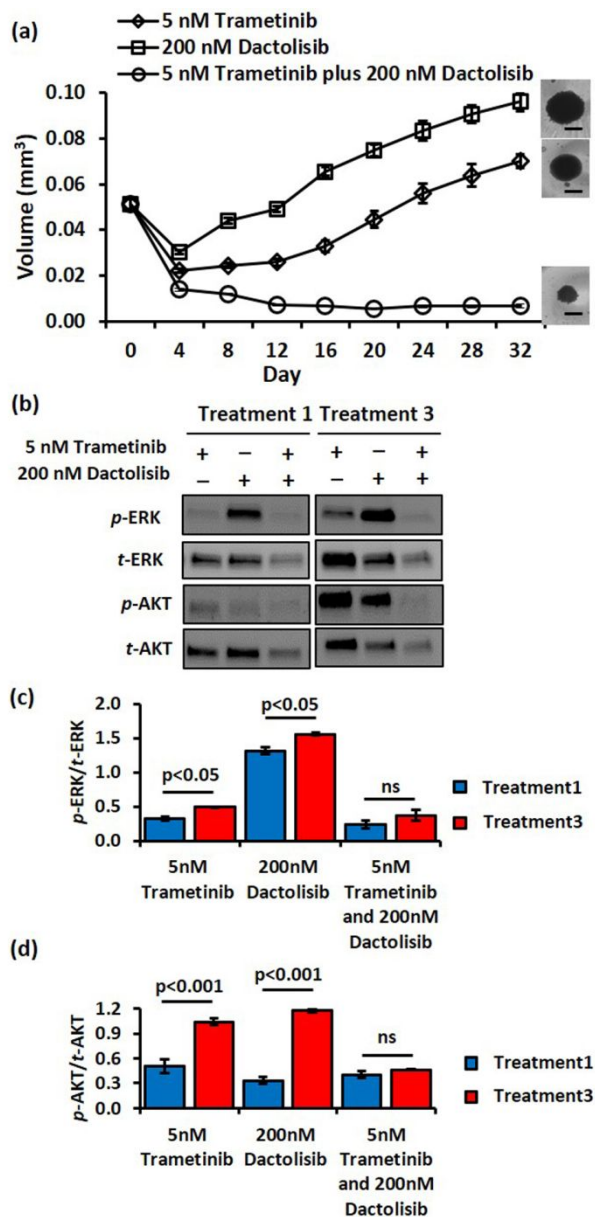


Figure 7. Long-term single-agent and combination treatment/recovery of spheroids. (a) Size of spheroids under cyclic single-agent and combination treatments with trametinib and dactolisib. Each data point in the graph is an average of 32 replicates. Error bars represent standard error from the mean. Inset images show spheroids from single-agent and combination treatments at the end of 32 days of culture. Scale bar is 250 μm . (b) Representative Western blots of active and total ERK1/2 and AKT for single-agent and combination treatments at the end of treatment 1 (T1) and treatment 3 (T3). Quantified levels of (c) *p*-ERK/*t*-ERK, and (d) *p*-AKT/*t*-AKT. Each Western blot experiment was repeated twice. Results are shown as mean \pm standard error.

Supporting Information

Table S1. List and sequence of primers for 10 genes that were analyzed in spheroids treated with MAPK inhibitors.

Primer	Sequence	Length
CCNA2 F	5'-GCTGGCGGTACTGAAGTC-3'	18
CCNA2 R	5'-CAAGGAGGAACGGTGACAT-3'	19
CCNB1 F	5'-TGTAGGTCCTTGGCTGGT-3'	18
CCNB1 R	5'-GCCATGTTGATCTTCGCCTTA-3'	21
CCND1 F	5'-GTGTCCTACTTCAAATGTGTGC-3'	22
CCND1 R	5'-AGCGGTCCAGGTAGTTCA-3'	18
CCNE1 F	5'-ACAAGACCCTGGCCTCA-3'	17
CCNE1 R	5'-TCACGTTTGCCTTCCTCTTC-3'	20
MYC F	5'-TCCTCGGATTCTCTGCTCTC-3'	20
MYC R	5'-TCTTCCTCATCTTCTTGTTCCCTC-3'	23
E2F1 F	5'-AAGTCCAAGAACCACATCCAG-3'	21
E2F1 R	5'-CTGCTGCTCGCTCTCCT-3'	17
RB1 F	5'-GACCTGCCTCTCGTCAG-3'	17
RB1 R	5'-ACCTCCCAATACTCCATCCA-3'	20
PCNA F	5'-GTCTGAGGGCTTCGACAC-3'	18
PCNA R	5'-CCAAGGTATCCGCGTTATCTTC-3'	22
CHEK1 F	5'-AGTACTGTAGTGGAGGAGAGC-3'	21
CHEK1 R	5'-CCAATACCATGCAGATAAACCAC-3'	23
CDC25C F	5'-CCAGATGTCCCTAGAACTCCA-3'	21
CDC25C R	5'-TCACTGTCCACCAAGTTTCC-3'	20

Table S2. Combination treatments with (a) trametinib and dactolisib, (b) SCH772984 and dactolisib, and (c) AZ628 and dactolisib. The quantities in the vertical and horizontal directions represent the coefficients of LD₅₀ for each compound used in combination treatments. The LD₅₀ values for trametinib, SCH772984, and AZ628 were obtained from Fig. 2a, and the LD₅₀ for dactolisib was obtained from Fig. 2b. The fraction of LD₅₀ of drug pairs used in combination is shown inside the bracket in each table (a-c). The concentration pairs that resulted in disintegration of spheroids are highlighted in red.

(a)

		Dactolisib (\times LD ₅₀)					
		0.125	0.25	0.5	1	2	4
Trametinib (\times LD ₅₀)	0.125	(0.125,0.125)	(0.125, 0.25)	(0.125, 0.5)	(0.125, 1)	(0.125,2)	(0.125,4)
	0.25	(0.25,0.125)	(0.25, 0.25)	(0.25, 0.5)	(0.25, 1)	(0.25,2)	(0.25,4)
	0.5	(0.5,0.125)	(0.5, 0.25)	(0.5, 0.5)	(0.5, 1)	(0.5,2)	(0.5,4)
	1	(1,0.125)	(1, 0.25)	(1, 0.5)	(1, 1)	(1,2)	(1,4)
	2	(2,0.125)	(2, 0.25)	(2, 0.5)	(2, 1)	(2,2)	(2,4)
	4	(4,0.125)	(4, 0.25)	(4, 0.5)	(4, 1)	(4,2)	(4,4)

(b)

		Dactolisib (\times LD ₅₀)					
		0.125	0.25	0.5	1	2	4
SCH772984 (\times LD ₅₀)	0.125	(0.125,0.125)	(0.125, 0.25)	(0.125, 0.5)	(0.125, 1)	(0.125,2)	(0.125,4)
	0.25	(0.25,0.125)	(0.25, 0.25)	(0.25, 0.5)	(0.25, 1)	(0.25,2)	(0.25,4)
	0.5	(0.5,0.125)	(0.5, 0.25)	(0.5, 0.5)	(0.5, 1)	(0.5,2)	(0.5,4)
	1	(1,0.125)	(1, 0.25)	(1, 0.5)	(1, 1)	(1,2)	(1,4)
	2	(2,0.125)	(2, 0.25)	(2, 0.5)	(2, 1)	(2,2)	(2,4)
	4	(4,0.125)	(4, 0.25)	(4, 0.5)	(4, 1)	(4,2)	(4,4)

(c)

		Dactolisib (\times LD ₅₀)					
		0.125	0.25	0.5	1	2	4
AZ628 (\times LD ₅₀)	0.125	(0.125, 0.125)	(0.125, 0.25)	(0.125, 0.5)	(0.125,1)	(0.125,2)	(0.125, 4)
	0.25	(0.25, 0.125)	(0.25, 0.25)	(0.25, 0.5)	(0.25,1)	(0.25,2)	(0.25, 4)
	0.5	(0.5, 0.125)	(0.5, 0.25)	(0.5, 0.5)	(0.5,1)	(0.5,2)	(0.5, 4)
	1	(1, 0.125)	(1, 0.25)	(1, 0.5)	(1,1)	(1,2)	(1, 4)
	2	(2, 0.125)	(2, 0.25)	(2, 0.5)	(2,1)	(2,2)	(2, 4)
	4	(4, 0.125)	(4, 0.25)	(4, 0.5)	(4,1)	(4,2)	(4, 4)

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3 **For Table of Contents Use Only**
4

5 **Title:** Synergistic Inhibition of Kinase Pathways Overcomes Resistance of Colorectal
6 Cancer Spheroids to Cyclic Targeted Therapies
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8
9 **List of Authors:**

10
11 1. Pradip, Shahi Thakuri
12

13 Affiliation: Department of Biomedical Engineering, The University of Akron, Akron, OH
14 44325 USA
15

16
17 2. Megha, Gupta
18

19 Affiliation: Department of Arts and Sciences, The University of Akron, Akron, OH 44325
20 USA
21

22
23 3. Ramila, Joshi
24

25 Affiliation: Department of Biomedical Engineering, The University of Akron, Akron, OH
26 44325 USA
27

28
29 4. Sunil, Singh
30

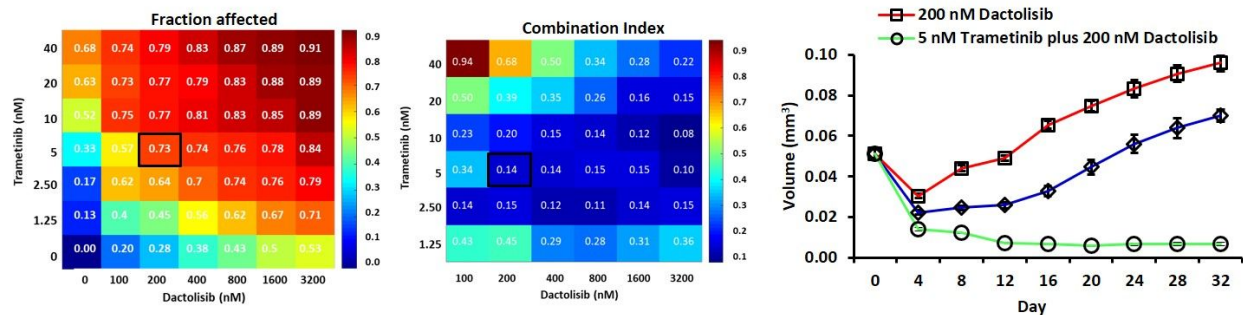
31 Affiliation: Department of Biomedical Engineering, The University of Akron, Akron, OH
32 44325 USA
33

34
35 5. Hossein, Tavana*
36

37 Affiliation: Department of Biomedical Engineering, The University of Akron, Akron, OH
38 44325 USA
39

40
41 *Corresponding author:

42 Hossein Tavana, Ph.D., P. Eng.
43 Olson Research Center, Rm 301
44 260 S. Forge St., Akron, OH 44325
45 Tel: (330) 972-6031
46 E-mail: tavana@uakron.edu
47
48
49
50
51
52
53
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Synopsis: Using high throughput, dose-dependent combinations of MAPK inhibitor and a PI3K/mTOR inhibitor, we identified low-dose, synergistic combinations that blocked feedback resistance to MAPKi and effectively suppressed growth of colorectal tumor spheroids in long-term treatments. Our approach to study drug resistance offers the potential to determine high priority treatments to test in animal models.